Common Clearing Terminal (CT) Functionality Inquiries

1. How to submit a Request via the CT for the opening of a Settlement Account / Request for the registration of TCS in the stock market / Request to cancel the connection between the Settlement Account and the Section.

The implementation is scheduled for 1st Quarter 2024

Current CT users can register a Trading and clearing account in the Deposit Market: Menu Section "Directories" > "Trade Identifiers" > "Stock" > "Trading and Clearing Accounts" > "Open" > "Specify RK"

2. How to Monitor the Status of Submitted Requisites for Registration:

In accordance with section 4.4 of the CT User Manual, the document outlines potential statuses, while supplementary statuses for requisites are detailed in section 9.1.7:
- "Registered" status with a red flag indicates that the submitted Request for requisites registration complies with the document requirements, with all fields correctly filled.
- "Approved" status with a green flag appears when the Request for requisites registration was checked by the DIC and other departments. Upon final approval in the system, the requisites attain operational status.

Note: in the CT, requisites are registered independently of the Settlement Account. To facilitate returns utilizing registered requisites, link the requisites with a designated Billing Account (see inquiry 3).

3. How to link Requisites with Billing Account:

Navigate to the "Settlement accounts" menu section, access the card of a specific Settlement account (e.g., "Account 14684"), proceed to the "Details" tab, click "Add match." In the opened request card, select the preferred currency, choose the desired account from the directory for the collateral return, and then click "Sign and send."

Note: Following the confirmation of the Return Account compliance with the Settlement account, obtain returns using the registered details.

4. How to obtain the CCX98 report (Report on Settlement accounts and details of the Clearing Participant)?

While there is no direct equivalent to the CCX98 report in the CT, the current CT allows to download a comprehensive list of details for returns indicating the Settlement account to which they correspond.

To do so, select "Details for the collateral return" in the "Directories" menu section, click "Download" (xls and pdf formats are available). One can also download a list of Settlement accounts (the "Settlement accounts" menu section) indicating the type of Settlement account (own, client), the type of Settlement account (Guarantee Fund, Stress Provision, regular) and all the indications established by this Settlement account (for example, the indication of a Single pool, early settlements, etc.).

Besides, the reflection of the name of the fund according to the Calculation Account (RC) of the DU type when downloading the list of SA is available as of 04/12/2023

5. How to track the payment status?

To track the payment status, as of 06/05/2023, the CCX97 (Notification of debit and crediting to the Collateral Account) / CCX96 (Cancellation of notification of debit and credit to the Collateral Account) reports include the UETR account to track the status of payment in foreign currency using the GPI tracker service in the SWIFT system. In the Clearing Terminal, the CCX97/CCX96 reports correspond to the incoming Receipt document.

6. How to set up a Standing order for the collateral return?

Navigate to the "Settlement accounts" menu section, go to the card of a specific Settlement account (for example, "Account 14684"), then the "Permanent security refunds" tab, click the "Add request" button, select "Permanent Security return order" from the list.

7. Is there an option to deposit the amount?

In order to send a Deposit Request or a Permanent Deposit Order, go to the "Settlement Accounts" menu section, go to the card of a specific Settlement account (for example, "Account 14684"), then the "Permanent Security refunds" tab, click the "Add request" button, select the desired type of request from the list.

8. How to obtain a Collateral account statement?

Please select the necessary collateral account in the "Collateral accounts" section, the date of the statement "Statement date", click "Request." The statement will be available in the Incoming documents, click on the document number to open the statement form. The archive is available for the previous 365 days. It is also possible to download the account turnover for a certain period. To do so, please specify the necessary dates in the security account card, click the "Download to pdf" or "Download to Excel" button.

9. Adding a filter by the amount and currency of the payment in the list of payments in a table

The filters can be found in the list of submitted Requests for the return of collateral. The search history is 1 (one) year.

10. How to see the balance of the Settlement Account / security account?

Navigate to the "Collateral accounts" menu section, click the card of a specific collateral account (for example, 30420810591000014684), which reflects the account balance.

The revision to reflect the balances of collateral accounts in a table, without the need to switch to the card of a specific collateral account, is available as of 04/12/2023.

11. How to see the opening date of the Settlement Account / security account?

In the "Collateral Accounts" menu section, a list of all collateral accounts opens, indicating the account number, account currency, account opening and closing dates, as well as the account name and compliance of the collateral account with the Settlement Account. The "Download" option is also available for this section - "Download to pdf" or "Download to Excel."

12. How to send a free format message?

To send a free format message, click the icon ✉ in the upper right corner of the menu header. The allowed number of characters of the message body is 4000, for the header - 100.

13. How to send a Request to choose a tariff plan?

In order to send a Request to the NCC regarding a Tariff plan, go to the "Trade identifiers" section in the "Directories" menu section. In the card of the Trading identifier of the currency or stock market, select the "Tariff plans" tab. The request window is opened by clicking the button

opposite the corresponding tariff plan (clause 12.2.1.6 of the WEB UI 1.6 Clearing Terminal User's Guide).

Please note that the Clearing Terminal is the only place where information about the current tariff plan is displayed, broken down by exchange and clearing commission, as well as about the tariff plan established starting next month.

Please submit your tariff plan selection request to the NCC no later than (5) five business days before the first day of the month when the selected tariff plan is available, but not earlier than the first day of the month preceding the month when the selected tariff plan becomes available, unless it is for the initial admission to trading/clearing services.

14. Is it possible to configure the import of txt or xml files in the CT as in Web clearing?

The current functionality of the Clearing Terminal supports the object-oriented REST API interface (a software interface for using the Clearing Terminal functionality in the client's own software). Using the REST API, a participant can set up batch data processing automation on their side.

15. Will document templates be available in the CT?

Document templates have become available in the CT 1.6.14 version of 04/12/2023. If there is need to create other types of templates, please let us know at ps@moex.com.

16. Will historical information about requests sent in Web clearing be available in the CT?

The requests sent via Web clearing will not be reflected in the CT. However, the CT will display details of registered objects via Web clearing, such as return details, standing orders for a return, and indications of early settlements.