

Appendix 1

APPROVED BY
the decision of the CCP NCC
dated «15» March 2024
№ NCC-P-2024-36

**FORMS AND FORMATS OF THE DOCUMENTS AND REPORTS
ON THE SECURITIES MARKET, DEPOSIT MARKET AND CREDIT MARKET.
PART II.**

**Moscow
2024**

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1. General provisions

- 1.1. This document «Forms and formats of the documents and reports on the securities market, deposit market and credit market. Part II» (hereinafter referred to as Forms and formats of the documents and reports on the securities market, deposit market and credit market) together with «Forms and formats of the documents and reports. Part I. Common part» describe the forms and formats of the documents and reports, providing by the Clearing Members, clients of the Clearing Members and other legal entities or individuals on the securities market, deposit market and credit market to the NCC (hereinafter referred to as the Clearing House), as well as providing by the Clearing House to these persons in accordance with the Clearing Rules of the Central Counterparty National Clearing Centre, approved by the authorized body of the Clearing House and registered by the Bank of Russia, taking into account the requirements of the legislation of the Russian Federation.

2. Forms (formats) of the documents, provided by the Clearing Members in paper form or in form of EDI document

2.1. Request for opening Trade Account

(on the Company's letterhead)

To CCP NCC

REQUEST FOR OPENING TRADE ACCOUNT

(full name of the Clearing Member)

Clearing Member Unicode: _____,

Please open Trade Account in the NCC Clearing System:

☐ provide connection between the position in securities and the position (additional position) in cash funds, corresponding to the following data:

Trading depo account in NSD	Trading section		Trading account/ Settlement account	Non-resident Settlement account
	Securities Sub-account T0			Not provided
	Securities Sub-account T+			

(Position of the Head) _____ / Full name /

seal

«___» _____ 20__

Prepared by full name, phone number _____

Completion order:

When opening **Trade Account**, it is necessary to complete both rows of the table.

In the column «Securities Sub-account T0» 31st trading section of the trading depo account is indicated. In the column «Trading account / Settlement Account», corresponding to Securities Sub-account T0, trading bank account 30411810 or Settlement Account is indicated. If there is no need to register cash position, «no cash position» is indicated.

In the column «Securities Sub-account T+» 36th trading section of the trading depo account is indicated. In case if 36th trading section is not open, «no securities sub-account» is indicated. In the column «Trading account/ Settlement Account», corresponding to Securities Sub-account T+, the Settlement Account is indicated.

When opening **Non-resident Trade Account**:

In the column «Securities Sub-account T+» 3U trading section of the non-resident trading depo account is indicated. In the column «Non-resident Settlement Account», corresponding to Securities Sub-account T+, the Non-resident Settlement Account is indicated.

2.2. Request for annulment of connection between Settlement Account and Securities Sub-account

(on the Company's letterhead)

To CCP NCC

**REQUEST FOR ANNULMENT OF CONNECTION BETWEEN SETTLEMENT
ACCOUNT AND SECURITIES SUB-ACCOUNT**

(full name of the Clearing Member)

Clearing Member Unicode: _____,

Please annul in the NCC Clearing System connection between the position in securities and the position (additional position) in cash funds

Trade Account	Trading depo account in NSD	Trading section	Trading account/ Settlement account / Non-resident Settlement account
[1]	[2]	[3]	[4]

(Position of the Head)

_____ / Full name /

seal

«___» _____ 20__

Prepared by full name, phone number _____

Completion order:

For each row it's necessary to indicate the values in the columns either [1]+[4] or [2]+[3]+[4].

2.3. Request for opening Settlement Account of the 2nd level

(on the Company's letterhead)

To CCP NCC

REQUEST FOR OPENING SETTLEMENT ACCOUNT OF THE 2ND LEVEL

(for securities market, deposit market and credit market)

(full name of the Clearing Member)

Clearing Member Unicode: _____,

In accordance with the Clearing Rules of the Central Counterparty National Clearing Centre please open to our Organization Settlement Accounts of the 2nd level, which are included into the structure of Trade Accounts of the 2nd level, and set the correspondence to Trade Accounts and included into their structure Settlement Accounts of the Clearing Member for:

☐ Clearing Member / client of the Clearing Member;

Trade Account ¹	Settlement Account ¹	Number of Settlement Accounts of the 2 nd level to be opened ¹	Client identification data ²

☐ Trading Member

Trade Account ¹	Settlement Account ¹	Number of Settlement Accounts of the 2 nd level to be opened ¹	Trading Member ID	Trading Member name

(Position of the Head) _____ / Full name /

seal

«___» _____ 20___

Prepared by full name, phone number _____

¹- When indicating Non-resident Trade Account and Non-resident Settlement Account, Non-resident Settlement Accounts of the 2nd level are opened.

² - Field «Client identification data» is not mandatory and completed in accordance with the requirements imposed by the Exchange when registering clients of Trading Members. In case of registration of the client, which is client of the broker, the identification data of both end client and client which is the broker are indicated.

2.4. Request for opening Settlement account of the 3rd level

(on the Company's letterhead)

To CCP NCC

REQUEST FOR OPENING SETTLEMENT ACCOUNT OF THE 3RD LEVEL

(full name of the Clearing Member)

Clearing Member Unicode: _____,

In accordance with the Clearing Rules of the Central Counterparty National Clearing Centre please open Settlement Accounts of the 3rd level, which are included into the structure of Trade Accounts of the 3rd level, and set the correspondence to Trade Accounts of the 2nd level and included into their structure Settlement Accounts of the 2nd level:

Trade Account of the 2 nd level*	Settlement Account of the 2 nd level*	Number of Settlement Accounts of the 3 rd level to be opened*	Client identification data**

(Position of the Head)

_____ / Full name /

seal

«____» _____ 20____

Prepared by full name, phone number _____

* When indicating Non-resident Trade Account of the 2nd level and Non-resident Settlement Account of the 2nd level Non-resident Settlement Accounts of the 3rd level are opened.

** Field «Client identification data» is not mandatory and completed in accordance with the requirements imposed by the Exchange when registering clients of Trading Members. In case of registration of the client, which is client of the broker, the identification data of both end client and client which is the broker are indicated.

2.5. Request for opening Asset Pool Trade Account

(on the Company's letterhead)

To CCP NCC

REQUEST FOR OPENING ASSET POOL TRADE ACCOUNT*

(full name of the Clearing Member)

Clearing Member Unicode: _____,

Please open in the NCC Clearing System for each asset pool, indicated in table below, Asset Pool Trade Account which will correspond to indicated Trade Account T+:

Asset Pool code / Asset Pool name*	Trade Account T+ code
<input type="checkbox"/> GCC GC Bonds <input type="checkbox"/> GCC GC Shares <input type="checkbox"/> GCC GC Expanded	
<input type="checkbox"/> GCC GC Bonds <input type="checkbox"/> GCC GC Shares <input type="checkbox"/> GCC GC Expanded	

(Position of the Head)

_____ / Full name /

seal

«____» _____ 20____

Prepared by full name, phone number _____

Completion order:

One Trade Account T+ can be indicated for each asset pool but can't be re-indicated for one asset pool.

This application allows to register more than one Asset Pool Trade Account.

When posting assets into the relevant asset pool, issued general clearing certificates will be credited to Trade Account T+ indicated for such asset pool.

* The following can be indicated as asset pool code / asset pool name: «GCC GC Bonds», «GCC GC Shares», «GCC GC Expanded».

* Based on this request the Clearing House also opens Asset Pool Settlement Account.

2.6. Request for opening Trade Account for conclusion of deposit agreements

(on the Company's letterhead)

To CCP NCC

REQUEST FOR OPENING TRADE ACCOUNT FOR CONCLUSION OF DEPOSIT AGREEMENTS

(full name of the Clearing Member)

Clearing Member Unicode: _____,

In accordance with the Clearing Rules of the Central Counterparty National Clearing Centre please open to our Organization Trade Account for conclusion of deposit agreements¹, which will correspond to indicated Settlement Account (SA)² for recording cash funds and obligations of the Clearing Member under Trades executed:

	Existing SA ²	Number of new SA ²
<input type="checkbox"/> at the expense of the Clearing Member	_____	_____
	—	
<input type="checkbox"/> at the expense of funds in trust management of the Clearing Member	_____	_____
	—	

Fund name³ (optionally, for new SA only):

(Position of the Head) _____ / Full name /

seal

« ____ » _____ 20 ____

Prepared by full name, phone number _____

¹ Trade Account is opened on the securities market, deposit market and credit market.

² Settlement Account of the 1st level (SA), opened for the securities market, is indicated. If it is necessary to open several Trade Accounts for conclusion of deposit agreements linked to Settlement Accounts opened for the securities market, number of existing Settlements Accounts are separated by commas, number (quantity) is indicated for new Settlement Accounts.

³ The length of the name should be no more than 100 symbols.

2.7. Request for Trade Account for conclusion of deposit agreements closure

(on the Company's letterhead)

To CCP NCC

**REQUEST FOR TRADE ACCOUNT FOR CONCLUSION OF DEPOSIT
AGREEMENTS CLOSURE**

(full name of the Clearing Member)

Clearing Member Unicode: _____,

In accordance with the Clearing Rules of the Central Counterparty National Clearing Centre please close for our Organization Trade Account for conclusion of deposit agreements _____.

(Position of the Head)

_____ / Full name /

seal

«____» _____ 20____

Prepared by full name, phone number _____

2.8. Request for Trade Account parameters change

(on the Company's letterhead)

To CCP NCC

REQUEST FOR TRADE ACCOUNT PARAMETERS CHANGE

(full name of the Clearing Member)

Clearing Member Unicode: _____,

In accordance with the Clearing Rules of the Central Counterparty National Clearing Centre please:

1. execute in cases of settlement of non-fulfilled obligation under Trades T+:

- ☐ REPO trade;
- ☐ two buy-sell trades in securities

using following Trade Accounts:

(list of Trade Accounts)

2. execute REPO trades of the Clearing House using following Trade Accounts:

3. cancel the possibility to execute REPO trades of the Clearing House using Trade Accounts which were indicated previously in the Request for Trade Accounts parameters change:

4. use the cash funds and/or securities as follows:

in respect of the Settlement Account: _____

Cash funds from Collateral accounts T0	Do not transfer	Transfer
- when executing obligations under Trades T+ in all currencies / in indicated currencies only: _____	<input type="checkbox"/>	<input type="checkbox"/>
- when executing obligations under Trades T+ in amount of Total Net Obligation in all currencies / in indicated currencies only: _____	<input type="checkbox"/>	<input type="checkbox"/>

in respect of the Trade Account T0: _____ and Trade Account T+ _____

Securities from the Trade Account T0 to Trade Account T+:	Do not transfer	Transfer
- when executing obligations under Trades T+	<input type="checkbox"/>	<input type="checkbox"/>
- when executing obligations under Trades T+ in amount of Total Net Obligation	<input type="checkbox"/>	<input type="checkbox"/>

Securities from the Trade Account T+ to Trade Account T0:	Do not transfer	Transfer
- after termination of obligations under Trades T+	<input type="checkbox"/>	<input type="checkbox"/>

(Position of the Head)

_____ / Full name /

seal

«____» _____ 20____

Prepared by full name, phone number _____

2.9. Request for limiting Trade Accounts for posting and handing out of assets

(on the Company's letterhead)

To CCP NCC

**REQUEST FOR LIMITING TRADE ACCOUNTS FOR POSTING AND HANDING
OUT OF ASSETS**

(full name of the Clearing Member)

Clearing Member Unicode: _____,

In accordance with the Clearing Rules of the Central Counterparty National Clearing Centre please set the following limitation method of Trade Accounts for posting/handing out of assets into asset pools/from asset pools:

- ☐ for all Trade Accounts*;
- ☐ for Trade Accounts into the structure of which depo sub-accounts, opened for nominee, foreign nominee or trustee are included.

(Position of the Head)

_____ / Full name /

seal

«____» _____ 20____

Prepared by full name, phone number _____

* By default, limitation is valid for all Trade Accounts

2.10. Request for choosing priority Trade Account for Collateral for Stress Margin Call satisfaction

(on the Company's letterhead)

To CCP NCC

REQUEST FOR CHOOSING PRIORITY TRADE ACCOUNT FOR COLLATERAL FOR STRESS MARGIN CALL SATISFACTION

(full name of the Clearing Member)

Clearing Member Unicode: _____,

In accordance with the Clearing Rules of the Central Counterparty National Clearing Centre please:

- in case of non-satisfaction of Collateral for Stress Margin Call by the Clearing Member, transfer Collateral firstly from the following Trade Account*:

_____;

- cancel previous choice of priority Trade Account for Collateral for Stress Margin Call satisfaction.

(Position of the Head)

_____ / Full name /

seal

«____» _____ 20____

Prepared by full name, phone number _____

Completion order:

* - It's necessary to indicate Trade Account T+ into the structure of which proprietary Settlement Account opened for securities market, deposit market and credit market is included.

2.11. Application for correspondence of Trade Account

(on the Company's letterhead)

To CCP NCC

APPLICATION FOR CORRESPONDENCE OF TRADE ACCOUNT

(full name of the Clearing Member)

Clearing Member Unicode: _____,

Please as of the end of the trading date **DD.MM.YYYY** register in the NCC Clearing System the following correspondence between the Trade Account and positions in securities and in cash funds:

Trade Account code*	Relevant Trade Account code**

(Position of the Head) _____ / Full name /
seal

« ____ » _____ 20 ____

Prepared by full name, phone number _____

* Trade Account into the structure of which 36th trading section (L01+00000F00) is included, or the Non-resident Trade Account into the structure of which 3U trading section (LXX+00000F00) is included is indicated

** Similar Trade Account (or similar Non-resident Trade Account) with «-» instead of «+» in the fourth symbol of the Trade Account code (L01-00000F00) (or in the fourth symbol of the Non-resident Trade Account (LXX-00000F00)) is indicated

On the basis of this application for pair Trade Accounts (or pair Non-resident Trade Accounts) the following correspondence is set:

- Trade Account, into the structure of which 36th trading section is included, corresponds to the Trade Account with «-» (L01-00000F00);
- Trade Account, into the structure of which 31st trading section is included, corresponds to the Trade Account with «~»(L01~00000F00),

for pair Non-resident Trade Accounts the following correspondence is set:

- Non-resident Trade Account, into the structure of which 3U trading section is included, corresponds to the Non-resident Trade Account with «-» (LXX-00000F00)

2.12. Application for correspondence of Trade Account by default

(on the Company's letterhead)

To CCP NCC

APPLICATION FOR CORRESPONDENCE OF TRADE ACCOUNT BY DEFAULT

(full name of the Clearing Member)

Clearing Member Unicode: _____,

Please in the NCC Clearing System register all new Trade Accounts (Non-resident Trade Accounts), including position in securities, which corresponds to the Securities Sub-account T+:

- 36th trading section, with assignment «-» in the fourth symbol of the Trade Account code;
- 3U trading section, with assignment «-» in the fourth symbol of the Non-resident Trade Account code.

(Position of the Head)

_____ / Full name /

seal

«____» _____ 20____

Prepared by full name, phone number _____

2.13. Standing Instruction to return collateral

(on the Company's letterhead)

To CCP NCC

STANDING INSTRUCTION TO RETURN COLLATERAL

(full name of the Clearing Member)

Clearing Member Unicode: _____,

In accordance with the Clearing Rules of the Central Counterparty National Clearing Centre please on a permanent basis return cash funds from the Settlement Account of the 2nd level / Settlement Account of the 3rd level:

(indicate Settlement Account(s))

corresponds to the Settlement Account of the Clearing Member:

(indicate the Settlement Account of the 1st level)

- ☐ return cash funds in all currencies* / all precious metals*;
- ☐ return cash funds in indicated currencies / indicated precious metals:

(indicate required currencies/ precious metals)

in the following amounts:

- ☐ in amount of the Total Net Claim without fee;
- ☐ in amount of the Total Net Claim;
- ☐ in amount of all available cash funds

by transferring on the relevant account(s), registered by our Organization as Account(s) for Collateral return.

This Standing Instruction is valid till it's written cancellation.

(Position of the Head)

_____ / Full name /

seal

«____» _____ 20____

Prepared by full name, phone number _____

* Currencies / precious metals in which the Clearing House has opened Collateral Accounts at the moment of submitting this Standing Instruction.

2.14. Application for changing status of Collateral

(on the Company's letterhead)

To CCP NCC

APPLICATION FOR CHANGING STATUS OF COLLATERAL

(full name of the Clearing Member)

Clearing Member Unicode: _____,

In accordance with the Clearing Rules of the Central Counterparty National Clearing Centre please change the status of Collateral in the foreign currency on the securities market, deposit market and credit market.

(Position of the Head)

_____ / Full name /

seal

«____» _____ 20____

Prepared by full name, phone number _____

2.15. Application for provision of EQM6D report

(on the Company's letterhead)

To CCP NCC

APPLICATION FOR PROVISION OF EQM6D REPORT

(full name of the Clearing Member)

Clearing Member Unicode: _____,

Please provide report EQM6D «Extract from the list of trades, accepted for clearing (for the Custodians)»:

☐ for _____ Custodian

(full name and Custodian ID)

☐ which contains information on accepted for clearing obligations arising from trades, executed by our Organization with the indication of all Trade Accounts*, into the structure of which Securities sub-accounts of the indicated Custodian are included;

☐ which contains information on accepted for clearing obligations arising from trades, executed by our Organization with the indication of listed below Trade Accounts*, into the structure of which Securities sub-accounts of the indicated Custodian are included:

(list of Trade Accounts*)

☐ for the Clearing Member

☐ which contains information on accepted for clearing obligations arising from trades, executed by our Organization with the indication of all Trade Accounts*, into the structure of which Securities sub-accounts opened under the nominee trading depo account of the Clearing Member are included;

☐ which contains information on accepted for clearing obligations arising from trades, executed by our Organization with the indication of listed below Trade Accounts*, into the structure of which Securities sub-accounts, opened under the nominee trading depo account of the Clearing Member are included:

(list of Trade Accounts*)

(Position of the Head)

_____ / Full name /

seal

«____» _____ 20____

Prepared by full name, phone number _____

* - including Non-resident Trade Accounts

2.16. Application for refusal from Asset Pool Agreement

(on the Company's letterhead)

To CCP NCC

APPLICATION FOR REFUSAL FROM ASSET POOL AGREEMENT

(full name of the Clearing Member)

Clearing Member Unicode: _____,

In accordance with the Clearing Rules of the Central Counterparty National Clearing Centre we inform about refusal from Asset Pool Agreement. The condition of all issued general clearing certificates redemption is satisfied.

(Position of the Head)

_____ / Full name /

seal

«____» _____ 20____

Prepared by full name, phone number _____

2.17. Application for consolidating orders to conclude deposit agreements

(on the Company's letterhead)

To CCP NCC

APPLICATION FOR CONSOLIDATING ORDERS TO CONCLUDE DEPOSIT AGREEMENTS

(full name of the Clearing Member)

Clearing Member Unicode: _____,

In accordance with the Clearing Rules of the Central Counterparty National Clearing Centre please

☐ consolidate orders to conclude deposit agreements in respect of the following Settlement Account: _____
(indicate Settlement Accounts)

☐ cancel to consolidate orders to conclude deposit agreements in respect of the following Settlement Accounts: _____
(indicate Settlement Accounts)

(Position of the Head)

_____ / Full name /

seal

« ____ » _____ 20 ____

Prepared by full name, phone number _____

2.18. Application for passing checks when submitting Execution Instructions

(on the Company's letterhead)

To CCP NCC

**APPLICATION FOR PASSING CHECKS WHEN SUBMITTING EXECUTION
INSTRUCTIONS**

(full name of the Clearing Member)

Clearing Member Unicode: _____,

In accordance with the Clearing Rules of the Central Counterparty National Clearing Centre please in respect of trades executed as the buyer in trading mode «Placement: Auction» or «Placement: Addressed orders» in securities, the issuer of which is the Ministry of Finance of the Russian Federation,

☐ pass checks when submitting Execution Instructions, Special Execution Instructions, Immediate Execution Instructions, without submitting specified execution instructions from our side, from the date _____.

☐ cancel checks passing when submitting Execution Instructions, Special Execution Instructions, Immediate Execution Instructions, without submitting specified execution instructions from our side, from the date _____.

(Position of the Head)

_____ / Full name /

seal

«____» _____ 20____

Prepared by full name, phone number _____

2.19. Application for provision of Additional report on OTC trades under the instrument

(on the Company's letterhead)

To CCP NCC

APPLICATION FOR PROVISION OF ADDITIONAL REPORT ON OTC TRADES UNDER THE INSTRUMENT

(full name of the Clearing Member)
Clearing Member Unicode: _____,

Please in the «Additional report on OTC trades under the instrument» (EQM59)

☐ add the following securities:

Trade Account for data selection¹: _____

Client code for data selection²: _____

Security identification code	Trading mode ID for data selection	Volume of the purchase offer threshold value to be included in the calculation of the actual validity period of offers, in lots ³

☐ exclude the following securities:

Security identification code	Trading mode ID for data selection	Volume of the purchase offer threshold value to be included in the calculation of the actual validity period of offers, in lots ³

(Position of the Head) _____ / Full name /

seal

«____» _____ 20____

Prepared by full name, phone number _____

¹ - If not specified, then offers/trades under all Trade Accounts of the Clearing Member are selected for the report

² - If not specified, then offers/trades are selected for the report without filtering by Client code

³ - For changing Threshold value, the security should be indicated in both tables: previous value – in «exclude», new value – in «add»

3. Forms (formats) of the documents, provided by Trading Members in paper form or in form of EDI document

3.1. Request for opening Settlement Account of the 3rd level

(on the Company's letterhead)

To CCP NCC

REQUEST FOR OPENING SETTLEMENT ACCOUNT OF THE 3RD LEVEL

Trading Member ID: _____,

In accordance with the Clearing Rules of the Central Counterparty National Clearing Centre please open for the client of our Organization Settlement Accounts of the 3rd level, which are included into the structure of Trade Accounts of the 3rd level and set the correspondence to Trade Accounts of the 2nd level and included into their structure Settlement Accounts of the 2nd level

Trade Account of the 2 nd level	Settlement Account of the 2 nd level	Number of the Settlement Accounts of the 3 rd level to be opened	Client identification data*

(Position of the Head) _____ / Full name /

seal

«___» _____ 20___

Prepared by full name, phone number _____

* Field «Client identification data» is not mandatory and completed in accordance with the requirements imposed by the Exchange when registering clients of Trading Members. In case of registration of the client, which is the client of the broker, the identification data of both end client and the client which is the broker are indicated.

4. Forms of documents, provided by the clients of Clearing Members in paper form or in form of EDI document

4.1. Notification on usage of client trading bank account

(on the Company's letterhead)

To CCP NCC

NOTIFICATION ON USAGE OF CLIENT TRADING BANK ACCOUNT

(full name of the Clearing Member)

(hereinafter referred to as Client of the Clearing Member) represented by _____, acting on the basis of _____, in accordance with the Clearing Rules of the Central Counterparty National Clearing Centre notifies NCC on its consent to perform clearing and settlement under obligations and claims of the Clearing Member

(full name and Unicode of the Clearing Member)

in cash funds under trades executed using his client position in cash funds / position in cash funds in foreign currency through trading bank account / trading bank account in foreign currency of Client of the Clearing Member opened with NSD №_____.

(Position of the Head)

_____ / Full name /

seal

«____» _____ 20____

Prepared by full name, phone number_____

5. Forms of documents in paper form, provided by Custodians

5.1. Application for granting status of the Custodian

(on the Company's letterhead)

To CCP NCC

APPLICATION FOR GRANTING STATUS OF THE CUSTODIAN

(full name of the organization)

asks to grant status of the Custodian in accordance with the Clearing Rules of the Central Counterparty National Clearing Centre (hereinafter referred to as the Clearing Rules) and is obliged to:

- meet the requirements for Custodians imposed by the Clearing Rules;
- resolve all disputes arising from the conscientious performance of the rights and obligations of the Custodian, as well as related to the application and interpretation of the provisions of the Clearing Rules, in accordance with procedure established by the Clearing Rules.

Appendix: copy of the license of professional participant on the securities market for performing depository activities (for residents).

(Position of the Head)

_____ / Full name /

seal

« ____ » _____ 20 ____

Prepared by full name, phone number _____

6. Formats of electronic documents provided by Clearing Members

In order to describe the formats of electronic documents, the following characters are used in encoding WIN-1251:

Letters of Latin alphabet: A-Z a-z

Letters of Russian alphabet: А-Я а-я

Numbers: 0-9

Special symbols: + ? ~ / : () . , ' - SPACE

Type codes

!	Specifies the required number of symbols contained in the string
[]	Optional sequence of symbols
a	It's allowed to use any letters from the above list
n	It's allowed to use any numbers from the above list
x	It's allowed to use any symbols from the above list
c	It's allowed to use any letters from the above list and numbers
d	Designation of the amount of cash funds. The decimal part must be separated by symbol «,»
g	It's allowed to use any letters from the above list, numbers and symbol <_>
Tab	Tab symbol
12!c	Clearing Member Unicode
O	Mandatory field
H	Optional field
GO	Mandatory field within group
GH	Optional field within group

6.1. Request for opening Trade Account

Document format TCA1ADD*

O/H	Transmitted data	Used service words	Format / Value
O	Document title		2!n.2!n.2!nTab12cTab12!cTabTCA1ADD
----->			
GO	Settlement Account	UVR_CODE	5!c
GO	Code of position in cash funds	POSITIONTYPE	1n
GH	Trade Account	TRDACC	12!c
GH	Depo account number	TRDDEPO	12!c
GH	Number of trading section of depo account	TRDDEPO_PART	17!c

* application formation is allowed by own software of the Clearing Member

Request for opening Trade Account (in the form of file of specified format txt, placed on <https://www.nationalclearingcentre.ru/catalog/020411>).

File Name: Binding_TANNN.txt, where NNN is the document number, assigned by the Clearing Member.

The first line of the file must contain the following unique fields in the following order, stated below, separated by horizontal tab:

- Document date in DD.MM.YY or DD/MM/YY format, where DD is the day, MM is the month, YY is the year;
- Main Unicode of the Clearing Member;
- Document number of the sending organization (till 12 alphanumeric symbols, without spaces);
- Document type: Binding_TA (in capital Russian letters).

Each line of the file must contain the following fields:

Nº	Field description	Type	Dimension
1	Trading section / Securities sub-account T+	Character	0 - 17
2	Trading depo account in NSD	Character	0 - 12
3	Number of trading depo account in NSD/ Settlement Account/ Non-resident Settlement Account	Character	0 - 20
4	Operation type: A – application for opening Trade Account C – application for changing Settlement account in the structure of Trade Account S – application for transfer Trade account in the status «Trade Account is not active» D – application for annulment of Trade Account	Character	1

Notes

1. Field separator: horizontal tab character.
2. Only capital Latin letters and numbers can be indicated in fields 1 and 2.
3. In field 4 for operation C only position in cash funds can be changed.
4. The uniqueness of the date and number must be maintained at least during the operational day.
5. Information on Trade Account registration is provided by separate lines under relevant trading sections 31, 36 or 3U. If the 36th section is not open, "36 is missing" is indicated.
6. When indicating section 3U, only Non-resident Settlement Account can be as position in cash funds.

Application example

```
04.12.17 MC0012300000      a-11  BINDING_TA
31MC0012300000F00  HS1111111111 30411810111111111111      A
36MC0012300000F00  HS1111111111 12345                      A
36MC0012300000F01  HL1111111111C 55555                      C
3UMC0012300000F11  HW1212111111123456                      A
```

6.2. Application for opening Asset Pool Trade Account

Document format CODPOOL*

O/H	Transmitted data	Used service words	Format / Value
O	Document title		2!n.2!n.2!nTab12cTab12!cTabCODPOOL
G	Asset Pool type	SCSUBTYPE	2c Asset Pool code: «B» (Bonds) – Settlement Account for recording assets in asset pool «GC Bonds»; «X» (Shares) – Settlement Account for recording assets in asset pool «GC Shares»; «E» (Expanded) – Settlement Account for recording assets in asset pool «GC Expanded».
G	Trade Account T+ code	TKC	12!c

* application formation is allowed by own software of the Clearing Member

6.3. Request for opening Trade Account for conclusion of deposit agreements

Document format TCADEP*

O/H	Transmitted data	Used service words	Format / Value
O	Document title		2!n.2!n.2!nTab12cTab12!cTab TCADEP
O	Settlement Account	UVR_CODE	5!n

* application formation is allowed by own software of the Clearing Member

6.4. Notification on opening Trade Account

Document format TCAASSIGN*

O/H	Transmitted data	Used service words	Format / Value
O	Document title		2!n.2!n.2!nTab12cTab12!cTab TCAASSIGN
O	Title of initial TCADEP		
O	Settlement Account	UVR_CODE	5!c
O	Trade Account	TRDACCID	12!c

* it is response to TCADEP.

6.5. Request for annulment of connection between Settlement Account and Securities sub-account / Request for Trade Account for conclusion of deposit agreements closure

Document format TCA1DEL*

O/H	Transmitted data	Used service words	Format / Value
O	Document title		2!n.2!n.2!nTab12cTab12!cTabTCA1DEL
----->			
GO	Settlement Account	UVR_CODE	5!c
GH	Trade Account	TRDACC	12!c
GH	Depo account number	TRDDEPO	12!c
GH	Number of trading section of depo account	TRDDEPO_PART	17!c

* application formation is allowed by own software of the Clearing Member

6.6. Request for replacement of Settlement Account in the Trade Account

Document format CODEEXCH*

O/H	Transmitted data	Used service words	Format / Value
O	Document title		2!n.2!n.2!nTab12cTab12!cTabCODEEXCH
----->			
GO	Settlement Account (number of Settlement account to be replaced in the structure of Trade Account)	UVR_CODE	5!c
GO	New Settlement Account	UVR_CODE_NEW	5!c
GO	Code of position in cash funds	POSITIONTYPE	1n
GH	Trade Account	TRDACC	12!c
GH	Depo account number	TRDDEPO	12!c
GH	Number of trading section of depo account	TRDDEPO_PART	17!c

* application formation is allowed by own software of the Clearing Member

6.7. Request for choosing priority Trade Account for Collateral for Stress Margin Call satisfaction

Document format RQTCACFS*

O/H	Transmitted data	Used service words	Format / Value
O	Document title		2!n.2!n.2!nTab12cTab12!cTabRQTCACFS
H	Trade Account	TRDACC	12!c

* application formation is allowed by own software of the Clearing Member

6.8. Application for provision of Additional report on OTC trades under the instrument

Document format RQEXREPORT*

O/H	Transmitted data	Used service words	Format / Value
O	Document title		2!n.2!n.2!nTab12cTab12!cTabRQEXREPORT
O	Action	ACTION	1n! 1 – add; 0 - exclude
O	Instrument code	SECCODE	12c
O	Trading mode	SECBOARD	4c
H	Trade Account	TRDACC	12!c
H	Client code	CLIENTCODE	12c
H	Threshold for data selection for the report	THRESHOLD	15n

* application formation is allowed by own software of the Clearing Member

7. Formats of electronic documents provided to Clearing Members, their Clients, Trading Members, Custodians and Clearing banks

Reporting documents are formed in the Clearing System in accordance with the Clearing Rules and sent to Clearing Members and Custodians in form of electronic documents of the category «B».

These electronic documents are the documents formed in accordance with the requirements of the XML language version 1.0.

The structure of the names of XML-file with the reporting document has the form: FFFFFFFF_TTTTT_SSS_DDMMYY_NNNNNNNNN.RRR, where:

- FFFFFFFF – the first 7 symbols of the destination organization ID (or MM00001 for the electronic documents intended for all organizations, for example, for exchange information);
- TTTTT – type of the electronic document, including 3 symbols of the market ID (for example «EQM06» for the Extract from the list of trades, accepted for clearing or «EQM6C» for the Extract from the list of trades, accepted for clearing (clients' trades) etc.);
- SSS – technical ID of the report formation procedure;
- DDMMYY – date for which the report is formed;
- NNNNNNNNN – unique number of the electronic document in NCC's EDI Subsystem;
- RRR = xml.zip.p7s.p7e (or xml.zip.p7a or xml.zip.p7s or xml.p7a or xml.p7s) – composite file extension, containing from the chain of extensions, meaning the sequence of actions for unzipping (zip), decrypting (p7e), removing digital signature (p7s), removing digital signature (p7a), necessary to receive the initial XML-file.

The full specification of XML language version 1.0 is given in the document ExtensibleMarkup Language (XML) 1.0 (Second Edition), developed by W3C and published in Internet on web-site <http://www.w3.org/TR/REC-xml>.

Each electronic document contains the title in form of text lines and context part, which is lines with the data.

Each line with the data is sequence of the fields separated by the tab symbol (hexadecimal code 09). The lines must end with the combination of symbols «carriage return – line feed» (hexadecimal codes 0D,0A).

The reporting document in XML format can be converted into any format convenient for the recipient (including TXT format with separators) by processing with own back-office software and/or standard software (MS Excel).

The reporting document in XML format can be converted into printed form by opening in Microsoft Internet Explorer.

Forms of the documents converted for printing (on the paper form) are given in Section 6.

7.1. Reports provided to Clearing Members, Trading Members

7.1.1. Extract from the protocol of the execution of trades and obligations to post compensation payments and to pay cancellation payment (EQM05)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			
EQM05		Block of report data	Yes			
	ReportDate	Report date	Yes	Date		
	Weekday	Weekday for the report date	Yes	Character	0-20	
	MainFirmId	Main firm ID	Yes	Character	0-12	
	FirmName	Main firm name	Yes	Character	0-120	
FIRM		Block of firm data	Yes			
	FirmID	Firm ID	Yes	Character	0-12	
CURRENCY		Block of settlement currency data	Yes			
	CurrencyId	Settlement currency ID	No	Character	0-4	
	CurrencyName	Settlement currency name	No	Character	0-30	
BOARD		Block of trading mode data	Yes			

	BoardId	Trading mode ID	Yes	Character	0-4	
	BoardName	Trading mode name	Yes	Character	0-30	
RECORDS		Block of trade data	Yes			
	RecNo	Sequence number	Yes	Number	11	0
	UserId	Operator code	Yes	Character	0-12	
	ReportNo	Number of report on trade execution / credit agreement / Instruction on compensation payments or instruction on refusal from compensation payments / Instruction (opposite) on refusal from trade execution / credit agreement	Yes	Number	20	0
	ReportType	Report type (possible values: «Report», «Immediate», «Instruction», «Special», «Instruction on CP», «Refusal on CP», «Refusal from trade»)	Yes	Character	0-10	
	Direction	Direction (possible values: «>» - sent, «<» - received)	Yes	Character	1	
	ReportTime	Introduction time	Yes	Time		
	ReportAmendTime	Cancellation time	No	Time		
	TradeNo	Number of trade / credit agreement / compensation payment	Yes	Number	20	0
	RecordType	Data recording feature («T» - trade/ credit agreement, «K» - compensation payment, «R» - Instruction (opposite) on refusal from trade execution/ refusal from credit agreement conclusion)	Yes	Character	2	
	RepoPart	Part of REPO trade / operation on credit agreement ("1" – first part of REPO trade / credit granting; "2" – second part of REPO trade/ credit repayment)	No	Number	1	0
	TradeDate	Trade date	No	Date		
	SettleDate	Actual settlement date / Compensation payment date / registration date in the Clearing System Instruction on refusal from trade execution/ refusal from credit agreement conclusion	Yes	Date		
	BuySell	Direction of trade / credit agreement (Buy/ granting (B) / sell/ repayment (S)). Is indicated only for Type=«T».	No	Character	1	
	DebitCredit	Direction of compensation payment / cancellation payment (Obligation (D) / Claim (K)). Is indicated for Type=«K» and «R».	No	Character	1	

	SettleCode	Settlement code under trade	No	Character	0-12	
	RepoPeriod	REPO period/ credit agreement term	No	Number	11	0
	Decimals	Number of significant decimals in prices, REPO rates, credit agreements, discounts (attributes Price, Price2)	No	Number	1	0
	Price	Price per one security	No	Number	20	6
	PriceType	Price type (pers – percentage from nominal value, cash – in settlement currency)	No	Character	0-4	
	Quantity	Trade volume/ obligation to pay compensation payment / claim to receive compensation payment, in securities	No	Number	20	0
	Value	Trade volume / credit agreement amount (including percentages)/ obligation to pay compensation payment / claim to receive compensation payment, in settlement currency	No	Number	20	2
	SecurityId	Security ID	No	Character	0-12	
	SecShortName	Security short name	No	Character	0-10	
	TrdAccId	Trade Account code	Yes	Character	0-12	
	CPFirmId	Counterparty ID	No	Character	0-12	
	CPFirmShortName	Counterparty name	No	Character	0-30	
	Price2	Price of second part of REPO trade	No	Number	20	6
	Payoff	Cancellation payment value, in settlement currency	No	Number	20	6
	InterestAmount	Interest amount under credit agreement, in settlement currency	No	Number	20	2
/RECORDS						
/BOARD						
/CURRENCY						
/FIRM						
/EQM05						
/MICEX_DOC						

7.1.2. Extract from the list of trades, accepted for clearing (EQM06/ EQM06P/ EQM06R)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			
EQM06		Block of report data	Yes			
	ReportDate	Report date	Yes	Date		
	Weekday	Weekday for report date	Yes	Character	0-20	
	MainFirmId	Main firm ID	Yes	Character	0-12	
	FirmName	Main firm name	Yes	Character	0-120	
FIRM		Block of firm data	Yes			
	FirmID	Firm ID	Yes	Character	0-12	
SETTLE		Block of Settlement Account data				
	ExtSettleCode	Settlement Account	Yes	Character	20	
CURRENCY		Block of settlement currency data	Yes			
	CurrencyId	Settlement currency ID («USD» - USA dollars, «RUR» - Russian rubles, «EUR» - euro, «CNY» - Chinese yuan, etc)	Yes	Character	0-4	
	CurrencyName	Settlement currency name	Yes	Character	0-30	

INFTYPE		Block of information type data	Yes			
	InfType	Information type: 1 – Executed trades/ deposit agreements / credit agreements of the previous days; 2 – Executed trades/ deposit agreements / credit agreements of the current day; 3 - Trades/ deposit agreements / credit agreements to be executed; 4 – Non-executed and cancelled trades/ non-executed credit agreements / non-executed deposit agreements; 5 - Orders to conclude the deposit agreement included into the list of offers/ Application for changing deposit agreement amount; 6 - Trades/ deposit agreements/ credit agreements to be executed, with changed parameters; 7 - Consolidated orders to conclude deposit agreements included into the list of offers; 8 - Trades, obligations on which are transferred from the Clearing Member during procedure for transfer of obligations and Collateral in accordance with NCC Clearing Rules; 9 - Trades, obligations on which are transferred to the Clearing Member during procedure for transfer of obligations and Collateral in accordance with NCC Clearing Rules.	Yes	Number	1	0
CLEARINGTYPE		Block of clearing type data	Yes			
	ClearingType	Clearing type (possible values: M – clearing after trading, R – clearing during trading under several trades, S – clearing during trading under one trade, C – clearing under Trades T+, E – NSD clearing)	No	Character	1	
SESSION		Block of clearing session data	Yes			
	Session	Clearing session number: «1» - clearing session at 16:00, 17:30; «2» - clearing session at 19:00, 19:30; «3» - clearing session at 20:30; «0» - for trades with clearing during trading	No	Number	1	0

SETTLEDATE		Block of settlement date data	Yes			
	SettleDate	Actual settlement date / Settlement date / Maximum possible date for fulfillment of obligations under second part of REPO trade with open date	Yes	Date		
INSTRTRADE		Block of instrument type in the trade data	Yes			
	InstrType	Instrument type in the trade: C – trade in foreign currency, S – trade in security	Yes	Character	1	
BOARD		Block of trading mode data	Yes			
	BoardId	Trading mode ID	Yes	Character	0-4	
	BoardName	Trading mode name	Yes	Character	0-30	
SECURITY		Block of security/ instrument data	Yes			
	SecurityId	Security / instrument ID	No	Character	0-12	
	ISIN	International Security Identification Number	No	Character	0-12	
	SecShortName	Security / instrument short name	No	Character	0-10	
	PriceType	Price type (pers – percentage from nominal value, cash – in settlement currency)	No	Character	0-4	
RECORDS		Block of trade/ order to conclude the deposit agreement included into the list of offers / deposit agreement / credit agreement data	Yes			
	RecNo	Sequence number	Yes	Number	11	0
	TradeNo	Number of trade/ order to conclude the deposit agreement included into the list of offers / deposit agreement/ application for changing deposit agreement amount / credit agreement in the Trading System	Yes	Number	20	0
	TradeDate	Trade date	Yes	Date		
	TradeTime	Registration time of trade / order to conclude the deposit agreement included into the list of offers / deposit agreement / application for changing deposit agreement amount / credit agreement in the Trading System	Yes	Time		
	BuySell	Direction of trade/ part of the trade / order to conclude the deposit agreement included into the list of offers / deposit agreement / credit agreement (buy/ granting (B) / sell/ repayment (S))	Yes	Character	1	

	SettleCode	Settlement code under trade / order to conclude the deposit agreement included into the list of offers / deposit agreement / credit agreement	Yes	Character	0-12	
	Decimals	Number of significant decimals in prices, REPO rates, credit agreements, discounts (attributes Price, Price2, RepoRate, DepoRate, Discount, LowerDiscount, UpperDiscount)	No	Number	1	0
	Price	Price per one security	No	Number	20	7
	Quantity	Trade volume, in securities/ in foreign currency	No	Number	20	0
	Value	Volume of trade / order to conclude the deposit agreement included into the list of offers / deposit agreement / credit agreement amount*, in settlement currency	No	Number	20	2
	FaceAmount	Trade volume at nominal value, in nominal currency	No	Number	30	2
	DepoRate	Interest rate under deposit agreement / interest rate indicated in the order to conclude the deposit agreement included into the list of offers / value of deviation from indicative rate (spread), in percentage	No	Number	20	7
	AccInt	Accrued coupon income calculated in accordance with quantity of securities under the trade, in settlement currency	No	Number	20	2
	Amount	Obligation/ Claim in cash funds*	No	Number	20	2
	Balance	Obligation/ Claim in securities	No	Number	20	0
	Sum1	Coupon income amount and compensation payment in cash funds	No	Number	20	2
	Sum2	Compensation payment amount in securities	No	Number	20	2
	ExchComm	Fee for organization of trading, in rubles	No	Number	20	2
	ClrComm	Fee for provision of clearing services, in rubles	No	Number	20	2
	TrdAccId	Trade Account code	Yes	Character	0-12	
	ClientDetails	INN or № of client's passport	No	Character	0-41	
	CPFirmId	Counterparty ID	No	Character	0-12	
	CPFirmShortName	Counterparty short name	No	Character	0-30	
	Price2	Price of second part of REPO trade / order to conclude the deposit agreement included into the list of offers / deposit agreement	No	Number	20	7
	Payoff	Cancellation payment amount in settlement currency	No	Number	20	6

	RepoPart	Part of REPO trade / order to conclude the deposit agreement included into the list of offers / deposit agreement / credit agreement ("1" – first part of REPO trade/ swap or deposit placement / credit granting; "2" – second part of REPO trade/ swap or deposit return / credit repayment)	No	Number	1	0
	RepoPeriod	REPO period (for trades without central counterparty)/ credit agreement term	No	Number	11	0
	ReportNo	Number of Execution Instruction / Instruction on refusal from trade execution	No	Number	20	0
	ReportTime	Execution time	No	Time		
	SettleTime	Settlement time	No	Time		
	ClientCode	Client short code	No	Character	0-12	
	DueDate	Settlement date / Maximum possible period of REPO trade with open date	Yes	Date		
	Type	Trade type executed with aim to settle non-executed obligations under Trades T+: 1 – REPO trade/ two buy-sell trades/ swap trade in case of non-fulfilment of obligation by the Clearing Member; 2 – closing trade in respect of Defaulting Clearing Member based on order submitted by NCC; 3 – REPO trade/ two buy-sell trades / swap trade in case of non-fulfilment of obligations by the Clearing House; 4 – balancing trade with Non-Defaulting Clearing Member (without submitting order); 5 – REPO trade of the Clearing House (donor REPO trade); 6 – closing trade in respect of Defaulting Clearing Member within cross-default procedure; 7 – closing trade in respect of Defaulting Clearing Member due to unsatisfied Margin Call; 8 – closing trade in respect of the Clearing Member based on order submitted by NCC; 9 – closing trade in respect of Defaulting Clearing Member without submitting order	No	Number	1	
	Systemref	Positions register section code on the derivatives market/ Number of order to conclude deposit agreement included into the list of offers	No	Character	0-12	

	EarlySettleStatus	Change of term for fulfillment of obligations under trade («Y» - yes, «N» - no)	No	Number	1	
	TradeMergeNo	Number of deposit agreement concluded based on consolidated orders to conclude deposit agreements included into the list of offers	No	Number	20	0
	DepoTradeNo	Number of deposit agreement under which the Application for temporary return of the part of the deposit was submitted	No	Number	20	0
	RepoRate	REPO rate/ Interest rate under credit / Interest rate indicated in the Application for temporary return of the part of the deposit / value of deviation from indicative rate (spread), in percentage	No	Number	20	6
	RateType	Rate type: «FIX» - fixed rate; «FLOATING» - floating rate	No	Character	8	
	OpenRepo	Feature of REPO trade with open date: «Y» - yes	No	Character	1	
	Benchmark	Indicative rate code	No	Character	12	
	BenchmarkRate	Indicative rate value, in percentage	No	Number	20	6
	CurRepoRate	Current value of REPO rate/ interest rate under credit with floating rate/ rate under deposit with floating rate, in percentage (RepoRate + BenchmarkRate or DepoRate + BenchmarkRate)	No	Number	20	6
	RepoSum	Sum of REPO trade executed in trading mode «REPO auction with Bank of Russia: floating rate», including accrued interest income, in settlement currency	No	Number	20	2
	InterestAmount	Interest amount under credit agreement, in settlement currency	No	Number	20	2
/RECORDS						
/SECURITY						
/BOARD						
/INSTRTRADE						
/SETTLEDATE						
/SESSION						
/CLEARINGTYPE						

/INFTYPE						
/CURRENCY						
/SETTLE						
/FIRM						
/EQM06						
/MICEX_DOC						

Field Systemref is not completed for deposit agreement concluded based on consolidated orders to conclude deposit agreements included into the list of offers.

EQM06R is provided to the Clearing Member-Recipient before the procedure for transfer of obligations and Collateral. EQM06P is provided to the Clearing Member-Recipient after the procedure for transfer of obligations and Collateral, field InfType contains the value «9». EQM06P is provided to the Basic Clearing Member after the procedure for transfer of obligations and Collateral, field InfType contains the value «8».

* for credit agreements and deposit agreements when returning credit / deposit, the sum in field «Value» and «Amount» is included accrued interest. For deposits with possibility of replenishment: under the condition of timely replenishment of all previously withdrawn amounts on their target dates of deposit replenishment. For REPO trades / Deposits with CCP with floating rates: the forecast value, taking into account the possible change in the value of the indicative rate at the beginning of new interest period.

7.1.3. Extract from the list of trades. accepted for clearing (for the Trading Member) (EQM63)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	

	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			
EQM63		Block of report data	Yes			
	ReportDate	Report date	Yes	Date		
	Weekday	Weekday for report date	Yes	Character	0-20	
	MainFirmId	Main firm ID	Yes	Character	0-12	
	FirmName	Main firm name	Yes	Character	0-120	
FIRM		Block of Trading Member data	No			
	FirmID	Trading Member ID	No	Character	0-12	
SETTLE		Block of Settlement Account data				
	ExtSettleCode	Settlement Account of the 2 nd level	Yes	Character	20	
SETTLEDATE		Block of settlement date data	Yes			
	SettleDate	Actual settlement date / Maximum possible date for fulfillment of obligations under second part of REPO trade with open date	Yes	Date		
CURRENCY		Block of settlement currency data	Yes			
	CurrencyId	Settlement currency ID («USD» - USA dollars, «RUR» - Russian rubles, «EUR» - euro, «CNY» - Chinese yuan, etc)	Yes	Character	0-4	
	CurrencyName	Settlement currency name	Yes	Character	0-30	
INSTRTRADE		Block of instrument type in the trade data	Yes			
	InstrType	Instrument type in the trade: C – trade in foreign currency, S – trade in security	Yes	Character	1	
BOARD		Block of trading mode data	Yes			
	BoardId	Trading mode ID	Yes	Character	0-4	
	BoardName	Trading mode name	Yes	Character	0-30	
SECURITY		Block of security/ instrument data	No			
	SecurityId	Security / instrument ID	Yes	Character	0-12	

	ISIN	International Security Identification Number	No	Character	0-12	
	SecShortName	Security / instrument short name	Yes	Character	0-10	
	PriceType	Price type (pers – percentage from nominal value, cash – in settlement currency)	No	Character	0-4	
RECORDS		Block of trade/ order to conclude the deposit agreement included into the list of offers / deposit agreement data	Yes			
	RecNo	Sequence number	Yes	Number	11	0
	TradeNo	Number of trade/ order to conclude the deposit agreement included into the list of offers / deposit agreement in the Trading System	Yes	Number	20	0
	TradeDate	Trade date	Yes	Date		
	TradeTime	Registration time of trade / order to conclude the deposit agreement included into the list of offers / deposit agreement in the Trading System	Yes	Time		
	BuySell	Direction of trade/ part of the trade / order to conclude the deposit agreement included into the list of offers / deposit agreement (buy (B) / sell (S))	Yes	Character	1	
	SettleCode	Settlement code under trade / order to conclude the deposit agreement included into the list of offers / deposit agreement	Yes	Character	0-12	
	Decimals	Number of significant decimals in prices, REPO rates, discounts (attributes Price, Price2, RepoRate, Discount, LowerDiscount. UpperDiscount)	No	Number	1	0
	Price	Price per one security	No	Number	20	7
	Quantity	Trade volume, in securities/ in foreign currency	No	Number	20	0
	Value	Volume of trade / order to conclude the deposit agreement included into the list of offers / deposit agreement, in settlement currency	No	Number	20	2
	AccInt	Accrued coupon income calculated in accordance with quantity of securities under the trade, in settlement currency	No	Number	20	2
	Amount	Obligation/ Claim in cash funds	No	Number	20	2
	Balance	Obligation/ Claim in securities	No	Number	20	0
	Sum1	Amount of coupon income and compensation payment in cash funds	No	Number	20	2
	Sum2	Compensation payment amount in securities	No	Number	20	2

	ExchComm	Fee for organization of trading, in rubles (completed only for trades/ orders, executed on report date)	No	Number	20	2
	TrdAccId	Trade Account code of the relevant level	Yes	Character	0-12	
	ClientDetails	INN or № of client's passport	No	Character	0-41	
	CPFirmId	Counterparty ID	No	Character	0-12	
	CPFirmShortName	Counterparty short name	No	Character	0-30	
	Price2	Price of the second part of REPO trade / order to conclude the deposit agreement included into the list of offers / deposit agreement	No	Number	20	7
	Payoff	Cancellation payment amount in settlement currency	No	Number	20	6
	RepoPart	Part of REPO trade / order to conclude the deposit agreement included into the list of offers / deposit agreement ("1" – first part of REPO trade/ swap; "2" – second part of REPO trade)	No	Number	1	0
	RepoPeriod	REPO period (for trades without central counterparty)	No	Number	11	0
	ReportNo	Number of Execution Instruction / Instruction on refusal from trade execution	No	Number	20	0
	ReportTime	Execution time	No	Time		
	SettleTime	Settlement time	No	Time		
	ClientCode	Client short code	No	Character	0-12	
	DueDate	Settlement date / Maximum possible period of second part of REPO trade with open date	Yes	Date		
	Systemref	Positions register section code on the derivatives market/ Number of order to conclude the deposit agreement included into the list of offers	No	Character	0-12	
	RepoRate	REPO rate/ value of deviation from indicative rate (spread), in percentage	No	Number	20	6
	RateType	Rate type: «FIX» - fixed rate; «FLOATING» - floating rate	No	Character	8	
	OpenRepo	Feature of REPO trade with open date: «Y» - yes	No	Character	1	
	Benchmark	Indicative rate code	No	Character	12	
	BenchmarkRate	Indicative rate value, in percentage	No	Number	20	6

	CurRepoRate	Current value of REPO rate, in percentage (RepoRate+ BenchmarkRate)	No	Number	20	6
	RepoSum	Sum of REPO trade executed in trading mode «REPO auction with Bank of Russia: floating rate», including accrued interest income, in settlement currency	No	Number	20	2
/RECORDS						
/SECURITY						
/BOARD						
/INSTRTRADE						
/CURRENCY						
/SETTLEDATE						
/SETTLE						
/FIRM						
/EQM63						
/MICEX_DOC						

7.1.4. Extract from the list of trades, accepted for clearing (under trades of clients) (EQM6C)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	

	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			
EQM6C		Block of report data	Yes			
	ReportDate	Report date	Yes	Date		
	Weekday	Weekday for report date	Yes	Character	0-20	
	MainFirmId	Main firm ID	Yes	Character	0-12	
	FirmName	Main firm name	Yes	Character	0-120	
FIRM		Block of firm data	Yes			
	FirmID	Firm ID	Yes	Character	0-12	
CLIENT		Block of client data	Yes			
	ClientCode	Client short code	Yes	Character	0-12	
	ClientDetails	INN or № of client's passport	Yes	Character	0-41	
CURRENCY		Block of settlement currency data	Yes			
	CurrencyId	Settlement currency ID («USD» - USA dollars, «RUR» - Russian rubles, «EUR» - euro, «CNY» - Chinese yuan, etc)	Yes	Character	0-4	
	CurrencyName	Settlement currency name	Yes	Character	0-30	
INFTYPE		Block of information type data	Yes			
	InfType	Information type: 1 – Executed trades/ deposit agreements of the previous days; 2 – Executed trades/ deposit agreements of the current day; 3 - Trades to be executed; 4 – Non-executed and cancelled trades/ non-executed deposit agreements / non-concluded deposit agreements; 5 - Orders to conclude the deposit agreement included into the list of offers; 6 - Trades to be executed, with changed parameters; 7 - Consolidated orders to conclude deposit agreements included into the list of offers	Yes	Number	1	0
CLEARINGTYPE		Block of clearing type data	Yes			

	ClearingType	Clearing type (possible values: M – clearing after trading, R – clearing during trading under several trades, S – clearing during trading under one trade, C – clearing under Trades T+, E – NSD clearing)	No	Character	1	
SESSION		Block of clearing session data	Yes			
	Session	Clearing session number: «1» - clearing session at 16:00, 17:30; «2» - clearing session at 19:00, 19:30; «3» - clearing session at 20:30; «0» - for trades during trading	No	Number	1	0
SETTLEDATE		Block of settlement date data	Yes			
	SettleDate	Actual settlement date	Yes	Date		
INSTRTRADE		Block of instrument type in the trade data	Yes			
	InstrType	Instrument type in the trade: C – trade in foreign currency, S – trade in security	Yes	Character	1	
BOARD		Block of trading mode data	Yes			
	BoardId	Trading mode ID	Yes	Character	0-4	
	BoardName	Trading mode name	Yes	Character	0-30	
SECURITY		Block of security/ instrument data	Yes			
	SecurityId	Security / instrument ID	No	Character	0-12	
	ISIN	International Security Identification Number	No	Character	0-12	
	SecShortName	Security / instrument short name	No	Character	0-10	
	PriceType	Price type (pers – percentage from nominal value, cash – in settlement currency)	No	Character	0-4	
RECORDS		Block of trade/ order to conclude the deposit agreement included into the list of offers / deposit agreement data	Yes			
	RecNo	Sequence number	Yes	Number	11	0
	TradeNo	Number of trade/ order to conclude the deposit agreement included into the list of offers / deposit agreement in the Trading System	Yes	Number	20	0

	TradeDate	Trade date	Yes	Date		
	TradeTime	Registration time of trade / order to conclude the deposit agreement included into the list of offers / deposit agreement in the Trading System	Yes	Time		
	BuySell	Direction of trade/ part of the trade / order to conclude the deposit agreement included into the list of offers / deposit agreement (buy (B) / sell (S))	Yes	Character	1	
	SettleCode	Settlement code under trade / order to conclude the deposit agreement included into the list of offers / deposit agreement	Yes	Character	0-12	
	Decimals	Number of significant decimals in prices, REPO rates, discounts (attributes Price, Price2, RepoRate, DepoRate, Discount, LowerDiscount, UpperDiscount)	Yes	Number	1	0
	Price	Price per one security	No	Number	20	7
	Quantity	Trade volume, in securities/ in foreign currency	No	Number	20	0
	Value	Volume of trade / order to conclude the deposit agreement included into the list of offers / deposit agreement, in settlement currency	No	Number	20	2
	FaceAmount	Trade volume at nominal value, in nominal currency	No	Number	30	2
	DepoRate	Interest rate under deposit agreement / interest rate indicated in the order to conclude the deposit agreement included into the list of offers / value of deviation from indicative rate (spread), in percentage	No	Number	20	7
	AccInt	Accrued coupon income calculated in accordance with quantity of securities under the trade, in settlement currency	No	Number	20	2
	Amount	Obligation/ Claim in cash funds	No	Number	20	2
	Balance	Obligation/ Claim in securities	No	Number	20	0
	Sum1	Coupon income amount and compensation payment in cash funds	No	Number	20	2
	Sum2	Compensation payment amount in securities	No	Number	20	2
	ExchComm	Fee for organization of trading, in rubles (completed only for trades/ orders, executed on report date)	No	Number	20	2
	ClrComm	Fee for provision of clearing services, in rubles (completed only for trades/ orders, executed on report date)	No	Number	20	2
	TrdAccId	Trade Account code	Yes	Character	0-12	

	CPFirmId	Counterparty ID	No	Character	0-12	
	CPFirmShortName	Counterparty short name	No	Character	0-30	
	Price2	Price of the second part of REPO trade / order to conclude the deposit agreement included into the list of offers / deposit agreement	No	Number	20	7
	Payoff	Cancellation payment amount in settlement currency	No	Number	20	6
	RepoPart	Part of trade ("1" – first part of REPO trade/ swap or deposit placement; "2" – second part of REPO trade/ swap or deposit return)	No	Number	1	0
	RepoPeriod	REPO period (for trades without central counterparty)	No	Number	11	0
	ReportNo	Number of Execution Instruction / Instruction on refusal from trade execution	No	Number	20	0
	ReportTime	Execution time	No	Time		
	SettleTime	Settlement time	No	Time		
	DueDate	Settlement date	Yes	Date		
	Type	Trade type executed with aim to settle non-executed obligations under Trades T+: 1 – REPO trade/ two buy-sell trades/ swap trade in case of non-fulfilment of obligation by the Clearing Member; 2 – closing trade in respect of Defaulting Clearing Member based on order submitted by NCC; 3 – REPO trade/ two buy-sell trades / swap trade in case of non-fulfilment of obligations by the Clearing House; 4 – balancing trade with Non-Defaulting Clearing Member (without submitting order); 5 – REPO trade of the Clearing House (donor REPO trade); 6 – closing trade in respect of Defaulting Clearing Member within cross-default procedure; 7 – closing trade in respect of Defaulting Clearing Member due to unsatisfied Margin Call; 8 – closing trade in respect of the Clearing Member based on order submitted by NCC; 9 – closing trade in respect of Defaulting Clearing Member without submitting order	No	Number	1	

	Systemref	Positions register section code on the derivatives market/ Number of order to conclude the deposit agreement included into the list of offers	No	Character	0-12	
	EarlySettleStatus	Change of term for fulfillment of obligations under trade («Y» - yes, «N» - no)	No	Number	1	
	TradeMergeNo	Number of deposit agreement concluded based on consolidated orders to conclude deposit agreements included into the list of offers	No	Number	20	0
	RepoRate	REPO rate/ value of deviation from indicative rate (spread), in percentage	No	Number	20	6
	RateType	Rate type: «FIX» - fixed rate; «FLOATING» - floating rate	No	Character	8	
	OpenRepo	Feature of REPO trade with open date: «Y» - yes	No	Character	1	
	Benchmark	Indicative rate code	No	Character	12	
	BenchmarkRate	Indicative rate value, in percentage	No	Number	20	6
	CurRepoRate	Current value of REPO rate, in percentage (RepoRate+ BenchmarkRate)	No	Number	20	6
	RepoSum	Sum of REPO trade executed in trading mode «REPO auction with Bank of Russia: floating rate», including accrued interest income, in settlement currency	No	Number	20	2
/RECORDS						
/SECURITY						
/BOARD						
/INSTRTRADE						
/SETTLEDATE						
/SESSION						
/CLEARINGTYPE						
/INFTYPE						
/CURRENCY						

/CLIENT						
/FIRM						
/EQM6C						
/MICEX_DOC						

Field Systemref is not completed for deposit agreement concluded based on consolidated orders to conclude deposit agreements included into the list of offers.

7.1.5. Extract from the list of non-executed and cancelled trades (EQM08)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			
EQM08		Block of report data	Yes			
	ReportDate	Report date	Yes	Date		
	Weekday	Weekday for report date	Yes	Character	0-20	
	MainFirmId	Main firm ID	Yes	Character	0-12	
	FirmName	Main firm name	Yes	Character	0-120	

FIRM		Block of firm data	Yes			
	FirmID	Firm ID	Yes	Character	0-12	
CURRENCY		Block of settlement currency data	Yes			
	CurrencyId	Settlement currency ID	Yes	Character	0-4	
	CurrencyName	Settlement currency name	Yes	Character	0-30	
BOARD		Block of trading mode data	Yes			
	BoardId	Trading mode ID	Yes	Character	0-4	
	BoardName	Trading mode name	Yes	Character	0-30	
DUE DATE		Block of settlement date data	Yes			
	DueDate	Settlement date	Yes	Date		
SECURITY		Block of security data	Yes			
	SecurityId	Security ID	No	Character	0-12	
	SecShortName	Security short name	No	Character	0-10	
	PriceType	Price type (pers – percentage from nominal value, cash – in settlement currency)	No	Character	0-4	
RECORDS		Block of trade / credit agreement data	Yes			
	RecNo	Sequence number	Yes	Number	11	0
	TradeNo	Number of trade/ credit agreement in the Trading System	Yes	Number	20	0
	TradeDate	Trade date	Yes	Date		
	BuySell	Direction (buy/ granting (B) / sell / return (S))	Yes	Character	1	
	SettleCode	Settlement code	Yes	Character	0-12	
	Decimals	Number of significant decimals in prices, REPO rates, credit agreements, discounts (attributes Price, Price2, RepoRate)	Yes	Number	1	0
	Price	Price per one security	No	Number	20	6
	Quantity	Trade volume, in securities/ in foreign currency	No	Number	20	0
	Value	Volume of trade / credit agreement (taking into account accrued interest), in settlement currency	No	Number	20	2
	AccInt2	Accrued coupon income on the settlement date	No	Number	20	2
	SettlePrice	Refund price	No	Number	20	6

	RefundRate	Fixed refund rate	No	Number	20	2
	TrdAccId	Trade Account code	Yes	Character	0-12	
	ClientDetails	INN or № of client's passport	No	Character	0-41	
	CPFirmId	Counterparty ID	No	Character	0-12	
	CPFirmShortName	Counterparty short name	No	Character	0-30	
	Price2	Price of the second part of REPO trade	No	Number	20	6
	Payoff	Cancellation payment amount in settlement currency	No	Number	20	2
	DeliveryFailedPenalty	Accrued forfeit (penalty) for Non-execution at Auction	No	Number	20	2
	RepoRate	REPO rate	No	Number	20	6
	RepoPart	Part of REPO trade / credit agreement ("1" – first part of REPO trade/ credit granting; "2" – second part of REPO trade/ credit repayment)	No	Number	1	0
	RepoPeriod	REPO period/ credit agreement term	No	Number	11	0
	ReportNo	Number of Execution Instruction / credit agreement / Instruction on refusal from trade execution / credit agreement	No	Number	20	0
	ReportTime	Introduction time of report/ Instruction on refusal from trade execution / credit agreement	No	Time		
	ReportType	Report type (possible values «Report», «Instruction for refusal»)	No	Character	0-10	
	Reason	Reason for non-execution (termination) («1» – Execution Instruction is not provided, «2» – Instruction for refusal is provided)	No	Character	0-10	
/RECORDS						
/SECURITY						
/DUEDATE						
/BOARD						
/CURRENCY						
/FIRM						
/EQM08						
/MICEX_DOC						

7.1.6. Notification on Trade Account replacement (EQM12)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			
EQM12		Block of report data	Yes			
	ReportDate	Report date	Yes	Date		
	Weekday	Weekday for report date	Yes	Character	0-20	
	MainFirmId	Main firm ID	Yes	Character	0-12	
	FirmName	Main firm name	Yes	Character	0-120	
FIRM		Block of firm data	Yes			
	FirmID	Firm ID	Yes	Character	0-12	
RECORDS		Block of trade data	Yes			
	RecNo	Sequence number	Yes	Number	11	0
	TradeNo	Trade number in the Trading System	Yes	Number	20	0
	Type	Obligation type	Yes	Character	1	
	TradeDate	Trade date	Yes	Date		
	BuySell	Direction of trade/ part of the trade: B – buy; S – sell	Yes	Character	1	

	TrdAccIdOld	Trade Account code before replacement	Yes	Character	0-12	
	TrdAccIdNew	Trade Account code after replacement	Yes	Character	0-12	
	ClientCode	Client short code	No	Character	0-12	
/RECORDS						
/FIRM						
/EQM12						
/MICEX_DOC						

7.1.7. Report on Total Net Obligations / Total Net Claims (EQM13)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			
EQM13		Block of report data	Yes			
	ReportDate	Report date	Yes	Date		
	Session	Sequential number of the settlement clearing session for which the report on securities was generated: «1» - clearing session at 16:00, 17:30 «2» - clearing session at 19:00, 19:30 «3» - clearing session at 20:30	Yes	Number	1	
	MainFirmId	Main firm ID	Yes	Character	0-12	
	FirmName	Main firm name	Yes	Character	0-120	
FIRM		Block of firm data	Yes			
	FirmID	Firm ID	Yes	Character	0-12	

SETTLE		Block of Settlement Account data				
	ExtSettleCode	Settlement Account of the Clearing Member	Yes	Character	5	
POSTYPES		Block of cash position or depositary section data				
	PostType	If «C» - information on net obligations / claims under cash position / position in precious metals in nested nodes, if «S» - information on net obligations / claims under depositary sections	Yes	Number	1	
GROUP		Block of obligations type data				
	BankAccId	Cash position code	No	Character	12	
	GuarDepUnitId	Depo account section for recording securities as Collateral	No	Character	17	
	TrdAccId	Trade Account code	No	Character	12	
CURRENCY		Block of currency / precious metal data				
	CurrencyId	Currency / precious metal ID	No	Character	0-4	
	CurrencyName	Name of settlement currency / precious metal	No	Character	30	
	NettoSum	Total Net Obligation / Total Net Claim	No	Number	20	2
	DepoSum	Total for deposit market	No	Number	20	2
RECORDS		Block of obligations / claims / intensions data				
	DataType	Obligation type: SEC_M – under Trades T+ with arrived settlement date; PR_NETTOSUM – Total Net Obligation / Total Net Claim from the previous report; SETTL_OBLIG – under settlement trades; SEC_M_FEE – under fee payments on the security market, deposit market and credit market; SEC_M_DEBT – under Debt payments on the security market; SEC_M_PEN – penalty, forfeits, fines on the security market; DEPO_RETURN – claims to return deposits; DEPO_INTENTION – intentions to conclude deposit agreements; DEPO_FACT_SUM – actual deposited amounts; DEPO_WITHDRAWAL – claims under partial withdrawal from deposit; DEPO_REPLENISHMENT – obligations to replenish deposit with partially withdrawn amount; DEPO_F_REPLENISHMENT – actual replenished amounts; DEPO_FACT_RETURN – actual claims to return deposits (taking into account unfulfilled replenishments);	No	Character	1-20	

		<p>PR_DEPO_NETTOSUM – Total Net Obligation / Total Net Claims from the previous report;</p> <p>FX_OBLIG – under trades with arrived settlement date on the FX market and precious metals market;</p> <p>FX_VM – under variation margin payment on the FX market and precious metals market;</p> <p>FX_FEE – under fee payment on the FX market and precious metals market;</p> <p>FX_PEN – penalties, forfeits, fines on the FX market and precious metals market;</p> <p>DERIV_ VM - under variation margin payment on the Derivatives market;</p> <p>DERIV_ FEE – under fee and commission payment on the Derivatives market;</p> <p>DERIV_PEN - penalties, forfeits, fines on the Derivatives market;</p> <p>OTC_ VM - under variation margin payment on the Standardised derivatives market;</p> <p>OTC_ TVM – under accrued variation margin payment on the Standardised derivatives market (settlement under variation margin when changing currency of variation margin);</p> <p>OTC_ DM – under deposit margin payment on the Standardised derivatives market;</p> <p>OTC_ ITDM – under interest on the accrued deposit margin payment on the Standardised derivatives market;</p> <p>OTC_VFSUM- under Floating sum/ Fixed sum payment on the Standardised derivatives market (interest payment);</p> <p>OTC_OSUM- under Nominal sum payment (Initial payment amount) on the Standardised derivatives market;</p> <p>OTC_CSUM- under Nominal sum payment (final payment amount) on the Standardised derivatives market;</p> <p>OTC_SUM- under Payment amount payment on the Standardised derivatives market (payments under forward);</p> <p>OTC_ PAY – under Option price payment on the Standardised derivatives market;</p> <p>OTC_ AGRPAY – from Agreements on changing terms of the Standardised Derivative Contract / from Agreements on early termination of the Standardised Derivative Contract;</p>				
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		OTC_ FEE – under fee payment on the Standardised Derivatives market; OTC_ IMI – interest on cash funds constituting individual clearing collateral				
	ISIN	International Security Identification Number	No	Character	12	
	SecurityId	Security ID	No	Character	12	
	SecShortName	Security short name	No	Character	10	
	Debit	Net obligation / obligation	Yes	Number	20	2
	Credit	Net claim / claim	Yes	Number	20	2
/RECORDS						
/CURRENCY						
/GROUP						
/POSTYPES						
/SETTLE						
/FIRM						
/EQM13						
/MICEX_DOC						

7.1.8. Report on Margin Calls (EQM14)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document				
DOC_REQUISITES		Block of document metadata				
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES						
EQM14		Block of report data				
	ReportDate	Report date	Yes	Date		
	MaxMarginDate	Maximum date for Margin Call satisfaction	Yes	Date		

	MainFirmId	Main firm ID	Yes	Character	0-12	
	FirmName	Main firm name	Yes	Character	0-120	
FIRM		Block of firm data	Yes			
	FirmID	Firm ID	Yes	Character	0-12	
SETTLE		Block of Settlement Account data				
	ExtSettleCode	Settlement Account of the Clearing Member	Yes	Character	5	
	ExtSettleCodeType	Settlement Account type: 0 – Settlement Account for recording collateral; 1 – Default Funds Settlement Accounts; 3 – Asset Pool Settlement Accounts; 4 – Concentration Risk Settlement Accounts; 5 – Settlement Accounts for paying taxes	Yes	Number	1	0
MARGIN		Block of Margin Call data				
	MarginSum	Margin Call amount, rub.	Yes	Number	20	2
/MARGIN						
/SETTLE						
/FIRM						
/EQM14						
/MICEX_DOC						

7.1.9. Report on fees (EQM15)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	

	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			
EQM15		Block of report data	Yes			
	ReportDate	Report date	Yes	Date		
	ReportType	Report purpose: CLEARING – for the Clearing Member, TRADE – for the Trading Member	Yes	Character		
	Weekday	Weekday for report date	Yes	Character	0-20	
	MainFirmId	Main firm ID	Yes	Character	0-12	
	FirmName	Main firm name	Yes	Character	0-120	
FIRM		Block of firm data	Yes			
	FirmID	Clearing Member Unicode / Trading Member ID	Yes	Character	0-12	
SETTLE12		Block of cash position code / Settlement Account of the 2 nd level data				
	BankAccId	Cash position code / Settlement Account of the 2 nd level	No	Character	0-25	
TYPE		Block of fee recipient data	Yes			
	ComType	«1» - Fee for organization of trading, «2» - Fee for provision of clearing services, «3» - Fee for providing Information Technology Services, «4» - Payments in favor of third parties	Yes	Character	1	
RECORDS		Block of fee data	Yes			
	FeeType	Fee type	Yes	Number	3	
	CommisName	Fee description	Yes	Character	255	
	DateFrom	Start date of the period for which fee is accrued	No	Date		
	DateTo	End date of the period for which fee is accrued	No	Date		
	Comm	Fee amount, rub.	Yes	Number	20	2
	ITSVAT	including VAT	No	Number	20	2
	RealAccount	Account number or Settlement Account of the Clearing Member	No	Character	0-120	
	NccRealAccount	Collateral account number	No	Character	0-25	
	SettleDate	Settlement date of obligation to pay fee	Yes	Date		

SETTLE23		Block of Settlement Account of the 2 nd level / Settlement Account of the 3 rd level data				
	ExtSettleCode	Settlement Account of the 2 nd level / Settlement Account of the 3 rd level	Yes	Character	20	
	Comm	Fee amount, rub.	Yes	Number	20	2
/SETTLE23						
/RECORDS						
/TYPE						
/SETTLE12						
/FIRM						
/EQM15						
/MICEX_DOC						

Rules for completing fields DateFrom, DateTo:

- for variable part of the fee the fields DateFrom and DateTo is completed with the date on which fee is calculated (corresponds to report date);
- for fixed part of the fee the field DateFrom is completed with the first date of the month for which fixed part of the fee is charged, the field DateTo – with the last date of the month;
- for recurring fee the field DateFrom is completed with the first date of the quarter for which recurring fee is charged, the field DateTo – with the last date of the quarter;
- for fee for recurrent provision (resubmission) of reports the fields DateFrom and DateTo are completed with the date on which fee is accrued (corresponds to report date).
- for tariff fee «Placement-fixed» the field DateFrom is completed with the first date of the quarter for which fee is charged, the field DateTo – with the last date of the quarter;
- for Additional fee the fields DateFrom and DateTo is completed with the date on which Additional fee is accrued (corresponds to report date).

7.1.10. Report on Trade Accounts (EQM20)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			
EQM20		Block of report data	Yes			
	ReportDate	Report date	Yes	Date		
	Weekday	Weekday for report date	Yes	Character	0-20	
	MainFirmId	Main firm ID	Yes	Character	0-12	
	FirmName	Main firm name	Yes	Character	0-120	
FIRM		Block of firm data	Yes			
	FirmID	Firm ID	Yes	Character	0-12	
INFO		Block of all Trade Accounts and cash positions data	Yes			
	InfoType	If «T» - then in nested nodes information on trading accounts, if «B» - then information on cash accounts	Yes	Character	1	
BANKACC		Block of cash position data	Yes			
	BankAccId	Cash position code	Yes	Character	0-12	
	BankAccModelType	Feature which indicating the Settlement Account usage model:	Yes	Character	1	

		1 – Settlement Account of the Clearing Member which is the Trading Member; 2 – Settlement Account for execution of trades in the name and on behalf of the Clearing Member; 3 – Settlement Account of the Clearing Broker.				
	BankAccType	Cash position type: S – for recording proprietary funds; L – for recording clients funds; D – for recording funds which are in trust management	Yes	Character	1	
	FullCoveredBuy	Account without admission to Clearing of Partially Secured Trades in cash funds («Y» - yes, «N» - no)	No	Character	1	
	BankAccPoolType	Cash position feature which indicating the correspondence to Asset Pool Settlement Account («Y» - yes, «N» - no)	No	Character	1	
	BankAccUnifiedPool	Settlement Account feature «Unified Pool» («Y» - yes, «N» - no)	No	Character	1	
	SPF	Settlement Account feature «Special feature» («Y» - yes, «N» - no)	Yes	Character	1	
	TaxPaymentAcLink	Correspondence to the Settlement Account for the variable part of fees withdrawal	No	Character	5	
	SegrClientCode	Settlement Account of the Segregated Client («Y» - yes, «N» - no)	No	Character	1	
	Details	INN or № of client's passport	No	Character	20	
	SubDetails	INN or № of passport of the sub-broker's client	No	Character	20	
TRDACC		Block of Trade Account data	Yes			
	TrdAcDeposit	Trade Account for concluding deposit agreements («Y» - yes, «N» - no)	No	Character	1	
RECORDS		Block of cash position or Trade Account data	Yes			
	CurrencyId	Settlement currency ID («USD» - USA dollars, «RUR» - Russian rubles, «EUR» - euro, «CNY» - Chinese yuan, etc)	No	Character	0-4	
	ClearingCenterId	Code of organization in which account is opened (NCC – NCC, NSD – NSD)	No	Character	0-4	
	ClearingCenterName	Name of organization in which account is opened	No	Character	0-12	
	RealAccount	Account number or the Settlement Account of the Clearing Member	No	Character	0-120	
	NccRealAccount	Collateral Account number	No	Character	0-25	

	TransferSettleRUR	Feature of transfer cash funds from T0 to T+ when executing obligations («Y» - yes, «N» - no)	No	Character	1	
	TransferNettoRUR	Feature of transfer cash funds from T0 to T+ when executing obligations in amount of the Total Net Obligation («Y» - yes, «N» - no)	No	Character	1	
	CommPaymentAcc	Feature of the Settlement Account for the fees withdrawal («Y» - yes, «N» - no)	No	Character	1	
	TaxPaymentAccLink	Feature which indicating on withdrawal of variable part of the fee from the Settlement Account («Y» - yes, «N» - no)	No	Character	1	
	TrdAccId	Trade Account code	No	Character	0-12	
	TrdAccName	Trade Account name	No	Character	0-30	
	TrdAccStatus	Trade Account status	No	Character	1	
	TrdAccPoolMatch	Feature of correspondence of the Asset Pool Trade Account to the Trade Account T+	No	Character	0-12	
	DepAccId	Trading depo account / depo account	No	Character	0-35	
	DepUnitId	Section of trading depo account / depo account	No	Character	0-17	
	P_Type	Feature indicating to which account the section of trading depo account corresponds (1 – account with NSD, 2 – Settlement Account)	No	Character	1	
	TransferSettleSecurity	Security transfer from T0 to T+ when executing obligations («Y» - yes, «N» - no)	No	Character	1	
	TransferNettoSecurity	Security transfer from T0 to T+ when executing obligations in the amount of Total Net Obligation («Y» - yes, «N» - no)	No	Character	1	
	ReturnSettleSecurity	Security transfer from T+ to T0 after obligations termination («Y» - yes, «N» - no)	No	Character	1	
	FullCoveredSell	Trade Account without admission to Clearing of Partially Secured Trades in securities («Y» - yes, «N» - no)	No	Character	1	
	DisableREPO	Buy-sell trades execution in case of non-fulfillment of obligations under Trades T+: («Y» - yes, «N» - no (REPO trade is executed))	No	Character	1	
	UnifiedPoolTrdAcc	Unified Pool Trade Account («Y» - yes, «N» - no)	No	Character	1	
	TrdAcColStress	Priority Trade Account for satisfaction of Collateral for Stress Margin Call («Y» - yes, «N» - no)	No	Character	1	
	DataChanged	Changes («Y» - changed, «N» - not changed)	No	Character	1	
/RECORDS						

/BANKACC						
/INFO						
/FIRM						
/EQM20						
/MICEX_DOC						

7.1.11. Report on Trade Accounts of the 2nd and 3rd level (EQM20A)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			
EQM20A		Block of report data	Yes			
	ReportDate	Report date	Yes	Date		
	Weekday	Weekday for report date	Yes	Character	0-20	
	ReportType	Report purpose: CLEARING – for the Clearing Member, TRADE – for the Trading Member	Yes	Character		
	MainFirmId	Main firm ID	Yes	Character	0-12	
	FirmName	Main firm name	Yes	Character	0-120	

FIRM		Block of firm data	Yes			
	FirmID	Clearing Member Unicode / Trading Member ID	Yes	Character	0-12	
SETTLE12		Block of Settlement Account / Settlement Account of the 2 nd level data				
	NccRealAccount	Settlement Account / Settlement Account of the 2 nd level	No	Character	0-25	
	TrdAccId	Trade Account code / Trade Account of the 2 nd level code	No	Character	0-12	
	ClearingFirmId	Clearing Member Unicode	No	Character	0-12	
	ClearingFirmName	Clearing Member name	No	Character	0-120	
	Details	INN or № of client's passport	No	Character	0-41	
	SubDetails	INN or № of passport of the sub-broker's client	No	Character	20	
	ClientInfo	Feature indicating disclosure of the information on the Trading Member's clients to the Clearing Member («Y» - disclose, «N» - do not disclose)	No	Character	1	
SETTLE23		Block of Settlement Account of the 2 nd level/ Settlement Account of the 3 rd level data				
	NccRealAccount	Settlement Account of the 2 nd level/ Settlement Account of the 3 rd level	No	Character	0-25	
	ClearingFirmClient	Providing clearing services regarding keeping clearing registers of the 3 rd level to the Clearing Member («Y» - yes, «N» - no)	No	Character	1	
	TrdAccId	Trade Account of the 2 nd level code / Trade Account of the 3 rd level code	No	Character	0-12	
	FirmId	Trading Member ID	No	Character	0-12	
	FirmName	Trading Member name	No	Character	0-120	
	Details	INN or № of passport of the Clearing Member's client	No	Character	0-41	
	SubDetails	INN or № of passport of the sub-broker's client	No	Character	20	
	OpenDate	Opening date of the Trade Account of the 3 rd level	No	Date		
SETTLE3		Block of Settlement Account of the 3 rd level data (Clearing Member)				
	NccRealAccount	Settlement Account of the 3 rd level	No	Character	0-25	
	TrdAccId	Code of the Trade Account of the 3 rd level	No	Character	0-12	
	Details	INN or № of passport of the client	No	Character	20	

	SubDetails	INN or № of passport of the sub-broker's client	No	Character	20	
	OpenDate	Opening date of the Trade Account of the 3 rd level	No	Date		
/SETTLE3						
/SETTLE23						
/SETTLE12						
/FIRM						
/EQM20A						
/MICEX_DOC						

7.1.12. Report on obligations under Trades T+ (EQM23)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			
EQM23		Block of report data	Yes			
	ReportDate	Report date	Yes	Date		
	MainFirmId	Main firm ID	Yes	Character	0-12	
	FirmName	Main firm name	Yes	Character	0-120	
FIRM		Block of firm data	Yes			
	FirmID	Firm ID	Yes	Character	0-12	
SETTLE		Block of Settlement Account data				
	ExtSettleCode	Settlement Account of the Clearing Member	Yes	Character	5	
SETTLEDATE		Block of Settlement date data				

	SettleDate	Settlement date / Maximum possible period of REPO trade with open date	Yes	Date		
POSTYPES		Block of cash position or depositary section data				
	PosType	If «C» - information on net obligations / claims under cash position / position in precious metals in nested nodes, if «S» - information on net obligations / claims under depositary sections	Yes	Character	1	
GROUP		Block of obligations type data				
	BankAccId	Cash position code	No	Character	12	
	GuarDepUnitId	Depo account section for recording securities as Collateral	No	Character	17	
	TrdAccId	Trade Account code	No	Character	12	
CURRENCY		Block of currency/ precious metal data				
	CurrencyId	Currency / precious metal ID	No	Character	0-4	
	CurrencyName	Settlement currency / precious metal name	No	Character	30	
RECORDS		Block of obligations data				
	DataType	Obligation type: SEC_M – under Trades T+	No	Character	1-20	
	SecurityId	Security ID	No	Character	12	
	ISIN	International Security Identification Number	No	Character	12	
	SecShortName	Security short name	No	Character	10	
	Debit	Net Obligation / Obligation	Yes	Number	20	2
	Credit	Net Claim / Claim	Yes	Number	20	2
/RECORDS						
/CURRENCY						
/GROUP						
/POSTYPES						
/SETTLEDATE						
/SETTLE						
/FIRM						
/EQM23						
/MICEX_DOC						

7.1.13. Extract from the protocol of compensation payment posting and fulfillment of obligations to pay cancellation payment (EQM24)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			
EQM24		Block of report data	Yes			
	ReportDate	Report date	Yes	Date		
	Weekday	Weekday for report date	Yes	Character	0-20	
	MainFirmId	Main firm ID	Yes	Character	0-12	
	FirmName	Main firm name	Yes	Character	0-120	
FIRM		Block of firm data	Yes			
	FirmID	Clearing Member Unicode	Yes	Character	0-12	
CURRENCY		Block of settlement currency data	Yes			
	CurrencyId	Settlement currency ID	Yes	Character	0-4	
	CurrencyName	Settlement currency name	Yes	Character	0-30	
INFO		Block of information type data				
	InfoType	Information type in nested nodes («K» - compensation payment, «R» - cancellation payment)	Yes	Character	1	

RECORDS		Block of trade data	Yes			
	RecNo	Sequence number	Yes	Number	11	0
	RepoTradeNo	Trade number	Yes	Number	20	0
	RepoTradeDate	Trade date	Yes	Date		
	SettleDate	Compensation payment posting date / Registration date of Instruction on refusal from trade execution in the Clearing System	Yes	Date		
	BuySell	Direction of trade (Buy (B) / Sell (S))	Yes	Character	1	
	DebitCredit	Direction of compensation payment/ cancellation payment (Obligation (D) / Claim (K))	No	Character	1	
	SecurityId	Security ID	Yes	Character	0-12	
	SecShortName	Security short name	Yes	Character	0-10	
	RepoValue	REPO sum (rub.) / Trade volume in settlement currency	Yes	Number	20	2
	Quantity	Quantity of security in trade	Yes	Number	20	0
	CPFirmId	Counterparty ID	No	Character	0-12	
	CPFirmShortName	Counterparty short name	No	Character	0-30	
	ClientCode	Shor code of the client	No	Character	0-12	
	TrdAccId	Trade Account code	Yes	Character	0-12	
	ClientDetails	INN or № of client's passport	No	Character	0-41	
	TradeNo	Compensation payment number	Yes	Number	20	0
	Balance	Quantity of security in compensation payment	No	Number	20	0
	Amount	Compensation payment amount (in rub.)	No	Number	20	2
	Payoff	Cancellation payment amount, in settlement currency	No	Number	20	2
	ReportNo	Number of Execution Instruction / Instruction on refusal from trade execution	No	Number	20	0
	TStatus	Status of compensation payment/ cancellation payment (Posted (+) / Not posted (-) / Cancelled (CANC))	Yes	Character	1-3	
	Settletime	Settlement time	Yes	Time		
	RepoDueDate	Settlement date	Yes	Date		
/RECORDS						

/INFO						
/CURRENCY						
/FIRM						
/EQM24						
/MICEX_DOC						

7.1.14. Report on accrued and paid interests under deposit agreements (CCX26)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES CCX26		Block of report data	Yes			
	FIRMPURPOSE_ PAYMENT	Organization short name	Yes	Character	35	
	REGCODE	Clearing Member ID	Yes	Character	12	
	DATE	Report date	Yes	Date		
	DATE_FROM	Date from which report is generated	Yes	Date		

	DATE_TO	Date to which report is generated	No	Date		
STATEMENT		Block of account data				
	ACCOUNT	Account number 47426	Yes	Character	20	
	PURPOSE_PAYMENT_ACC	Account name	Yes	Character	210	
	ISO	Currency code ISO 4217	Yes	Character	3	
	CURRENCYNAME	Currency name	Yes	Character	50	
	DATE_OPENING_BALANCE	Date on which Opening balance is generated	Yes	Date		
	DATE_CLOSING_BALANCE	Date on which Closing balance is generated	Yes	Date		
	OPENING_BALANCE	Opening balance (in rubles/ foreign currency)	Yes	Number	32	4
	CLOSING_BALANCE	Closing balance (in rubles/ foreign currency)	Yes	Number	32	4
	OPENING_BALANCE_RUB	Opening balance in national equivalent	No	Number	32	2
	CLOSING_BALANCE_RUB	Closing balance in national equivalent	No	Number	32	2
	DEBIT_SUM	Total debit	Yes	Number	32	4
	CREDIT_SUM	Total credit	Yes	Number	32	4
	DEBIT_SUM_RUB	Total debit in national equivalent	No	Number	32	2
	CREDIT_SUM_RUB	Total credit in national equivalent	No	Number	32	2
ENTRY		Block of operation data				
	NUMBER	Document number	Yes	Character	35	
	OPERDATE	Operation date	Yes	Date		
	DEBIT	Debit	Yes	Number	32	4
	CREDIT	Credit	Yes	Number	32	4
	DEBIT_RUB	Debit in national equivalent	Yes	Number	32	2
	CREDIT_RUB	Credit in national equivalent	Yes	Number	32	2
	PURPOSE_PAYMENT	Operation name (accrual of interest under deposit /recalculation of interest under deposit / payment of interest under deposit)	Yes	Character	210	
/ENTRY						
/STATEMENT						
/CURRENCY						

/CCX26						
/MICEX_DOC						

7.1.15. Report on Clearing Logins (EQM30)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			
EQM30		Block of report data	Yes			
	ReportDate	Report date	Yes	Date		
	ReportType	Report purpose: CLEARING – for the Clearing Member, TRADE – for the Trading Member	Yes	Character		
	Weekday	Weekday for report date	Yes	Character	0-20	
	MainFirmId	Main firm ID	Yes	Character	0-12	
	FirmName	Main firm name	Yes	Character	0-120	
FIRM		Block of firm data	Yes			
	FirmID	Clearing Member Unicode / Trading Member ID	Yes	Character	0-12	
RECORDS		Block of Clearing Logins data	Yes			
	UserId	Clearing Login authorities	Yes	Character	0-12	

	UserIdCM	Clearing manager («Y» - yes, «N» - no)	No	Character	1	
	UserIdOp	Operator («Y» - yes, «N» - no)	No	Character	1	
	UserIdTr	Transfers («Y» - yes, «N» - no)	No	Character	1	
	DataChanged	Changes («Y» - changed, «N» - not changed)	Yes	Character	1	
/RECORDS						
/INFO						
/FIRM						
/EQM30						
/MICEX_DOC						

7.1.16. Notifications on obligations to pay cancellation payment (EQM35)

CXML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			
EQM35		Block of report data	Yes			
	ReportDate	Report date	Yes	Date		
	FirmID	Clearing Member Unicode	Yes	Character	0-12	

	FirmName	Clearing Member name	Yes	Character	0-120	
SETTLE		Block of Settlement Account data				
	ExtSettleCode	Settlement Account of the Clearing Member	Yes	Character	5	
	BreakfeeType	Cancellation payment type: «1» - «Cancellation payment in case of non-provision by the Clearing Member of the Collateral in the amount equal to the deposit value defined in the order to conclude deposit agreement included into the list of offers»; «2» - «cancellation payment when terminating deposit in case of non-fulfillment of obligations of deposit amount replenishment».	Yes	Character	1	
	Breakfee	Cancellation payment amount, rub.	Yes	Number	20	2
	Systemref	Number of the order to conclude deposit agreement included into the list of offers	Yes	Character	0-12	
/SETTLE						
/EQM35						
/MICEX_DOC						

7.1.17. Report on risk parameters (EQM44)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	

	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			
EQM44		Block of report data	Yes			
	ReportDate	Report date	Yes	Date		
	Weekday	Weekday for report date	Yes	Character	0-20	
INFO		Block of information type data	Yes			
	InfoType	Information type in nested nodes (M – marker risk parameters, I – interest rate risk parameters, P – period of short sales prohibition (SSP))	Yes	Character	1	
RECORDS			Yes			
	SecurityId	Instrument	Yes	Character	12	
	SecName	Instrument name	Yes	Character	30	
	ISIN	International Security Identification Number	Yes	Character	12	
	FP_Begin	Start date of the period of short sales prohibition		Date		
	FP_End	End date of the period of short sales prohibition		Date		
	Date_Fix	Fixing date of parameters change		Date		
	Time_Fix	Fixing time of parameters change		Time		
	NoLim	Range number		Number		
	QtyBegin	Beginning of the range		Number	20	
	QtyEnd	End of the range		Number	20	
	RTL_Rub	Lower bound, rub.		Number	20	8
	RTH_Rub	Upper bound, rub.		Number	20	8
	LPenRate	Penalty rate for position in security transfer, %		Number	20	8
	HPenRate	Penalty rate for position in cash funds transfer, %		Number	20	8
	Discount_L	Price decrease risk rate, %		Number	20	8
	Discount_H	Price increase risk rate, %		Number	20	8
	InSingleLim	Accepted as Collateral (Y – yes, N – no)		Character	1	
	CollateralAcceptLimit	Collateral acceptance limit, %		Number	20	2

	FullCovered	Short sales are prohibited (Y – yes, N – no)		Character	1	
	ShortSalesLimit	Short sales limit, in securities units		Number	20	8
	SettleDate	Settlement date		Date		
	RepoRate	Settlement REPO rate, %		Number	20	8
	RepoRate_Rub	Settlement REPO rate, rub.		Number	20	8
	RepoLRate	Lower bound, %		Number	20	8
	RepoHRate	Upper bound, %		Number	20	8
	SettlePrice	Settlement price with discount, rub.		Number	20	8
	PurePrice	Settlement price (with accrued coupon interest), rub.		Number	20	8
/RECORDS						
/INFO						
INDICATORS		Block of indicators data				
DATE		Block of calculation data of indicators data				
	RecNo	Sequence number	Yes	Integer		
	TradeDate	Indicators calculation date	Yes	Date		
INDICATOR		Block of indicators value data				
	Benchmark	Indicative rate code	Yes	Character	12	
	BenchmarkRate	Indicative rate value, in percent	Yes	Number	20	6
/INDICATOR						
/DATE						
/INDICATORS						
/EQM44						
/MICEX_DOC						

7.1.18. Report on assets posted into Asset Pools (EQM45)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			

DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			
EQM45		Block of report data	Yes			
	ReportDate	Report date	Yes	Date		
	MainFirmId	Main firm ID	Yes	Character	0-12	
	FirmName	Main firm name	Yes	Character	0-120	
FIRM		Block of firm data	Yes			
	FirmID	Firm ID	Yes	Character	0-12	
POOL		Block of asset pool data				
	PoolCode	Asset Pool code	Yes	Character	12	
	PoolName	Asset Pool name	Yes	Character	0-128	0
SETTLE		Block of Asset Pool accounts data				
	RealAccountPool	Asset Pool Settlement Account	Yes	Character	0-120	
	DepUnitIdPool	Asset Pool depo sub-account	No	Character	17	
	TrdAccIdPool	Asset Pool Trade Account code	No	Character	12	
	TrdAccId	Trade Account T+ code	No	Character	12	
	CPCNomValue	Nominal value of issued general clearing certificates, in rubles	Yes	Number	20	2
RECORDS		Block of assets into asset pools data				
	CurrencyId	Currency ID	No	Character	4	
	CurrencyName	Currency name	No	Character	30	
	SecurityId	Security ID	No	Character	12	
	ISIN	International Security Identification Number	No	Character	12	
	SecShortName	Security short name	No	Character	10	
	Quantity	Asset quantity, in units of currency / number of securities	Yes	Number	20	2
	CollateralAcceptLimit	Collateral acceptance limit, %	No	Number	20	2
	Value	Asset estimated value, in rubles	Yes	Number	20	2
/RECORDS						
/SETTLE						

/POOL						
/FIRM						
/EQM45						
/MICEX_DOC						

7.1.19. Report on nominal value of general collateral certificates (EQM46)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			
EQM46		Block of report data	Yes			
	ReportDate	Report date	Yes	Date		
POOL		Block of Asset Pool data				
	PoolCode	Asset Pool code	Yes	Character	12	0
	PoolName	Asset Pool name	Yes	Character	0-128	0
RECORDS		Block of standard value of GCC data				
	CPCNomValueSum	Total nominal value of issued general clearing certificates, in rubles	Yes	Number	20	2
	HValue	Discount amount (H) under general clearing certificates	No	Number	20	2
/RECORDS						
/POOL						
/EQM46						
/MICEX_DOC						

7.1.20. Report on transferred asset profiles on the securities market (EQM49)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			
EQM49		Block of report data	Yes			
	ReportDate	Report date	Yes	Date		
	ReportTime	Report time	Yes	Time		
	MainFirmId	Main firm ID	Yes	Character	0-12	
	FirmName	Main firm name	Yes	Character	0-120	
FIRM		Block of firm data	Yes			
	FirmID	Firm ID	Yes	Character	0-12	
SETTLE		Block of Settlement Account data				
	ExtSettleCode	Unified Pool Settlement Account	Yes	Character	5	
PROFINFO		Block of asset profile data				
	AssetID	Asset ID				
	AssetName	Asset name				
TCS		Block of market from / to which asset profile is transferred data				
	TCS	EQ – security market and deposit market; CU – FX market and precious metals market; FU – derivatives market; OT – standardised derivatives market	Yes	Character	3	
	MainTrdAccId	Trade Account code	No	Character	12	
	OpeningBalance	Opening balance	Yes	Number	20	2
	ClosingBalance	Closing / current balance	Yes	Number	20	2
RECORDS		Block of operation data				

	ProfilTran	Amount/ quantity of transferred profile	Yes	Number	20	2
	TranType	Feature of asset profile transfer: 1 – profile transfer at the initiative of the Clearing Member; 2 – profile transfer at the NCC's initiative	Yes	Number	1	
	TrdAccId	Trade Account (technical)	Yes	Character	0-12	
	OperationTime	Operation time	Yes	Time		
	TranNo	Operation number	Yes	Character	0-20	
/RECORDS						
/TCS						
/PROFINFO						
/SETTLE						
/FIRM						
/EQM49						
/MICEX_DOC						

7.1.21. Additional report on OTC trades under the instrument (EQM59)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document				
DOC_REQUISITES		Block of document metadata				
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
	SIGNAUTHOR	Full name of digital signature author	No	Character		
/DOC_REQUISITES						
EQM59		Block of report data				

	DateFrom	Start date of the period for which report is generated (the first day of the reporting month)	Yes	Date		
	DateTo	End date of the period for which report is generated (the last date of the reporting month)	Yes	Date		
	MainFirmId	Main firm ID	Yes	Character	12	
	FirmName	Main firm name	Yes	Character	120	
TRDACC		Block of Trade Account data				
	TrdAccId	Trade Account	No	Character	12	
CLIENT		Block of client code data				
	ClientCode	Client code	No	Character	12	
SECURITY		Block of security data				
	SecurityId	Security ID	Yes	Character	12	
	ISIN	International Security Identification Number	No	Character	12	
	SecShortName	Security short name	Yes	Character	10	
	SecCurrencyId	Currency ID of the security	Yes	Character	4	
CURRENCY		Block of settlement currency data				
	CurrencyId	Settlement currency ID («USD» - USA dollars, «RUR» - Russian rubles, «EUR» - euro, «CNY» - Chinese yuan, etc)	Yes	Character	4	
BOARD		Block of trading mode data				
	BoardId	Trading mode ID	Yes	Character	4	
	BoardName	Trading mode name	Yes	Character	30	
RECORDS		Block of offer validity period and trade sum data				
	Threshold	Threshold (minimum) value of the buy offer volume for inclusion into the calculation of actual time of offers validity, in lots	Yes	Number	5	
	TradeDate	Date of offers submission	Yes	Date		
	OffersTime	Actual, total for the date, time of buy offers validity which meet requirement to the Threshold value of the offer volume, in minutes. Value is indicated if it's more or equal the Threshold value.	Yes	Number		
	TValue	Total volume of trades (without accrued coupon income), in settlement currency	Yes	Number	20	2
/RECORDS						

/CONDITIONS						
/BOARD						
/CURRENCY						
/SECURITY						
/GROUP						
/EQM59						
/MICEX_DOC						

7.1.22. Report on interest calculation under REPO trades with floating rate (EQM64)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			
EQM64		Block of report data	Yes			
	ReportDate	Report date	Yes	Date		
	Weekday	Weekday for report date	Yes	Character	0-20	
	MainFirmId	Main firm ID	Yes	Character	0-12	
	FirmName	Main firm name	Yes	Character	0-120	
FIRM		Block of firm data	Yes			
	FirmID	Firm ID	Yes	Character	0-12	

RECORDS		Block of fee under trade data	Yes			
	RecNo	Sequence number	Yes	Number	11	0
	TradeNo	Trade number	Yes	Number	20	0
	BuySell	Direction of second part of trade: «B» - buy, «S» - sell	Yes	Character	1	
	TradeDate	Trade date	Yes	Date		
	CurrencyId	Settlement currency ID («USD» - USA dollars, «RUR» - russian rubles, «EUR» - euro)	Yes	Character	0-4	
	SecurityId	Security ID	No	Character	0-12	
	ISIN	International Security Identification Number	No	Character	0-12	
	SecShortName	Security short name	No	Character	0-10	
	Benchmark	Indicative rate code	Yes	Character	1-12	
	BenchmarkRate	Indicative rate value, in percentage	Yes	Number	20	6
	Spread	Spread, in percentage	Yes	Number	20	6
	CurRepoRate	Rate applied on current day, in percentage	Yes	Number	20	6
	InterestAmount	Interest amount under REPO trade with floating rate as of the report date cumulatevely, in settlement currency	Yes	Number	20	2
/RECORDS						
/FIRM						
/EQM64						
/MICEX_DOC						

7.1.23. Report on collateral evaluation (EQM84)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	

	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			
EQM84		Block of report data	Yes			
	ReportDate	Report date	Yes	Date		
	MainFirmId	Main firm ID	Yes	Character	0-12	
	FirmName	Main firm name	Yes	Character	0-120	
	FirmNameEN	Main firm name (in English)	No	Character	0-120	
FIRM		Block of firm data	Yes			
	FirmID	Firm ID	Yes	Character	0-12	
SETTLE		Block of Settlement Account data				
	ExtSettleCode	Settlement Account of the Clearing Member	Yes	Character	20	
	SumValue	Total collateral value in rubles	Yes	Number	20	2
	LockValue	Unavailable Collateral value, in rubles	Yes	Number	20	2
	FreeValue	Free Collateral value, in rubles	Yes	Number	20	2
	TaxMarginLimit	Unsecured limit, in rubles (for Non-resident Clearing Members)	No	Number	20	2
	SingleLimit	Single Limit	Yes	Number	20	2
RECORDS		Block of collateral data				
	CurrencyId	Currency ID	No	Character	4	
	CurrencyName	Currency name	No	Character	30	
	NccRealAccount	Collateral account number	No	Character	20	
	SecurityId	Security ID	No	Character	12	
	ISIN	International Security Identification Number	No	Character	12	
	SecShortName	Security short name	No	Character	10	
	TrdAccId	Trade Account code	No	Character	12	
	Quantity	Collateral amount (in units of currency /number of securities)	Yes	Number	20	2
	CollateralAcceptLimit	Collateral acceptance limit, %	No	Number	20	2
	LockQuantity	Unavailable Collateral value (in units of currency / number of securities)	No	Number	20	2
	FreeQuantity	Free Collateral value (in units of currency / number of securities)	No	Number	20	2
	Value	Collateral value in rubles	Yes	Number	20	2

/RECORDS						
/SETTLE						
/FIRM						
/EQM84						
/MICEX_DOC						

7.1.24. Report on change of the deposit amount (EQM85)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document				
DOC_REQUISITES		Block of document metadata				
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	String	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	String	1-12	
	SENDER_ID	Sender ID	No	String	1-12	
	SENDER_NAME	Sender short name	No	String	1-30	
	RECEIVER_ID	Recipient ID	No	String	1-12	
	REMARKS	Remarks to the document	No	String	1-120	
/DOC_REQUISITES						
EQM85		Block of report data				
	ReportDate	Report date	Yes	Date		
	FirmName	Clearing Member name	Yes	String	0 - 120	
	MainFirmId	Clearing Member Unicode	Yes	String	0 - 12	
DEPOSITS		Block of deposits with changed parameters data				
	TradeNo	Deposit agreement number	Yes	Integer	20	0
	CurrencyId	Settlement currency ID	Yes	String	3	
	ExtSettleCode	Settlement Account of the Clearing Member	Yes	String	5	
	DepoDateFrom	Deposit agreement conclusion date	Yes	Date		

	DepoDateTo	Settlement date of the second part of the deposit agreement (deposit return)	Yes	Date		
	Value	Initial amount	Yes	Decimal	20	2
	InitialRate	Initial rate	Yes	Decimal	20	7
	RateType	Rate type: «FIX» - fixed rate, «FLOATING» - floating rate	Yes	String	8	
	Spread	Value of deviation from indicative rate (spread), in percentage	No	Decimal	20	6
	Benchmark	Indicative rate code (indicator)	No	String	12	
	BenchmarkRate	Indicative rate value, in percentage	No	Decimal	20	7
	ApplicationDate	Date of deposit amount or indicative rate value change	No	Date		
	NetValue	Deposit balance at the end of change date	Yes	Decimal	20	2
	ActualRate	Actual interest rate	Yes	Decimal	20	7
	Amount	Claim to return deposit on the date of deposit return	Yes	Decimal	20	2
	SettleDate	Date for the fulfillment of obligations to return deposit	Yes	Date		
/DEPOSITS						
DETAILS		Block of detailing of deposit parameters change data				
	ExtSettleCode	Settlement Account of the Clearing Member	Yes	String	5	
	CurrencyId	Settlement currency ID	Yes	String	3	
	TradeNo	Deposit agreement number	Yes	Integer	20	0
	BoardId	Trading Mode ID	Yes	String	4	
	BoardName	Trading Mode name	Yes	String	30	
	RateType	Rate type: «FIX» - fixed rate, «FLOATING» - floating rate	Yes	String	8	
	Benchmark	Indicative rate code (indicator)	No	String	12	
RECORDS		Block of history of deposit parameters change data				
	Date	Date: In respect of interest rates: - for fixed interest rates – start date of the new rate validity period;	Yes	Date		

		- for floating interest rates – date of rate update in the report. Interests are accrued in the morning of the next settlement date. In respect of deposit amount increase – deposit placement date, as well as target dates of deposit replenishment in the amount of partially withdrawn amounts earlier. In respect of deposit amount decrease – dates of partially withdrawn amounts or deposit return.				
	DepoValueIncrease	Sum of deposit amount increase: deposit placement, sum of replenishments in the settlement day	No	Decimal	20	2
	DepoValueDecrease	Sum of deposit amount decrease: sum of partially withdrawals in the day, deposit return	No	Decimal	20	2
	NetValue	Deposit balance at the end of the date of deposit amount change	Yes	Decimal	20	2
	Spread	Value of deviation from indicative rate (spread), in percentage	No	Decimal	20	6
	BenchmarkRate	Indicative rate value, in percentage	No	Decimal	20	7
	ActualRate	Actual interest rate effective from the specified date. Interest is accrued at the specified rate on the incoming balance of the next day.	Yes	Decimal	20	7
/RECORDS						
/DETAILS						
/EQM85						
/MICEX_DOC						

7.1.25. Report on Total Net Obligations which will be terminated (EQM91)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document				
DOC_REQUISITES		Block of document metadata				
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	

	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES						
EQM91		Block of report data				
	ReportDate	Report date	Yes	Date		
	MainFirmId	Main firm ID	Yes	Character	0-12	
	FirmName	Main firm name	Yes	Character	0-120	
FIRM		Block of firm data				
	FirmID	Firm ID	Yes	Character	0-12	
POSTYPES		Block of cash position or depositary section data				
	PostType	If «C» - information on cash position in nested nodes, if «S» - information on depositary sections, if «M» - Trade Accounts of the firm under which there are unfulfilled obligations in securities according to the settlement results of the eighth day	Yes	Character	1	
GROUP		Block of obligations type data				
	RealAccount	Settlement Account of the Clearing Member	No	Character	120	
	TrdAccId	Trade Account code	No	Character	12	
RECORDS		Block of obligation data				
	CurrencyId	Currency ID	No	Character	4	
	CurrencyName	Currency name	No	Character	30	
	SecurityId	Security ID	No	Character	12	
	ISIN	International Security Identification Number	No	Character	12	
	SecShortName	Security short name	No	Character	10	
	FixBalanceTime	Time on which outgoing balance in cash funds or securities is fixed	No	Time		
	Balance	Collateral in cash funds/ Collateral in securities	Yes	Number	20	2
	Netto	Total Net Obligation	Yes	Number	20	2
	UnsecuredLiabilities	Unsecured Total Net Obligation	Yes	Number	20	2
	Penalty	Penalty	No	Number	20	2
/RECORDS						
/GROUP						
/POSTYPES						
/FIRM						
/EQM91						
/MICEX_DOC						

7.1.26. Report on premium (EQM97)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document				
DOC_REQUISITES		Block of document metadata				
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES						
EQM97		Block of report data				
	ReportDate	Report date	Yes	Date		
	ReportType	Report purpose: CLEARING – for the Clearing Member, TRADE – for the Trading Member	Yes	Character		
	MainFirmId	Main firm ID of the Trading Member or the Clearing Member	Yes	Character	0-12	
	FirmName	Main firm name of the Trading Member or the Clearing Member	Yes	Character	0-120	
	ReturnCommAcc	Settlement Account number of the Clearing Member on which the bonus is paid. It's not filled in for the Trading Members.	No	Character	0-120	
INFO		Block of data				
	CommInfo	Fee under: 2 – REPO trades; 6 – On-exchange / OTC trades, executed based on addressed order/ Offer with using Quotation NTM / Intension;	Yes	Character	1	

		7 – return bonus under OTC trades in shares, listed on the Moscow Exchange, executed in the Mode «Addressed trades with CCP».				
	DateFrom	Start date of the period for which report is generated	Yes	Date		
	DateTo	End date of the period for which report is generated	Yes	Date		
	PaidReturnCommClr	Premium amount for provision of clearing services to be paid	No	Number	20	2
	PaidReturnCommExh	Premium amount for organization of trading to be paid	No	Number	20	2
RECORDS		Block of obligations to pay premium data				
	SettleDate	Trade date of trades with premium payment	No	Date		
	Value	Trades volume	No	Number	20	2
	SumCommClr	Paid amount of fee for provision of clearing services	No	Number	20	2
	SumCommExh	Paid amount of fee for organization of trading	No	Number	20	2
	ReturnCommClr	Accrued amount of fee for provision of clearing services	No	Number	20	2
	ReturnCommExh	Accrued amount of fee for organization of trading	No	Number	20	2
/RECORDS						
/INFO						
/EQM97						
/MICEX_DOC						

7.1.27. Report on obligations to transfer/clams to receive income (EQM98/ EQM98R)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		

	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			
EQM98		Block of report data	Yes			
	ReportDate	Report date	Yes	Date		
	MainFirmId	Main firm ID	Yes	Character	0-12	
	FirmName	Main firm name	Yes	Character	0-120	
FIRM		Block of firm data	Yes			
	FirmID	Firm ID	Yes	Character	0-12	
BANKACC		Block of cash position data				
	BankAccId	Cash position code	Yes	Character	12	
POSTYPES		Block of cash position or depositary section data				
	PosType	If «C» - information on cash position in nested nodes, if «S» - information on depositary sections	Yes	Character	1	
GROUP		Block of obligations type data				
	RealAccount	Settlement Account of the Clearing Member	No	Character	5	
	DepUnitId	Depo account section for recording securities as Collateral	No	Character	17	
	TrdAccId	Trade Account code	No	Character	12	
CURRENCY		Block of settlement currency data				
	CurrencyId	Settlement currency ID	No	Character	0-4	
	CurrencyName	Settlement currency name	No	Character	30	
RECORDS		Block of obligations data	Yes			
	TradeNo	Trade number in the Trading System	No	Number	20	0
	OperationNo	Operation number for Income accrual in the Trading System	No	Number	20	0

	SettleDate	Settlement date of obligations to transfer Income	No	Date		
	SettleTime	Settlement time	No	Time		
	ClientCode	Client code	No	Number	0-12	
	TradeDate	Trade date	No	Date		
	TradeType	Trade type («1» - REPO trade, «2» - REPO trade of the Clearing House, «3» - buy-sell trade of the Clearing House, «4» - buy-sell trade)	No	Number	1	
	SettleDate1	Settlement date of the 1 st part of REPO trade / first buy-sell trade	No	Date		
	SettleDate2	Settlement date of the 2 nd part of REPO trade / second buy-sell trade	No	Date		
	RecordDate	Date for determination of the list of persons, which have the right to receive Income	No	Date		
	DebitCredit	Direction of obligation to transfer Income (Obligation (D) / Claim (K))	Yes	Character	1	
	SecurityId	Security ID	Yes	Character	12	
	ISIN	International Security Identification Number	No	Character	12	
	SecShortName	Security short name	Yes	Character	10	
	Quantity	Trade volume, in securities/ Number of securities on which the shares of the Company are accrued	Yes	Number	20	0
	Price	Income /number of securities per one security / Number of Company's shares accrued per one security	Yes	Number	20	6
	D1	D1	No	Number	20	16
	D2	D2	No	Number	20	16
	Tax	Tax rate	No	Number	20	16
	Fee	Fee of the security issuer and/or another person	No	Number	20	16
	Type	Income type: COUPON_PAYMENT – under income transfer (coupon); PRINCIPAL_PAYMENT – under income transfer (amortization); DIVIDEND_PAYMENT - under income transfer (payment of dividends in cash form); OTHER_PAYMENT – under income transfer (other); REORG_PAYMENT – transfer of Company's shares allocated as result of reorganization;	Yes	Character	0-20	

		CAPITALGAINS_PAYMENT - under income transfer (income payment under units/mortgage participation certificates); INTEREST_PAYMENT - under income transfer (interest payment); REDEMPTION_PAYMENT - under income transfer (buy back (redemption) of bonds, preferred shares, units).				
	Sum	Amount of obligation / claim to transfer income/ securities	No	Number	20	2
/RECORDS						
/CURRENCY						
/GROUP						
/POSTYPES						
/BANKACC						
/FIRM						
/EQM98						
/MICEX_DOC						

EQM98R is provided to the Clearing Member-Recipient before the procedure for transfer of obligations and Collateral.

7.1.28. Report on Collateral (EQM99)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			

EQM99		Block of report data	Yes			
	ReportDate	Report date	Yes	Date		
	ReportType	Report purpose: CLEARING – for the Clearing Member, TRADE – for the Trading Member	Yes	Character		
	MainFirmId	Main firm ID	Yes	Character	0-12	
	FirmName	Main firm name	Yes	Character	0-120	
FIRM		Blok of firm data	Yes			
	FirmID	Clearing Member Unicode/ Trading Member ID	Yes	Character	0-12	
BANKACC		Block of cash position/ Settlement Account of the relevant level data				
	BankAccId	Settlement Account of the relevant level	No	Character	5	
	BankAccUnifiedPool	Feature indicating the correspondence to the Unified Pool Settlement Account («Y» - yes, «N» - no)	No	Character	1	
	LevelInfo	Information on obligations: 1 – obligations recorded under the Trade Account of the 1 st level; 2 – obligations recorded under the Settlement Account/ Trade Account of the 2 nd level; 3 - obligations recorded under the Settlement Account/ Trade Account of the 3 rd level	No	Number	1	0
	ParentBankAccId	Settlement Account of upper level	No	Character	0-25	
POSTYPES		Block of cash position or depositary section data				
	PosType	If «C» - information on net obligations/ claims under cash position/position in precious metals in nested nodes, if «S» - information on net obligations/ claims under depositary sections	Yes	Character	1	
GROUP		Block of obligations type data				
	RealAccount	Settlement Account of the relevant level	No	Character	0-120	
	DepUnitId	Trading depo account section	No	Character	17	
	TrdAccId	Trade Account code	No	Character	12	
	UnifiedPoolTrdAcc	Unified Pool Trade Account («Y» - yes, «N» - no)	No	Character	1	
RECORDS		Block of obligations data				
	CurrencyId	Currency / precious metal ID	No	Character	4	
	CurrencyName	Settlement currency / precious metal name	No	Character	30	
	SecurityId	Security ID	No	Character	12	
	ISIN	International Security Identification Number	No	Character	12	
	SecShortName	Security short name	No	Character	10	

	OpeningBalance	Opening balance	Yes	Number	20	2
	ClosingBalance	Closing balance	Yes	Number	20	2
	DebitSum	Total debit	Yes	Number	20	2
	CreditSum	Total credit	Yes	Number	20	2
	NettoSum	Total net obligation / net claim	Yes	Number	20	2
ENTRY		Block of operation data				
	OperationCode	Code of operation with collateral	Yes	Number	0-4	
	OperationName	Operation name	Yes	Character	255	
	OperationTime	Operation time / execution time	No	Time		
	DocNo	Document number	No	Character	0-20	
	Traninfo	Additional information on operation	No	Character	210	
	Session	Clearing session number (for securities): «1» - clearing session at 16:00, 17:30; «2» - clearing session at 19:00, 19:30; «3» - clearing session at 20:30	No	Number	1	0
	ClientCode	Client code (for OperationCode =2)	No	Character	0-12	
	Debit	Debit turnover	Yes	Number	20	2
	Credit	Credit turnover	Yes	Number	20	2
	Netto	Net obligation / net claim	Yes	Number	20	2
/ENTRY						
/RECORDS						
/GROUP						
/POSTYPES						
/BANKACC						
/FIRM						
/EQM99						
/MICEX_DOC						

For operation codes 1, 2, 3 the operation type in respect of every collateral type is determined by the Trade Account under which the operation is performed:

- under Trade Account T+ – posting/ return collateral is performed,
- under Default Funds Trade Account – making contribution to Default Funds / return contribution from Default Funds,
- under Collateral for Stress Trade Account – posting/ return Collateral for Stress,
- under Asset Pool Trade Account – posting assets into asset pool / handing out assets from asset pool.

7.1.29. Notification on replacement rate of settlement currency (EQM100)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document				
DOC_REQUISITES		Block of document metadata				
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES						
EQM100		Block of report data				
	ReportDate	Notification date	Yes	Date		
	ClearingFirmId	Clearing Member Unicode	Yes	Character	0-12	
	ClearingFirmName	Clearing Member name	Yes	Character	0-120	
	ClearingFirmNameEN	Clearing Member name (in English)	No	Character	0-120	
CURRPAIR		Block of currency pair data				
	OldCurrencyId	ID of currency /precious metal to be replaced	Yes	Character	4	
	OldCurrencyName	Name of currency / precious metal to be replaced	Yes	Character	30	
	OldCurrencyNameEN	Name of currency / precious metal to be replaced (in English)	Yes	Character	30	
	NewCurrencyId	Replacement currency ID (in which obligations will be fulfilled)	Yes	Character	4	
	NewCurrencyName	Replacement currency name	Yes	Character	30	
	NewCurrencyNameEN	Replacement currency name (in English)	No	Character	30	
RECORDS		Block of replacement rate data				
	SettleDate	Settlement date	Yes	Date		
	CashSettlementPrice	Replacement rate, in units of the currency/precious metal in which the obligations will be fulfilled, per unit of the currency /precious metal in which the fulfillment of obligations is terminated	Yes	Number	20	6
/RECORDS						
/CURRPAIR						

/EQM100						
/MICEX_DOC						

7.1.30. Report on claims / obligations with replaced settlement currency (EQM101)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			
EQM101		Block of report data	Yes			
	ReportDate	Report date	Yes	Date		
	MainFirmId	Main firm ID	Yes	Character	0-12	
	FirmName	Main firm name	Yes	Character	0-120	
FIRM		Block of firm data	Yes			
	FirmID	Firm ID	Yes	Character	0-12	
SETTLE		Block of Settlement Account data				
	ExtSettleCode	Settlement Account	Yes	Character	20	

SETTLEDATE		Block of settlement date / transfer date of income data	Yes			
	SettleDate	Settlement date / income transfer date	Yes	Date		
CURRENCY		Block of currencies / precious metal data				
	OldCurrencyId	ID of currency / precious metal to be replaced	Yes	Character	4	
	OldCurrencyName	Name of currency / precious metal to be replaced	Yes	Character	30	
	OldCurrencyNameEN	Name of currency / precious metal to be replaced (in English)	Yes	Character	30	
	NewCurrencyId	Replacement currency ID (in which obligations will be fulfilled)	Yes	Character	4	
	NewCurrencyName	Replacement currency name	Yes	Character	30	
	NewCurrencyNameEN	Replacement currency name (in English)	No	Character	30	
TRADES		Block of trades data	Yes			
	RecNo	Sequence number	Yes	Number	11	0
	TradeNo	Number of trade/ order to conclude the deposit agreement included into the list of offers / deposit agreement / credit agreement in the Trading System	Yes	Number	20	0
	TradeDate	Trade date	Yes	Date		
	BoardId	Trading mode ID	Yes	Character	0-4	
	SecurityId	ID of security / instrument	No	Character	12	
	SecShortName	Short name of security / instrument	No	Character	0-10	
	BuySell	Direction of trade / part of the trade / order to conclude the deposit agreement included into the list of offers / deposit agreement / credit agreement (Buy / granting (B) / Sell / return (S))	Yes	Character	1	
	RepoPart	Part of the trade/ order to conclude the deposit agreement included into the list of offers / deposit agreement / credit agreement ("1" – first part of REPO trade/ swap or deposit placement / credit granting; "2" – Second part of REPO trade/ swap or deposit return/ credit repayment)	No	Number	1	0
	Amount0	Obligation/ claim in cash funds, in currency to be replaced (initial)	Yes	Number	20	2
	Amount	Obligation/ claim in cash funds, in replacement currency (in which obligation/claim will be fulfilled)	Yes	Number	20	2
/TRADES						

OPERATIONS		Block of operations of income accrual data	No			
	RecNo	Sequence number	Yes	Number	11	0
	OperationNo	Number of operation of income accrual in the Trading System	Yes	Number	20	0
	TradeDate	Trade date	Yes	Date		
	SecurityId	Security ID	Yes	Character	12	
	SecShortName	Security short name	Yes	Character	0-10	
	DebitCredit	Direction of obligation to transfer Income (Obligation (D) / Claim (K))	Yes	Character	1	
	Sum0	Size of obligations in settlement currency to be replaced (initial) before Procedure execution	Yes	Number	20	2
	Sum	Sum of obligation to transfer / claim to receive income, in replacement currency (in which obligation / claim will be fulfilled)	Yes	Number	20	2
/OPERATIONS						
OTC		Block of operations on the Standardised derivatives market data	No			
	RecNo	Sequence number	Yes	Number	11	0
	OperationNo	Operation number in the Trading System	Yes	Number	20	0
	TradeDate	Trade date	Yes	Date		
	SecurityId	Instrument ID	Yes	Character	12	
	SecShortName	Instrument short name	Yes	Character	0-10	
	Trantype	Operation type	Yes	Number	3	
	OperationInfo	Information on operation	Yes	Character	0-255	
	Sum0	Size of obligations in settlement currency to be replaced (initial) before Procedure execution	Yes	Number	20	2
	Sum	Size of obligations in rubles or other settlement currency after Procedure execution	Yes	Number	20	2
/OTC						
/CURRENCY						
/SETTLEDATE						

/SETTLE						
/FIRM						
/EQM101						
/MICEX_DOC						

7.1.31. Report on fee under REPO trades with open date (EQM151)

XML-file structure

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			
EQM151		Block of report data	Yes			
	ReportDate	Report date	Yes	Date		
	Weekday	Weekday for report date	Yes	Character	0-20	
	MainFirmId	Main firm ID	Yes	Character	0-12	
	FirmName	Main firm name	Yes	Character	0-120	
FIRM		Block of firm data	Yes			
	FirmID	Firm ID	Yes	Character	0-12	
RECORDS		Block of fee under trade data	Yes			
	RecNo	Sequence number	Yes	Number	11	0
	TradeNo	Trade number	Yes	Number	20	0

	RepoPart	Trade part: «1» - first part, «2» – second part of REPO trade with open date	Yes	Number	1	
	BuySell	Direction of trade part: «B» - buy, «S» - sell	Yes	Character	1	
	TradeDate	Trade date	Yes	Date		
	CurrencyId	Settlement currency ID («USD» - USA dollars, «RUR» - Russian rubles, «EUR» - euro, «CNY» - Chinese yuan, etc)	Yes	Character	0-4	
	CurrencyName	Settlement currency name	Yes	Character	0-30	
	SecurityId	Security ID	No	Character	0-12	
	ISIN	International Security Identification Number	No	Character	0-12	
	SecShortName	Security short name	No	Character	0-10	
	OpenRepoComm	Amount of accrued fee under REPO trades with open date, in rubles	No	Number	20	2
	CommDays	Number of calendar days for which fee under REPO trades with open date is accrued (starting from the Settlement Day following the date of first part of the trade execution)	No	Number	0-4	
	SettleDate	Obligation to pay fee settlement date	Yes	Date		
	TotalComm	Total fee under trade (accrued cumulatively), in rubles	No	Number	20	2
/RECORDS						
/FIRM						
/EQM151						
/MICEX_DOC						

7.1.32. Extract from the list of Intensions (EQM02TQ)

XML-file structure

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		

	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			
EQM02TQ		Block of report data	Yes			
	TradeDate	Intension submission date	Yes	Date		
	Weekday	Weekday for report date	Yes	Character	0-20	
	MainFirmId	Main firm ID	Yes	Character	0-12	
	FirmName	Main firm name	Yes	Character	0-120	
FIRM		Block of firm data	Yes			
	FirmID	Firm ID	Yes	Character	0-12	
RECORDS		Block of Intension data				
	RecNo	Sequence number	Yes	Number	11	0
	QuoteNo	Intension number	Yes	Number	20	0
	Status	Intension status: M – executed; C – removed by the Clearing System; O – «active» (not executed in full and not removed); R – declined by the Clearing System; W – removed by user; D – removed by the system when user is disconnected	Yes	Character	1	
	PartnerID	Partner firm ID (the Clearing Member – intension recipient). If partner firm and the list of partners are not indicated, the intension is addressed to all firms	No	Character	12	
	PartnerName	Partner firm name (the Clearing Member – intension recipient)	No	Character	30	
	PartnerListID	Partner list ID (list of Clearing Members – intension recipients). If partner firm and the list of partners are not indicated, the intension is addressed to all firms	No	Number	20	0

	PartnerListName	Partner list name (the Clearing Member – intension recipients)	No	Character	30	
	BuySell	Direction (Buy (B) / Sell (S))	Yes	Character	1	
	SecurityId	Security ID	Yes	Character	0-12	
	SecShortName	Security short name	Yes	Character	0-10	
	PriceType	Price type (PERC - percentage from nominal value)	Yes	Character	0-4	
	Price	Price per one security (for bonds – percentage from nominal value)	No	Number	20	6
	Quantity	Security quantity, in lots	No	Number	20	0
	EntryTime	Registration time in the system	Yes	Time		
	AmendTime	Intension cancellation time in the system (removal/decline/cancellation)	No	Time		
	UserId	Trading ID / SMA ID	Yes	Character	0-12	
/RECORDS						
/FIRM						
/EQM02TQ						
/MICEX_DOC						

7.1.33. Extract from the list of Offers (EQM2T)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	

	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			
EQM2T		Block of report data	Yes			
	TradeDate	Offer submission date	Yes	Date		
	Weekday	Weekday for offer submission date	Yes	Character	0-20	
	MainFirmId	Main firm ID	Yes	Character	0-12	
	FirmName	Main firm name	Yes	Character	0-120	
FIRM		Block of firm data	Yes			
	FirmID	Firm ID	Yes	Character	0-12	
BOARD		Block of trading mode data	Yes			
	BoardId	Trading Mode ID	Yes	Character	0-4	
	BoardName	Trading Mode name	Yes	Character	0-30	
RECORDS		Block of Offer data	Yes			
	RecNo	Sequence number	Yes	Number	11	0
	OrderNo	Offer number	Yes	Number	20	0
	Status	Offer status: M – satisfied; C – removed by the Clearing System; O – «active» (not satisfied in full and not removed); R – declined by the Clearing System; W – removed by user; D – removed by the system when user is disconnected	Yes	Character	1	
	OrdTypeCode	Offer type (AOTC – addressed OTC, NOTC – non-addressed OTC)	Yes	Character	0-4	
	BuySell	Direction (Buy (B) / sell (S))	Yes	Character	1	
	SecurityId	Security ID	Yes	Character	0-12	
	SecShortName	Security short name	Yes	Character	0-10	
	PriceType	Price type (PERC – percentage from nominal value)	Yes	Character	0-4	

	CurrencyId	Settlement currency ID	Yes	Character	0-4	
	Decimals	Number of significant decimals in prices (attribute Price)	No	Number	1	0
	Price	Price per one security	No	Number	20	6
	Quantity	Offer volume, in securities	No	Number	20	0
	Value	Offer volume, in settlement currency	No	Number	20	2
	TrdAccId	Trade Account code	Yes	Character	0-12	
	EntryTime	Offer registration time	Yes	Time		
	TradeTime	Offer execution time	No	Time		
	AmendTime	Cancellation time	No	Time		
	SettleCode	Settlement code	Yes	Character	0-12	
	AccInt	ACI, calculated according to the security quantity in the Offer, in settlement currency	No	Number	20	2
	CPFirmId	Counterparty ID	No	Character	0-12	
	CPFirmShortName	Counterparty short name	No	Character	0-30	
	UserId	Trading ID	Yes	Character	0-12	
	ASP	ASP	Yes	Character	0-12	
	ClientCode	Client code	No	Character	0-12	
	Details	Client identification data	No	Character	0-20	
	SubDetails	Identification data of the sub-broker's client	No	Character	0-20	
	MatchRef	Field «Reference»	No	Character	0-10	
	BrokerRef	Remarks	No	Character	0-20	
/RECORDS						
/BOARD						
/FIRM						
/EQM2T						
/MICEX_DOC						

7.1.34. Extract from the list of OTC trades (EQM3T)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			
EQM3T		Block of report data	Yes			
	TradeDate	Trade date	Yes	Date		
	Weekday	Weekday for trade date	Yes	Character	0-20	
	MainFirmId	Main firm ID	Yes	Character	0-12	
	FirmName	Main firm name	Yes	Character	0-120	
FIRM		Block of firm data	Yes			
	FirmID	Firm ID	Yes	Character	0-12	
CURRENCY		Block of settlement currency data				
	CurrencyId	Settlement currency ID	Yes	Character	0-4	
BOARD		Block of trading mode data	Yes			
	BoardId	Trading Mode ID	Yes	Character	0-4	
	BoardName	Trading Mode name	Yes	Character	0-30	
SETTLEDATE		Block of settlement date data				

	SettleDate	Settlement date	Yes	Date		
SECURITY		Block of security data				
	SecurityId	Security ID	Yes	Character	0-12	
	SecShortName	Security short name	Yes	Character	0-10	
	InitialFaceValue	One security nominal value (initial, excluding amortization)				
	FaceValue	One security current nominal value, in currency of instrument (for bonds with partial early redemption (amortization) – outstanding nominal value (part of main debt) on the current date in the currency of instrument)				
	SecCurrencyId	ID of financial instrument currency				
	PriceType	Price type (pers – percentage from nominal value)	No	Character	0-4	
TRDACC		Block of Trade Account data				
	TrdAccId	Trade Account code	Yes	Character	0-12	
RECORDS		Block of trade data	Yes			
	RecNo	Sequence number	Yes	Number	11	0
	TradeNo	Trade number in the list of OTC trades	Yes	Number	20	0
	TradeTime	Trade registration time in the list of OTC trades	Yes	Time		
	BuySell	Direction (Buy (B) / sell (S))	Yes	Character	1	
	SettleCode	Settlement code	Yes	Character	0-12	
	Decimals	Number of significant decimals in prices (attribute Price)	No	Number	1	0
	Price	Price per one security	No	Number	20	6
	Quantity	Trade volume, in securities	No	Number	20	0
	Value	Trade volume, in settlement currency, excluding ACI	No	Number	20	2
	Amount	Trade volume, in settlement currency, including ACI				
	FaceAmount	Trade volume at nominal value, in nominal value				
	ClrComm	Fee for provision of clearing service, in rubles	No	Number	20	2
	OrderNo	Offer number based on which the trade is concluded	No	Number	20	0
	OrdTypeCode	Offer type (AOTC – addressed OTC, NOTC – non-addressed OTC)	Yes	Character	0-4	

	AccInt	ACI, calculated according to the security quantity in the trade, in settlement currency	No	Number	20	2
	CPFirmId	Counterparty ID	No	Character	0-12	
	CPFirmShortName	Counterparty short name	No	Character	0-30	
	CPTrdAccId	Counterparty Trade Account	No	Character	0-12	
	TradeType	Trade type (N – NTM trade)	Yes	Character	1	
	UserId	Trading ID	Yes	Character	0-12	
	Yield	Yield	No	Number	20	2
	Period	Trading session period when the trade was concluded (N – Trading period)	No	Character	1	
	ExtRef	Field - notice	No	Character	0-12	
	ClientCode	Client code	No	Character	0-12	
	Details	Client identification data	No	Character	0-20	
	SubDetails	Identification data of the subbroker's client	No	Character	0-20	
	MatchRef	Field «Reference»	No	Character	0-10	
	BrokerRef	Remarks	No	Character	20	
/RECORDS						
/TRDACC						
/SECURITY						
/SETTLEDATE						
/BOARD						
/CURRENCY						
/FIRM						
/EQM3T						
/MICEX_DOC						

7.1.35. Extract from the list of OTC trades when placing/buying back (EQM3A)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	No			
DOC_REQUISITES		Block of document metadata	No			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	String	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	String	1-12	
	SENDER_ID	Sender ID	No	String	1-12	
	SENDER_NAME	Sender short name	No	String	1-30	
	RECEIVER_ID	Recipient ID	No	String	1-12	
	REMARKS	Remarks to the document	No	String	1-120	
	SIGNAUTHOR	Full name of digital signature author	No	String		
/DOC_REQUISITES			No			
EQM3A		Block of report data	No			
	TradeDate	Trade date	Yes	Date		
	Weekday	Weekday for trade date	Yes	String	20	
	MainFirmId	Main firm ID	Yes	String	12	
	FirmName	Main firm name	Yes	String	120	
	FirmINN	Main firm INN	Yes	String	12	
CURRENCY		Block of settlement currency data	No			
	CurrencyId	Settlement currency ID	Yes	String	4	
BOARD		Block of mode data	No			

	BoardId	Mode technological ID	Yes	String	4	
	BoardName	Mode name in the Clearing System	Yes	String	30	
SETTLEDATA		Block of Settlement Date data	No			
	SettleDate	Settlement Date	Yes	Date		
SECURITY		Block of security data	No			
	SecurityId	Security trading code	Yes	String	12	
	SecShortName	Security short name	Yes	String	10	
	SecName	Security name	Yes	String	30	
	SecurityType	Security type: os – ordinary shares, ps – preferred shares, ob – obligations, iu – investment units, dr – depositary receipts, if – foreign investment funds mc – mortgage certificate bs – basket of securities ai – additional ID of the list gcc – general collateral certificates etc – commodity instruments (exchange-traded commodity)	Yes	String	4	
	InitialFaceValue	Nominal value per one security (Initial, without amortization)	Yes	Decimal	20	6
	SecCurrencyId	Currency ID of financial instruments	Yes	String	4	
	PriceType	Price type (PERC - percentage from nominal value, CASH – in settlement currency)	Yes	String		
TRDACC		Block of Trade Account data				
	TrdAccId	Trade Account with indication of which this trade is executed	Yes	String	12	
	ClearingCenterId	Clearing organization: "NCC" – CCP NCC	Yes	String	3	

RECORDS		Block of OTC trade data	No			
	RecNo	Sequence number	Yes	Integer	11	
	TradeNo	OTC trade number in the Clearing System	Yes	Decimal	20	
	TradeTime	OTC trade registration time in the Clearing System	Yes	Ttime		
	BuySell	OTC trade direction (Buy (B) / sell (S))	Yes	String	1-1	
	SettleCode	Settlement code	Yes	String	12	
	Decimals	Number of significant decimals in prices (attribute Price)	Yes	Integer	1	
	Price	Price per one security	No	Decimal	20	6
	Quantity	Number of securities	No	Decimal	20	
	Value	Trade volume, in settlement currency, without ACI	No	Decimal	20	2
	Clr Comm	Fee under executed trade, in rubles	Yes	Decimal	20	2
	OrderNo	Offer number on the basis of which trade is executed	No	Decimal	20	
	OrdType	Offer type	No	String	3	
	AccInt	Accrued coupon interest, calculated in accordance with the quantity of securities of this trade, in settlement currency	No	Decimal	20	2
	CPFirmId	ID of the Clearing Member-final counterparty	No	String	12	
	CPFirmShortName	Name of the Clearing Member-final counterparty	No	String	30	
	CPfirmINN	INN of the Clearing Member-final counterparty	No	String	12	
	CPTrdAccId	Trade Account code of the Clearing Member-final counterparty	No	String	12	
	TradeType	Trade type (N – NTM trade, P – trade when placing)	Yes	String	1	
	UserId	User ID of the Clearing Member	Yes	String	12	
	Yield	Yield	No	Decimal	20	2

	ExtRef	Field-comment, which is used for feedback from external systems (For example: External system User ID submitting Offer)	No	String	12	
	ClientCode	Client short code	No	String	12	
	Details	Client identification data	No	String	20	
	SubDetails	Identification data of the subbroker's client	No	String	20	
	MatchRef	Field «Reference» (is filled in when submitting Offer).	No	String	10	
	BrokerRef	Comments	No	String	20	
/RECORDS						
/TRDACC						
/SECURITY						
/SETTLEDATE						
/BOARD						
/CURRENCY						
/EQM3A						
/MICEX_DOC						

7.1.36. Consolidated list of Offers (EQM11)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	No			
DOC_REQUISITES		Block of document metadata	No			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	String	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	String	1-12	
	SENDER_ID	Sender ID	No	String	1-12	
	SENDER_NAME	Sender short name	No	String	1-30	
	RECEIVER_ID	Recipient ID	No	String	1-12	
	REMARKS	Remarks to the document	No	String	1-120	
	SIGNAUTHOR	Full name of digital signature author	No	String		
/DOC_REQUISITES			No			
EQM11		Block of report data	No			
	RepDate	Report date	Yes	Date		
	Weekday	Weekday for report date	Yes	String	20	
	ActivationDate	Offer activation date	No	Date		
	ActivationTime	Offer activation time	No	Time		
	FirmId	Clearing Member Unicode to which Offers are addressed	Yes	String	12	
	FirmName	Clearing Member Name to which Offers are addressed	Yes	String	120	

	BoardId	Mode technological ID in the Clearing System	Yes	String	4	
	BoardName	Mode name in the Clearing System	Yes	String	30	
	SecurityId	Security ID	Yes	String	12	
	SecShortName	Security short name	Yes	String	10	
	SecType	Security type: os – ordinary shares ps – preferred shares ob – obligations iu – investment units dr – depositary receipts if – foreign investment funds mc – mortgage certificate bs – basket of securities ai – additional ID of the list gcc – general collateral certificate etc – commodity instruments (exchange-traded commodity)	Yes	String	4	
	CurrencyId	Settlement currency ID	Yes	String	4	
	SettleCode	Settlement code	Yes	String	12	
	CPPrice	Price per one security	No	Decimal	20	6
	BuySell	Offer direction (Buy (B) / sell (S))	Yes	String	1-1	
RECORDS		Block of Offer data	No			
	RecNo	Sequence number	Yes	Integer	11	
	OrderNo	Offer number in the Clearing System	Yes	Decimal	20	
	EntryDate	Offer registration date in the Clearing System	Yes	Date		
	EntryTime	Offer registration time in the Clearing System	Yes	Time		
	CPFirmId	Clearing Member Unicode which have submitted Offer	Yes	String	12	
	CPFirmShortName	Short name of the Clearing Member which have submitted Offer	Yes	String	30	

	CPFirmName	Name of the Clearing Member which have submitted Offer (full)	Yes	String	1-120	
	CPfirmINN	INN of the Clearing Member-final counterparty	No	String	12	
	CPfirmOGRN	OGRN of the Clearing Member-final counterparty	No	String	13	
	Decimals	Number of significant decimals in prices (attribute Price)	Yes	Integer	1	
	Price	Price per one security	No	Decimal	20	6
	Quantity	Number of securities in units	Yes	Decimal	20	
	Value	Offer volume	No	Decimal	20	2
	TrdAccId	Trade Account indicated when submitting Offer to the Clearing System	Yes	String	12	
	AccInt	Accrued coupon income on trade date of OTC trade (activation date) per one security	No	Decimal	20	2
	Details	Client identification data	No	String	20	
	SubDetails	Identification data of the subbroker's client	No	String	20	
	MatchRef	Field «Reference» (is filled in when submitting Offer)	No	String	10	
	BrokerRef	Comments (is filled in by trader. As usual: client code/instruction number)	No	String	20	
	Comments	Additional information (for example: when submitting Offer with feature «At counterparty's price» «Offer at counterparty's price» is indicated)	No	String	255	
	Yield	Yield	No	Decimal	20	2
	ComSumQty	Number of securities accrued totally	No	Integer		
	ComSumVal	OTC trades volume accrued totally	No	Decimal	20	2
/RECORDS						
/EQM11						

/MICEX_DOC						
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7.1.37. Information on amount of Collateral, debt and Single Limit (EQM184R)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			
EQM184R		Block of report data	Yes			
	ReportDate	Report date	Yes	Date		
	ClearingFirmId	Clearing Member Unicode	Yes	Character	0-12	
	ClearingFirmName	Clearing Member name	Yes	Character	0-120	
	ClearingFirmNameEN	Clearing Member name (in English)	No	Character	0-120	
DETAILS		Block of Segregated client data	Yes			
	Details	INN or № of client's passport	Yes	Character	20	
	SubDetails	INN or № of passport of the sub-broker's client	Yes	Character	20	
SETTLE		Block of Settlement Account data				

	ExtSettleCode	Settlement Account of the Clearing Member	Yes	Character	20	
	SingleLimit	Single Limit	Yes	Number	20	2
RECORDS		Block of Collateral data				
	CurrencyId	ID of currency/precious metal	No	Character	4	
	CurrencyName	Name of currency/ precious metal	No	Character	30	
	CurrencyNameEN	Name of currency/ precious metal (in English)	No	Character	30	
	SecurityId	Security ID	No	Character	12	
	SecShortName	Security short name	No	Character	10	
	TrdAccId	Trade Account code	No	Character	12	
	Quantity	Amount of Collateral / Debt in units of currency/ in grams / amount of Collateral in securities	Yes	Number	20	2
/RECORDS						
/SETTLE						
/DETAILS						
/EQM184R						
/MICEX_DOC						

EQM184R is provided to the Clearing Member – Recipient before the procedure for transfer obligations and Collateral.

7.2. Reports provided for Custodians

7.2.1. Reports on additional trading sections opened for execution of operations by the Clearing Members (EQM28)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	

	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			
EQM28		Block of report data	Yes			
	ReportDate	Report date	Yes	Date		
	Weekday	Weekday for report date	Yes	Character	0-20	
	MainFirmId	Custodian ID	Yes	Character	0-12	
	MainFirmName	Custodian name	Yes	Character	0-120	
	FirmType	Custodian type (possible values: «Non-credit», «Credit»)	Yes	Character	0-20	
FIRM		Block of firm of the Clearing member data	Yes			
	FirmID	Firm ID of the Clearing Member	Yes	Character	0-12	
	FirmName	Firm name of the Clearing Member	Yes	Character	0-120	
RECORDS		Block of Trade Account data	Yes			
	TrdAccId	Trade Account code	Yes	Character	0-12	
	TrdAccName	Trade account name	Yes	Character	0-30	
	TrdAccStatus	Trade account status	Yes	Character	1	
	DepAccId	Trading depo account / depo account	Yes	Character	0-35	
	DepUnitId	Securities sub-account of the trading depo account / depo account	Yes	Character	0-17	
	TransferSettleSecurity	Securities transfer from T0 to T+ when obligations execution («Y» - yes, «N» - no)	No	Character	1	
	TransferNettoSecurity	Securities transfer from T0 to T+ when obligations execution in amount of Total Net Obligation («Y» - yes, «N» - no)	No	Character	1	
	ReturnSettleSecurity	Securities transfer from T+ to T0 after obligation termination («Y» - yes, «N» - no)	No	Character	1	
	FullCoveredSell	Trade Account without admission to Clearing of Partially Secured Trades in securities («Y» - yes, «N» - no)	No	Character	1	

	DataChanged	Changes («Y» - changed, «N» - not changed)	Yes	Character	1	
/RECORDS						
/FIRM						
/EQM28						
/MICEX_DOC						

7.2.2. Extract from the list of trades, accepted for clearing (for Custodians) (EQM6D)

XML-file structure:

Node name	Attribute name	Description	Required	Type	Length	Decimals
MICEX_DOC		Root element of XML document	Yes			
DOC_REQUISITES		Block of document metadata	Yes			
	DOC_DATE	Document date	No	Date		
	DOC_TIME	Document time	No	Time		
	DOC_NO	Document unique number in the EDI system	No	Character	1-12	
	DOC_TYPE_ID	Document type ID in the EDI system	No	Character	1-12	
	SENDER_ID	Sender ID	No	Character	1-12	
	SENDER_NAME	Sender short name	No	Character	1-30	
	RECEIVER_ID	Recipient - Custodian ID	No	Character	1-12	
	REMARKS	Remarks to the document	No	Character	1-120	
/DOC_REQUISITES			Yes			
EQM6D		Block of report data	Yes			
	ReportDate	Report date	Yes	Date		
	Weekday	Weekday for report date	Yes	Character	0-20	
	MainFirmId	ID of Main firm - Custodian / Clearing Member	Yes	Character	0-12	
	FirmName	Name of Main firm - Custodian / Clearing Member	Yes	Character	0-120	
FIRM		Block of firm data	Yes			
	FirmID	ID of firm - Custodian / Clearing Member	Yes	Character	0-12	

CLEARING_MEMBER		Block of the Clearing Member data	Yes			
	ClearingMemberID	Clearing Member ID	Yes	Character	0-12	
	ClearingMemberName	Clearing Member name	Yes	Character	0-41	
SETTLE		Block of Settlement Account data	Yes			
	ExtSettleCode	Settlement Account	Yes	Character	20	
TRDACC		Block of Trade Account data	Yes			
	TrdAccId	Trade Account code	Yes	Character	0-12	
CURRENCY		Block of settlement currency data	Yes			
	CurrencyId	Settlement currency ID («USD» - USA dollars, «RUR» - Russian rubles, «EUR» - euro, «CNY» - Chinese yuan, etc)	Yes	Character	0-4	
	CurrencyName	Settlement currency name	Yes	Character	0-30	
INFTYPE		Block of information type data	Yes			
	InfType	Information type 1 – Executed trades of the previous days; 2 – Executed trades of the current day; 3 - Trades to be executed; 4 – Non-executed and cancelled trades; 6 - Trades to be executed, with changed parameters	Yes	Number	1	0
CLEARINGTYPE		Block of clearing type data	Yes			
	ClearingType	Clearing type (possible values: M – clearing after trading, R – clearing during trading under several trades, S – clearing during trading under one trade, C – clearing under Trades T+, E – NSD clearing)	No	Character	1	
SESSION		Block of clearing session data	Yes			
	Session	Clearing session number (for securities): «1» - clearing session at 16:00, 17:30; «2» - clearing session at 19:00, 19:30; «3» - clearing session at 23:00 «0» - for trades with clearing during trading	No	Number	1	0

SETTLEDATE		Block of settlement date data	Yes			
	SettleDate	Actual settlement date / Settlement date / Maximum possible date for fulfillment of obligations under second part of REPO trade with open date	Yes	Date		
INSTRTRADE		Block of instrument type in the trade data	Yes			
	InstrType	Instrument type in the trade: C – trade in foreign currency, S – trade in security	Yes	Character	1	
BOARD		Block of trading mode data	Yes			
	BoardId	Trading Mode ID	Yes	Character	0-4	
	BoardName	Trading Mode name	Yes	Character	0-30	
SECURITY		Block of security / instrument data	No			
	SecurityId	ID of security / instrument	Yes	Character	0-12	
	ISIN	International Security Identification Number	No	Character	0-12	
	SecShortName	Short name of security / instrument	Yes	Character	0-10	
	PriceType	Price type (pers – percentage from nominal value, cash – in settlement currency)	No	Character	0-4	
RECORDS		Block of trade data	Yes			
	RecNo	Sequence number	Yes	Number	11	0
	TradeNo	Number of trade / order to conclude the deposit agreement included into the list of offers / deposit agreement in the Trading System	Yes	Number	20	0
	TradeDate	Trade date	Yes	Date		
	TradeTime	Trade registration time in the Trading System	Yes	Time		
	BuySell	Direction of trade / part of trade (Buy (B) / sell (S))	Yes	Character	1	
	SettleCode	Settlement code under trade	Yes	Character	0-12	
	Decimals	Number of significant decimals in prices, REPO rates, discounts (attributes Price, Price2, RepoRate, Discount, LowerDiscount, UpperDiscount)	No	Number	1	0
	Price	Price per one security. For REPO trades: price on which the trade was executed, depend on value of RepoPart parameter: price of 1 st part of REPO trade or 2 nd part of REPO trade	No	Number	20	7

	Quantity	Trade volume, in securities / foreign currency	No	Number	20	0
	Value	Trade volume, in settlement currency	No	Number	20	2
	FaceAmount	Trade volume at nominal value, in nominal currency	No	Number	30	2
	AccInt	Accrued coupon income, calculated according to the security quantity in the trade, in settlement currency	No	Number	20	2
	Amount	Obligation/ claim in cash funds	No	Number	20	2
	Balance	Obligation/ claim in securities	No	Number	20	0
	Sum1	Coupon income amount and compensation payment under cash funds	No	Number	20	2
	Sum2	Compensation payment amount under securities	No	Number	20	2
	ExchComm	Fee for organization of trading, in rubles (completed only for trades/ orders, executed on report date)	No	Number	20	2
	ClrComm	Fee for provision of clearing services, in rubles (completed only for trades/ orders, executed on report date)	No	Number	20	2
	ClientDetails	INN or № of client's passport	No	Character	0-41	
	CPFirmId	Counterparty ID	No	Character	0-12	
	CPFirmShortName	Counterparty short name	No	Character	0-30	
	Price2	Price of second part of REPO trade	No	Number	20	7
	Payoff	Cancellation payment value, in settlement currency	No	Number	20	6
	RepoPart	Part of trade (REPO, swap): "1" – first part; "2" – second part	No	Number	1	0
	RepoPeriod	REPO period (for trades without central counterparty)	No	Number	11	0
	ReportNo	Number of Execution Instruction / Instruction on refusal from trade execution	No	Number	20	0
	ReportTime	Execution time	No	Time		
	SettleTime	Settlement time	No	Time		
	ClientCode	Client short code	No	Character	0-12	
	DueDate	Settlement date / Maximum possible period of second part of REPO trade with open date	Yes	Date		
	Type	Trade type executed with aim to settle non-executed obligations under Trades T+: 1 – REPO trade/ two buy-sell trades in case of non-fulfilment of obligation by the Clearing Member;	No	Number	1	

		2 – closing trade in respect of Defaulting Clearing Member based on order submitted by NCC; 3 – REPO trade/ two buy-sell trades in case of non-fulfilment of obligations by the Clearing House; 4 – balancing trade with Non-Defaulting Clearing Member (without submitting order); 5 – REPO trade of the Clearing House (donor REPO trade)				
	Systemref	Positions register section code on the derivatives market	No	Character	0-12	
	EarlySettleStatus	Change of term for fulfillment of obligations under trade («Y» - yes, «N» - no)	No	Number	1	
	RepoRate	REPO rate / Value of deviation from indicative rate, in percentage	No	Number	20	6
	RateType	Rate type: «FIX» - fixed rate; «FLOATING» - floating rate	No	Character	8	
	OpenRepo	Feature of REPO trade with open date: «Y» - yes	No	Character	1	
	Benchmark	Indicative rate code	No	Character	8	
	BenchmarkRate	Indicative rate value, in percentage	No	Number	20	6
	CurRepoRate	Current value of REPO rate, in percentage (RepoRate+ BenchmarkRate)	No	Number	20	6
	RepoSum	Sum of REPO trade executed in trading mode «REPO auction with the Bank of Russia: floating rate», including accrued interest income, in settlement currency	No	Number	20	2
/RECORDS						
/SECURITY						
/BOARD						
/INSTRTRADE						
/SETTLEDATE						
/SESSION						
/CLEARINGTYPE						
/INFTYPE						

/CURRENCY						
/TRDACC						
/SETTLE						
/CLEARING_MEMBER						
/FIRM						
/EQM6D						
/MICEX_DOC						

8. Forms of reports in paper form

8.1. 7.1.1. Extract from the protocol of the execution of trades, obligations to post compensation payments and to pay cancellation payment (EQM05)

Extract from the protocol of the execution of trades, obligations to post compensation payments and to pay cancellation payment

Clearing Member name:

Clearing Member Unicode:

Trading Mode name:

Seq no	Operator code	Number of report / instruction	Type of report/instruction	Direction (> send, < receive)	Input	Removed	Clearing session number	Settlement currency	Trade number / CP number	REPO part	Trade date	Settlement date/ CP posting date/ Instruction for refusal registration date	Settlement code	REPO period	Price	Trade volume/ CP in securities	Trade volume/ CP in settlement currency	Security ID	Trade Account	Trade type	Direction of CP/cancellation payment	Counterparty	Redemption price	Cancellation payment size in settlement currency

Trading Mode name:

Seq no	Operator code	Number of report / instruction	Type of report/instruction	Direction (> send, < receive)	Input	Removed	Settlement currency	Credit agreement number	Part of operation under credit agreement	Trade date	Settlement date/ Instruction for refusal registration date	Settlement code	Credit agreement amount (including interest), in settlement currency	Interest amount under credit	Trade Account	Counterparty

8.2. Extract from the list of trades, accepted for clearing (EQM06)

Extract from the list of trades, accepted for clearing

Clearing Member name:

Clearing Member Unicode:

Settlement Account:

Executed trades/ deposit agreements / credit agreements of the current day:

Trades T+:

Clearing session number:

Trades in Russian rubles

Actual Settlement date:

Seq no	Number of trade / order / deposit agreement / credit agreement	Part of trade / order / deposit agreement / credit agreement	Trade date	Direction	Settlement code	Period of REPO trade / credit agreement	Price	Quantity	Volume of trade / order / deposit agreement / credit agreement, in settlement currency	Fee for organization of trading	Fee for provision of clearing services	ACI on settlement date	Amount of coupon income and compensation payments in cash funds	Amount of compensation payments in securities	Obligation / claim in cash funds	Sum of interest under credit agreement, in settlement currency	REPO rate / interest rate / spread	Indicator	Size of indicative rate	Obligation / claim in securities	Trade Account	Client code	Counterparty	Redemption price	Securities Sub-account code / order number	Amount of cancellation payment in settlement currency	Number of Execution Instruction / Instruction for refusal	Execution time	Settlement time	Change of term of obligations fulfillment under trade	REPO trade with open date
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REPO with CCP

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Seq no	Trade number	Trade part	Trade date	Direction	Settlement code	Rate	Quantity	Trade volume (in rub)	Account number	Counterparty	Execution time
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Swap trades/ buy-sell trades

Trades in foreign currency

Actual settlement date:

Serial no	Number of trade /order/ deposit agreement/ credit agreement	Part of trade /order/ deposit agreement/ credit agreement	Trade date	Direction	Settlement code	Period of REPO trade / credit agreement	Price	Quantity	Volume of trade /order/ deposit agreement / credit agreement , in settlement currency	Fee for organization of trading	Fee for provision of clearing services	ACI on settlement date	Amount of coupon income and compensation payments in cash funds	Amount of compensation payments in securities	Obligation/ claim in cash funds	Sum of interest under credit agreement, in settlement currency	REPO rate/ interest rate/sp read	Indicator	Size of indicative rate	Obligation / claim in securities	Trade Account	Client code	Counterparty	Redemption price	Securities Sub-account code/order number	Amount of cancellation payment in settlement currency	Number of Execution Instruction/ Instruction for refusal	Execution time	Settlement time	Change of term of obligations fulfillment under trade	REPO trade with hope n date
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NTM: B-shares and units

[illegible]

Trades in Russian rubles

Actual settlement date:

Sequence number	Number of trade / order / deposit agreement / credit agreement	Part of trade / order / deposit agreement / credit agreement	Trade date	Direction	Settlement code	Period of REPO trade / credit agreement	Price	Quantity	Volume of trade / order / deposit agreement / credit agreement, in settlement currency	Fee for organization of trading	Fee for provision of clearing services	ACI on settlement date	Amount of coupon income and compensation payments in cash funds	Amount of compensation payments in securities	Obligation / claim in cash funds	Sum of interest under credit agreement, in settlement currency	REPO rate / interest rate / spread	Indicator	Size of indicative rate	Obligation / claim in securities	Trade Account	Client code	Counterparty	Redemption price	Securities Sub-account code / order number	Amount of cancellation payment in settlement currency	Number of Execution Instruction / Instruction for refusal	Execution time	Settlement time	Change of term of obligations fulfillment under trade	REPO trade with open date
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Trades/ credit agreements, executed after clearing during trading (based on Immediate Execution Instruction):

Trades in Russian rubles

Actual settlement date:

Sequence number	Number of trade / order / deposit agreement / credit agreement	Part of trade / order / deposit agreement / credit agreement	Trade date	Direction	Settlement code	Period of REPO trade / credit agreement	Price	Quantity	Volume of trade / order / deposit agreement / credit agreement, in settlement	Fee for organization of trading	Fee for provision of clearing services	ACI on settlement date	Amount of coupon income and compensation payments in cash funds	Amount of compensation payments in securities	Obligation / claim in cash funds	Sum of interest under credit agreement, in settlement currency	REPO rate / interest rate / spread	Indicator	Size of indicative rate	Obligation / claim in securities	Trade Account	Client code	Counterparty	Redemption price	Securities Sub-account code / order number	Amount of cancellation payment in settlement currency	Number of Execution Instruction / Instruction for refusal	Execution time	Settlement time	Change of term of obligations fulfillment under trade	REPO trade with open date
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Trades in Russian rubles

Actual settlement date:

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Trades, executed after clearing after trading:

Trades in Russian rubles

Actual settlement date:

[illegible]

Trades in Russian rubles

Settlement date*:

Seq no	Number of trade / order / deposit agreement / credit agreement	Part of trade / order / deposit agreement / credit agreement	Trade date	Direction	Settlement code	Period of REPO trade / credit agreement	Price	Quantity	Volume of trade / order / deposit agreement / credit agreement, in settlement currency	Fee for organization of trading	Fee for provision of clearing services	ACI on settlement date	Amount of coupon income and compensation payments in cash funds	Amount of compensation payments in securities	Obligation / claim in cash funds	Sum of interest under credit agreement, in settlement currency	REPO rate / interest rate / spread	Indicator	Size of indicative rate	Obligation / claim in securities	Account number	Client code	Counterparty	Redemption price	Securities Sub-account code / order number	Amount of cancellation payment in settlement currency	Number of Execution Instruction / Instruction for refusal	Execution time	Settlement time	Change of term of obligations fulfillment under trade	REP O trade with open date
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* For REPO trades with open date before determining actual settlement date of the second part of the trade in accordance with the Clearing Rules the maximum possible date for the fulfillment of obligations under the second part of the trade is indicated, and Trade volume in settlement currency, Obligation/claim in cash funds, Accumulated coupon income on the settlement date – calculated on the date of Maximum possible settlement period.

Seq no	Trade number	Trade part	Trade date	Direction	Settlement code	Rate	Quantity	Trade volume (in rub)	Account number	Counterparty	Execution time
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Swap trades/ buy-sell trades

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Trades/ credit agreements to be executed, with changed parameters:

Trades in Russian rubles

Settlement date*:

Sequence number	Number of trade / order / deposit agreement / credit agreement	Part of trade / order / deposit agreement / credit agreement	Trade date	Direction	Settlement code	Period of REPO trade / credit agreement	Price	Quantity	Volume of trade / order / deposit agreement / credit agreement, in settlement currency	Fee for organization of trading	Fee for provision of clearing services	ACI on settlement date	Amount of coupon income and compensation payments in cash funds	Amount of compensation payments in securities	Obligation / claim in cash funds**	Sum of interest under credit agreement, in settlement currency	REPO rate / interest rate / spread	Indicator	Size of indicative rate	Obligation / claim in securities	Account number	Client code	Counterparty	Redemption price	Securities Sub-account code / order number	Amount of cancellation payment in settlement currency	Number of Execution Instruction / Instruction for refusal	Execution time	Settlement time	Change of term of obligations fulfillment under trade	REPO trade with open date

* For REPO trade with open date before determining actual settlement date of the second part of the trade in accordance with the Clearing Rules the maximum possible date for the fulfillment of obligations under the second part of the trade is indicated, and Trade volume in settlement currency, Obligation/claim in cash funds, Accumulated coupon income on the settlement date – calculated on the date of Maximum possible settlement period.

**For deposits with replenishment possibility: subject to timely replenishment of all previously withdrawn amounts on their target dates for deposit replenishment. For REPO trades / Deposits with CCP with floating rate: forecast value, taking into account the possible change of the indicative rate size starting from new interest period.

Non-executed and cancelled trades/ non-executed credit agreements / non-executed deposit agreements:

Trades in Russian rubles

Settlement date:

S e q n o	Number of trade/order/ deposit agreement/ credit agreement	Part of trade/order/ deposit agreement / credit agreement	Trade date	Direction	Settlement code	Period of REPO trade / credit agreement	Price	Quantity	Volume of trade/order/ deposit agreement / credit agreement , in settlement currency	Fee for provision of clearing services	Fee for organization of trading	ACI on settlement date	Amount of coupon income and compensation payments in cash funds	Amount of compensation payments in securities	Obligation/ claim in cash funds**	Sum of interest under credit agreement, in settlement currency	REPO rate/ interest rate/s pread	Obligation/ claim in securities	Account number	Client code	Counterparty	Redemption price	Securities Sub - account code/ order number	Amount of cancellation payment in settlement currency	Number of Execution Instruction/ Instruction for refusal	Execution time	Settlement time	Change of term of obligations fulfillment under trade	Size of indicative rate	Indicator	REPO trade with open date
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Orders to conclude deposit agreement included into the list of offers/Application for changing deposit agreement amount:
Settlement date:

S e q n o	Order number	Order part	Trade date	Direction	Settlement code	Order volume (in settlement currency)	Interest rate	Deposit agreement number*	Fee for provision of clearing services	Fee for organization of trading	Obligation in cash funds	Account number	Counterparty
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* it's indicated for Application for changing deposit agreement amount

Consolidated orders to conclude deposit agreements included into the list of offers:
Settlement date:

S e q u e n c e	Order numbe r	Order part	Trade date	Directi on	Settle ment code	Order volume (in rub)	Interest rate	Fee for provision of clearing services	Fee for organizati on of trading	Obligation in cash funds	Account number	Counter party	Deposit agreeme nt number
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Obligation to pay fee for provision of clearing services:

Obligation to pay fee for organization of trading:

8.3. Extract from the list of trades, accepted for clearing (EQM63)

Extract from the list of trades, accepted for clearing

Clearing Member name:

Clearing Member Unicode:

Settlement Account:

Settlement date*:

Trades in Russian rubles

S e q u e n c e	Numbe r of trade/ order/ deposit agreem ent	Part of trade /order / deposi t agree ment	Trade date	Directi on	Settle ment code	REPO period	Pric e	Qua ntity	Volum e of trade /order / deposi t agree ment (in rub)	Fee for organizati on of trading	ACI on settle ment date	Amount of coupon income and compensa tion payments in cash funds	Amount of compensa tion payments in securities	Obligatio n/ claim in cash funds	Obligati on/ claim in securiti es	Clien t code	Cou nter party	Red emp tion price	Securiti es Sub- account code/ order number	Amount of cancellati on payment in settleme nt currency	Number of Executio n Instructi on/ Instructi on for refusal	Execu tion time	Settle ment time	Size of indica tive rate	Indica tor	REPO trade with open date
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*For REPO trade with open date before determining actual settlement date of the second part of the trade in accordance with the Clearing Rules the maximum possible date for the fulfillment of obligations under the second part of the trade is indicated, and Trade volume in settlement currency, Obligation/claim in cash

funds, Accumulated coupon income on the settlement date – calculated on the date of Maximum possible settlement period.

8.4. Extract from the list of trades, accepted for clearing (under trades of clients) (EQM6C)

Extract from the list of trades, accepted for clearing (under trades of clients)

Clearing Member name:

Clearing Member Unicode:

Client code

Executed trades of the current day:

Trades T+:

Trades in Russian rubles

Actual settlement date:

Seq no	Trade number	Trade part	Trade date	Direction	Settlement code	REPO period	Price	Quantity	Trade volume (in rub.)	Fee for organization of trading	Fee for provision of clearing services	ACI on settlement date	Amount of coupon income and compensation payments in cash funds	Amount of compensation payments in securities	Obligation/ claim in cash funds	Indicator	Size of indicative rate	Obligation/ claim in securities	Account number	Counterparty	Redemption price/interest rate	Amount of cancellation payment in settlement currency	Number of Execution Instruction/ Instruction for refusal	Execution time	Change of term of obligations fulfillment under trade	REPO trade with open date
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REPO with CCP

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Seq no	Trade number	Trade part	Trade date	Direction	Settlement code	Rate	Quantity	Trade volume (in rub)	Account number	Counterparty	Execution time
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Swap trades/ buy-sell trades

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Trades, executed after clearing after trading:

Trades in Russian rubles

Actual settlement date:

Sequence number	Trade number	Trade part	Trade date	Direction	Settlement code	REPO period	Price	Quantity	Trade volume (in rub.)	Fee for organization of trading	Fee for provision of clearing services	ACI on settlement date	Amount of coupon income and compensation payments in cash funds	Amount of compensation payments in securities	Obligation / claim in cash funds	Indicator	Size of indicative rate	Obligation / claim in securities	Account number	Counterparty	Redemption price / interest rate	Amount of cancellation payment in settlement currency	Number of Execution Instruction / Instruction for refusal	Execution time	Change of term of obligations fulfillment under trade	REPO trade with open date
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REPO in bonds

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Executed trades of the current day:

Trades, executed after clearing after trading:

Trades in Russian rubles

Actual settlement date:

Sequence number	Trade number	Trade part	Trade date	Direction	Settlement code	REPO period	Price	Quantity	Trade volume (in rub)	Fee for organization of trading	Fee for provision of clearing services	ACI on settlement date	Amount of coupon income and compensation payments in cash funds	Amount of compensation payments in securities	Obligation / claim in cash funds	Indicator	Size of indicative rate	Obligation / claim in securities	Account number	Counterparty	Redemption price / interest rate	Amount of cancellation payment in settlement currency	Number of Execution Instruction / Instruction for refusal	Execution time	Change of term of obligations fulfillment under trade	REPO trade with open date
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NTM: B-shares and units

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REPO in bonds

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Trades to be executed:

Trades in Russian rubles

Settlement date*:

Seq no	Trade number	Trade part	Trade date	Direction	Settlement code	REPO period	Price	Quantity	Trade volume (in rub)	Fee for organization of trading	Fee for provision of clearing services	ACI on settlement date	Amount of coupon income and compensation payments in cash funds	Amount of compensation payments in securities	Obligation / claim in cash funds	Indicator	Size of indicative rate	Obligation / claim in securities	Account number	Counterparty	Redemption price / interest rate	Amount of cancellation payment in settlement currency	Number of Execution Instruction / Instruction for refusal	Execution time	Change of term of obligations fulfillment under trade	REPO trade with open date
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REPO in bonds

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* For REPO trade with open date before determining actual settlement date of the second part of the trade in accordance with the Clearing Rules the maximum possible date for the fulfillment of obligations under the second part of the trade is indicated, and Trade volume in settlement currency, Obligation/claim in cash funds, Accumulated coupon income on the settlement date – calculated on the date of Maximum possible settlement period.

Seq no	Trade number	Trade part	Trade date	Direction	Settlement code	Rate	Quantity	Trade volume (in rub)	Account number	Counterparty	Execution time
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SWAP trades/ buy-sell trades

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Client code:

Executed trades of the current day:

Trades, executed after clearing after trading:

Trades in Russian rubles

Actual settlement date:

Seq no	Trade number	Trade part	Trade date	Direction	Settlement code	REPO period	Price	Quantity	Trade volume (in rub)	Fee for organization of trading	Fee for provision of clearing services	ACI on settlement date	Amount of coupon income and compensation payments in cash funds	Amount of compensation payments in securities	Obligation / claim in cash funds	Indicator	Size of indicative rate	Obligation / claim in securities	Account number	Counterparty	Redemption price / interest rate	Amount of cancellation payment in settlement currency	Number of Execution Instruction / Instruction for refusal	Execution time	Change of term of obligations fulfillment under trade	REPO trade with open date
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REPO in shares

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8.5. Extract from the list of non-executed and cancelled trades (EQM08)

Extract from the list of non-executed and cancelled trades

Clearing Member name:

Clearing Member Unicode:

Seq no	Number of trade / credit agreement	Part of trade / credit agreement	Trade date	Direction	Settlement code	Period of REPO trade / credit agreement	Price	Quantity	Volume of trade / credit agreement, in settlement currency	ACI on settlement date	Account number	Client code	Counterparty	Redemption price	Amount of cancellation payment in settlement currency	Forfeit for non-execution	REPO rate	Fixed Refund rate	Number of Execution Instruction / Instruction for refusal	Input time of report / Instruction for refusal	Report type	Refund price	Non-execution reason (termination)
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Settlement date:

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8.6. Notification on Trade Account replacement (EQM12)

Notification on Trade Account replacement

Clearing Member name:

Clearing Member Unicode:

Sequence number	Trade number	Trade date	Trade direction	Trade Account code before replacement	Trade Account code after replacement

8.7. Report on Total Net Obligations / Total Net Claims (EQM13)

Report on Total Net Obligations / Total Net Claims

Clearing Member name:

Clearing Member Unicode:

Report date:

Settlement Account:

Cash position code:

Clearing session number, based on the result of which the report is generated:

ID of settlement currency/ precious metal:

Type of obligation / claim / intension	Obligations and intentions	Claims
Total Net Obligation / Total Net Claim		
Total for the deposit market		
Total		

Securities Sub-account for recording securities as Collateral:

Trade Account:

Security code	Security name	Obligation type	Obligation	Claim

8.8. Report on Margin Calls (EQM14)

Report on Margin Calls

Clearing Member name:

Clearing Member Unicode:

Report date:

Settlement Account:

Margin Call should be satisfied before: 17:30 Moscow time <Date>

Settlement Account	Settlement Account type	Margin Call amount, rub.

8.9. Report on obligations of the Clearing Member under fees (EQM15)

Report on fees

Clearing Member name:

Clearing Member Unicode:

Settlement Account:

Fee for organization of trading

Fee type	Description	Start date of accrual period	End date of accrual period	Fee amount, rub.	including VAT, rub.	Account number/ Settlement Account	Collateral account number	Settlement date

Fee for provision of clearing services

Fee type	Description	Start date of accrual period	End date of accrual period	Fee amount, rub.	including VAT, rub.	Account number/ Settlement Account	Collateral account number	Settlement date

Fee for the ITS services

Fee type	Description	Start date of accrual period	End date of accrual period	Fee amount, rub.	including VAT, rub.	Account number/ Settlement Account	Collateral account number	Settlement date

Payments to third parties

Fee type	Description	Start date of accrual period	End date of accrual period	Fee amount, rub.	Settlement date

Report on fees

Trading Member name:

Trading Member ID:

Settlement Account:

Fee for organization of trading

Fee type	Description	Start date of accrual period	End date of accrual period	Fee amount, rub.

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8.10. Report on Trade Accounts (EQM20)

Report on Trade Accounts

Clearing Member name:

Clearing Member Unicode:

Report date:

Information on cash position:

Cash position code:

Cash position type	
Settlement Account usage model	
Without admission to the Clearing of Partially Secured Trades in cash funds	
Correspondence to the Unified Pool Settlement Account	
Correspondence to the Settlement Account for the variable part of fees withdrawal	
Settlement account of the Segregated Client	
Correspondence to the Settlement Account with special feature	

Currency code	Organization code	Organization name	Account number / Settlement Account	Collateral Account number	Feature of cash funds transfers		Settlement account for fees withdrawal	Variable part of fee is charged	Changes
					from T0 to T+ when executing	from T0 to T+ in amount of net obligation			

Cash position code:

Cash position type:

Correspondence to Asset Pool Settlement Account	
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Currency code	Organization code	Organization name	Settlement Account	Collateral Account number

Trade Accounts:

Trade Account code	Trade Account name	Trade Account status	Depo account t	Depo account section	Correspondence of securities sub-account to the account	Feature of securities transfers			Without admission to Clearing Partially Secured Trades in securities	Execution of buy-sell trades in case of non-fulfillment of obligations under Trades T+	Unified Pool Trade Account	Priority Collateral for Stress Trade Account*	Changes
						from T0 to T+ when executing	from T0 to T+ in amount of net obligation	from T+ to T0 in amount of net claim					

* - Priority Trade Account for satisfaction of Collateral for Stress Margin call

Trade Accounts:

Trade Account code	Trade Account name	Trade Account status	Depo sub-account	Correspondence to Trade Account T+

Trade Accounts:

Trade Account for deposit agreements conclusion	
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Trade Account code	Trade Account name	Trade Account status	Changes
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8.11. Report on Trade Accounts of the 2nd and 3rd level (EQM20A)

Report on Trade Accounts of the 2nd and 3rd level

Report date:

Clearing Member name:

Clearing Member Unicode:

Settlement Account	Trade Account			
Settlement Account of the 2 nd level	Trade Account of the 2 nd level	Identification data of the Client/ Trading Member ID	Trading Member name	Provision of services upon keeping clearing registers of the 3 rd level to the Clearing Member

Settlement Account of the 2 nd level	Trade Account of the 2 nd level		
Settlement Account of the 3 rd level	Trade Account of the 3 rd level	Identification data of the Client	Trade Account of the 3 rd level opening date

Report on Trade Accounts of the 2nd and 3rd level

Report date:

Trading Member name:

Trading Member ID:

Settlement Account of the 2 nd level	Trade Account of the 2 nd level	Clearing Member Unicode	Clearing Member name	Provision of services upon keeping clearing registers of the 3 rd level to the Clearing Member	Disclosure of information on the clients to the Clearing Member

Settlement Account of the 2 nd level	Trade Account of the 2 nd level		
Settlement Account of the 3 rd level	Trade Account of the 3 rd level	Identification data of the Client	Trade Account of the 3 rd level opening date

8.12. Report on obligations under Trades T+ (EQM23)

Report on obligations under Trades T+

Clearing Member name:

Clearing Member Unicode:

Report date:

Settlement Account:

Cash position code:

Settlement date*:

ID of settlement currency/ precious metal:

Obligation type	Obligation**	Claim**

Securities sub-account for recording securities as Collateral:

Trade Account:

Security code	Security name	Obligation type	Obligation**	Claim**

* For REPO trade with open date before determining actual settlement date of the second part of the trade in accordance with the Clearing Rules the maximum possible date for the fulfillment of obligations under the second part of the trade is indicated

** May contain obligations/claims under REPO trade with open date

8.13. Extract from the protocol of compensation payment posting and fulfillment of obligations to pay cancellation payment (EQM24)

Extract from the protocol of compensation payment posting and fulfillment of obligations to pay cancellation payment

Clearing Member name:

Clearing Member Unicode:

Information on compensation payment posting

Trade number	Trade date	Trade type	Comp. payment direction	Security	REPO amount (in rub.)	Security quantity	Counterparty	Trade Account code	Client	Comp. payment number	Comp. payment quantity	Comp. payment amount (in rub.)	Instruction number	Comp. payment status	Settlement time	Clearing session number	Settlement date

Information on fulfillment of obligation to pay cancellation payment

Trade number	Trade date	Trade type	Cancellation payment direction	Security	Settlement currency	Trade volume, in settlement currency	Security quantity	Counterparty	Trade Account code	Client	Cancellation payment amount, in settlement currency	Instruction for refusal from execution number	Cancellation payment status	Settlement time	Clearing session number	Settlement date

8.14. Report on accrued and paid interest under deposit agreements (CCX26)

Report on accrued and paid interest under deposit agreements

for the period from _____ to _____

Clearing Member name:

Clearing Member Unicode:

Report date:

Client account:

Client account name:

Account currency:

Opening balance on accrued interest under deposit agreements as of _____	
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Nº	Operation date	Debit	Credit	Operation name
Total turnover				

Closing balance on accrued interest under deposit agreements as of _____	
--	--

Client account:

Client account name:

Account currency:

Opening balance on accrued interest under deposit agreements as of _____	in foreign currency	in national equivalent

№	Operation date	Debit	Credit	Debit	Credit	Operation name
		in foreign currency		in national equivalent		
Total turnover						

Closing balance on accrued interest under deposit agreements as of _____	in foreign currency	in national equivalent

8.15. Report on additional trading sections opened for the execution of operations by the Clearing Members (EQM28)

**Report on additional trading sections,
opened for the execution of operations by the Clearing Members**

Type:

Custodian name:

Custodian ID:

Clearing Member name and unicode:

Trade Accounts:

Trade Account code	Trade Account name	Trade Account status	Depo account	Section of depo account	Feature of securities transfers			Without admission to Clearing Partially Secured Trades in securities	Changes
					from T0 to T+ when executing	from T0 to T+ in amount of net obligation	from T0 to T+ in amount of net claim		

Clearing Member name and Unicode:

Trade Account:

Trade Account code	Trade Account name	Trade Account status	Depo account	Section of depo account	Feature of securities transfers			Without admission to Clearing Partially Secured Trades in securities	Changes
					from T0 to T+ when executing	from T0 to T+ in amount of net obligation	from T0 to T+ in amount of net claim		

8.16. Report on Clearing Logins (EQM30)

Report on Clearing Logins

Clearing Member name:

Clearing Member Unicode:

Report date:

Clearing Logins:

Clearing Login	Clearing Login authorities			Changes
	Clearing Manager	Operator	Transfers	

8.17. Notification on obligations to pay cancellation payment (EQM35)

Notification on obligations to pay cancellation payment

Clearing Member name:

Clearing Member Unicode:

Report date:

Settlement account	Cancellation payment amount, rub.	Number of the order to conclude deposit agreement included into the list of offers / Number of the Application for deposit amount change

8.18. Report on risk parameters (EQM44)

Risk parameters
<Weekday>, <Date>**Market risk parameters:**

Instrument	Instrument short name	Range	Start range	End range	Risk rate of price decrease, %	Risk rate of price increase, %	Lower bound, rub.	Settlement price, rub.	Upper bound, rub.	Penalty for position under securities transfer, %	Penalty for position under cash funds transfer, %	Accepted as Collateral	Collateral acceptance limit, %	Short sales prohibition	Short sales limit, units	

Interest risk parameters:

Instrument	Instrument short name	Settlement date	Range	Start range	End range	Lower bound, rub.	Upper bound, rub.	REPO settlement rate, rub.	Lower bound, %	Upper bounds, %	REPO settlement rate, %	Settlement price including discount, rub.	Settlement price (with ACI), rub.	Date and time of fixing of parameters change

Short sales prohibition period:

Instrument	Start date of short sales prohibition period	End date of short sales prohibition period

Estimated values of indicators rates by dates

Date	RUSFAR	RUSFAR1W	RUSFAR2W	RUSFAR1M	RUSFAR3M	RUSFARCNY	RUONIA	RREFKEYR

8.19. Report on assets posted into Asset Pools (EQM45)

Report on assets posted into Asset Pools

Clearing Member name:

Clearing Member Unicode:

Report date:

Asset Pool name:

Asset Pool Settlement Account:

Asset pool depo sub-account number:

Asset Pool Trade Account:

Trade Account T+ corresponding to Asset Pool Trade Account:

Asset short code	Asset name	Asset quantity	Collateral acceptance limit, %	Asset estimated value, rub.
Asset total value, rub.				
Nominal value of issued general collateral certificates, rub.				

8.20. Report on nominal value of general collateral certificates (EQM46)

Report on nominal value of general collateral certificates

Report date:

Asset Pool name	Total nominal value of issued GCC	Discount amount (H) under general clearing certificates

8.21. Report on transferred asset profiles on the securities market (EQM49)

Report on transferred asset profiles on the securities market

Clearing Member name:

Clearing Member Unicode:

Report date:

Report time:

Settlement account

Asset name on which profile is transferred

Market, from which/ to which asset profile is transferred

(Trade Account)

Comments	Amount / Quantity	Feature of profile transfer	Operation time	Operation number	Trade Account of relevant level
Opening balance					
Profile transfer					
Closing/ current balance					

8.22. Additional report on OTC trades under the instrument (EQM59)

Additional report on OTC trades under the instrument
for the period

Clearing Member name:
Clearing Member Unicode:

Trade Account:
Client code:
Security:
Settlement currency:
Trading Mode:

Date	Actual offers existence time total for the day, in minutes	Sum of trades in settlement currency
...
TOTAL for period:		

8.23. Report on interest calculation under REPO trades with floating rate (EQM64)

Report on interest calculation under REPO trades with floating rate
for the period

Clearing Member name:
Clearing Member Unicode:

№	Trade number	Direction of second part of REPO trade	Trade date	Settlement currency	Security code	Security name	Indicator	Indicator value*	Spread	Rate applied on current day*	Amount of calculated interest

8.24. Report on Collateral evaluation (EQM84)

Report on Collateral evaluation

Clearing Member name:

Clearing Member Unicode:

Report date:

Settlement Account:

ID of currency / security	Name of currency / security	Number of Collateral Account / Trade Account	Collateral amount	Collateral acceptance limit, %	Collateral amount, unavailable for withdrawal	Collateral value, rub.
Collateral total value, rub.						
Collateral value, available for withdrawal, rub.						
Collateral value, unavailable for withdrawal, rub.						
Unsecured limit, rub. (for the Non-resident Clearing Members)						
Single Limit						

8.25. Report on change of the deposit amount (EQM85)

Report on change of the deposit amount

Report date
Clearing Member name
Clearing Member Unicode

Deposits with changed parameters

Initial parameters of deposits									Changed parameters of deposits					
Deposit agreement number	Settlement currency	Settlement Account	Deposit agreement conclusion date	Deposit return date under agreement	Initial amount	Initial interest rate/spread	Rate type	Indicator	Change date	Deposit balance as of the end of change date	Indicative rate size	Actual interest rate	Obligation to return deposit	Date for fulfillment of obligation to return deposit

Settlement Account:
Trading Mode:
Settlement currency:

Deposit agreement number:
Rate type:

Changes of deposit with fixed rate parameters (interest rates periods)

Date*	Increase of deposit amount: placements, amounts of replenishments per day	Decrease of deposit amount: amounts of partial withdrawals per day, return	Deposit balance as of end of the date of the deposit amount change	Actual interest rate, effective from indicated date*
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Settlement Account:

Trading Mode:

Settlement currency:

Deposit agreement number:

Rate type:

Indicator:

Changes of deposit with floating rate parameters (interest rate periods)

Date*	Increase of deposit amount: placements, amounts of replenishments per day	Decrease of deposit amount: amounts of partial withdrawals per day, return	Deposit balance as of end of the date of the deposit amount change	Spread	Indicative rate size	Actual interest rate, effective from indicated date Ы*

* Date:

1. In relation to interest rates – start dates of new rate periods (and/or Date of indicative rate update – for deposits with floating rate). Rate is in effect from first to last day of the period. Interest accrual at the indicated rate is made on opening balance of the next day.

2. In relation to increase of deposit amount – deposit placement date, and also target dates of deposit replenishments in amounts of partially withdrawn previously amounts.

3. In relation to decrease of deposit amount – dates of partially withdrawn amounts or deposit return.

8.26. Report on Total Net Obligations which will be terminated (EQM91)

Report on Total Net Obligations which will be terminated

Report date and time:

Clearing Member name:

Clearing Member Unicode:

Total Net Obligations in cash funds, not secured with funds for execution after two Settlement Days in a row:

Settlement Account	Currency code	Total Net Obligation	Collateral in cash funds	Total Net Obligation, not secured with funds for execution	Time of fixing	Penalty

Total Net Obligations in securities, not secured with funds for execution after fourth Settlement Days in a row:

Trade Account	Security code	Total Net Obligation	Collateral in securities	Total Net Obligation, not secured with funds for execution	Time of fixing	Penalty

Total Net Obligations in securities, not secured with funds for execution after eight Settlement Days in a row:

Trade Account	Security code	Total Net Obligation	Collateral in securities	Total Net Obligation, not secured with funds for execution	Time of fixing	Penalty

8.27. Report on premium (EQM97)

Report on premium

Report purpose: CLEARING

Clearing Member name:

Clearing Member Unicode:

Report date:

Account for bonus payment:

under REPO trades

Report generation period:

Trade date	Amount of paid fee for organization of trading, in rubles	Amount of accrued premium for organization of trading, in rubles
Total	[sum of column values]	[sum of column values]
Premium to be paid		[PaidReturnCommExh]

Trade date	Amount of paid fee for provision of clearing services, in rubles	Amount of accrued premium for provision of clearing services, in rubles
Total	[sum of column values]	[sum of column values]
Premium to be paid		[PaidReturnCommClr]

Under on-exchange trades / OTC trades, executed on the basis of addressed order / Offer with using NTM Quotation/ Intension:

Report generation period:

Trade date	Amount of paid fee for provision of clearing services, in rubles	Amount of accrued premium for provision of clearing services, in rubles

Total	[sum of column values]	[sum of column values]
Premium to be paid		[PaidReturnCommExh]

Return premium under OTC trades in shares which are listed on the Moscow Exchange, executed in Mode «Addressed trades with CCP»

Trade date	Amount of paid fee for provision of clearing services, in rubles	Amount of accrued premium for provision of clearing services, in rubles
Total	[sum of column values]	[sum of column values]
Premium to be paid		[PaidReturnCommClr]

Report on premium

Report purpose: TRADE

Report date:

Trading Member ID:

Trading Member name:

under REPO trades

Report generation period:

Trade date	Amount of paid fee for organization of trading, in rubles	Amount of accrued premium for organization of trading, in rubles
Total	[sum of column values]	[sum of column values]
Bonus to be paid		[PaidReturnCommExh]

8.28. Report on obligations to transfer/claims to receive Income (EQM98)

Report on obligations to transfer /claims to receive Income

<Report date> <Report time>

Clearing Member name:

Clearing Member Unicode:

Execution time:

Cash position code:

Settlement Account:

Settlement currency ID:

Trade number in the Trading System	Operation number for Income accrual in the Trading System	Execution date and time	Client code	Trade date	Trade type	Settlement date of 1 st part of REPO trade/ first buy-sell trade	Settlement date of 2 nd part of REPO trade / second buy-sell trade / buy-sell trade	Record date	Obligation / claim	Security code	Security name	Trade volume, in securities	Income per one security in settlement currency	Tax rate *	Fee	Income type	Income amount under trade in settlement currency
Total amount of obligations /claims to transfer Income																	

Securities Sub-account for recording securities as Collateral:

Trade Account:

Trade number in the Trading System	Operation number for Income accrual in the Trading System	Client code	Trade date	Trade type	Settlement date of 1 st part of REPO trade/ first buy-sell trade	Settlement date of 2 nd part of REPO trade / second buy-sell trade / buy-sell trade	Record date	Obligation / claim	Security code	Security name	Trade volume, in securities	Income per one security in settlement currency	Operation type	Security quantity
Total amount of obligations /claims to transfer securities														

* Information field. The exact tax rate is in XML format.

8.29. Report on Collateral (EQM99)

Report on Collateral

Clearing Member name:

Clearing Member Unicode:

Report date:

Settlement Account of the relevant level:

(Obligations recorded under Settlement Account/ Trade Account of the relevant level)

(Settlement Account of the higher level):

Settlement Account:

Unified Pool Settlement Account:

Settlement currency name:

Operation code	Comments	Operation amount	Debit turnover	Credit turnover	Operation number	Additional information on operation	Clearing session number	Client code
	Opening balance							
	Total							
	Closing balance							

Section of trading depo account:

Trade Account:

Unified Pool Trade Account:

Security short name

Operation code	Comments	Operation amount	Debit turnover	Credit turnover	Operation number	Additional information on operation	Clearing session number	Client code
	Opening balance							
	Total							
	Closing balance							

8.30. Notification on replacement rate of settlement currency (EQM100)

Notification on replacement rate of settlement currency / precious metal

Notification date:

Clearing Member Unicode:

Clearing Member name:

Currency (precious metal), in which the fulfillment of obligations is terminated:

Currency (precious metal), in which obligations will be executed:

Settlement Date	Replacement rate, in unit of currency / precious metal in which obligations will be fulfilled, per unit of currency / precious metal, in which the fulfillment of obligation is terminated

8.31. Report on claims / obligations with replaced settlement currency (EQM101)

Report on claims / obligations with replaced settlement currency

Report date:

Clearing Member name:

Clearing Member Unicode:

Settlement Account:

Under Trades

Settlement date:

Currency, in which the fulfillment of claims / obligations is terminated:

Currency, in which obligations will be fulfilled:

Sequence number	Trade number	Trade date	Trading Mode	Code of security / instrument	Name of security / instrument	Direction	Trade part	Obligation/ claim in cash funds, in currency to be replaced (initial)	Obligation/ claim in cash funds, in replacement currency

Under Income transfer

Settlement date of obligations for Income transfer:

Currency, in which the fulfillment of claims / obligations is terminated:

Currency, in which obligations will be fulfilled:

Sequence number	Trade number	Trade date	Security code	Security name	Obligation / claim	Amount of obligation / claim for Income transfer, in currency to be replaced (initial)	Amount of obligation / claim for Income transfer, in replacement currency

Under operations on the Standardised Derivatives market

Settlement date:

Currency, in which the fulfillment of claims / obligations is terminated:

Currency, in which obligations will be fulfilled:

Sequence number	Operation number	Trade date	Instrument code	Instrument name	Information on operation	Operation type	Obligation/ claim in cash funds, in currency to be replaced (initial)	Obligation/ claim in cash funds, in replacement currency

8.32. Report on fee under REPO trades with open date (EQM151)

Report on fee under REPO trades with open date

Clearing Member name:

Clearing Member Unicode:

Sequence number	Trade number	Trade part	Direction	Trading date	Settlement currency	Security code	Security name	Amount of accrued fee, rub.*	Number of days	Settlement date for obligations to pay fee	Amount of fee under trade cumulatively, rub.

* – In report for trade date (Trading date) is equal to the amount of fee for organization of trading and for provision of clearing services.

8.33. Extract from the list of Intensions (EQM02TQ)

Extract from the list of Intensions

Weekday, date

Clearing Member name:

Clearing Member Unicode:

Sequence number	Intension number	Status	Direction	Security	Security price, % from nominal	Security quantity, in lots	Registration time in the system	Cancellation time of Intension in the system (removal/decline/cancellation)	Partner firm* / List of partners*

* - the Clearing Member – intension recipient (list of such Clearing Members)

8.34. Extract from the list of Offers (EQM2T)

Extract from the list of Offers

Weekday, date

Clearing Member name:
Clearing Member Unicode:

Trading Mode

Seq num ber	Offer numbe r	Status	Directi on	Securit y	Settle ment curren cy	Securit y price	Qu anti ty	Offer volum e	Trad e Acco unt	Registr ation time	Time of execution / cancellation	Settleme nt code	ACI	Counte rparty	Client code	EHS	Remar ks

8.35. Extract from the list of OTC trades (EQM3T)

Extract from the list of OTC trades

Weekday, date

Clearing Member name:
Clearing Member Unicode:

Currency ID:
Trading Mode:
Settlement date:
Security:
Trade Account:

Seq number	Trade number	Registration time	Direction	Settlement code	Price	Quantity	Trade volume	Trade volume under nominal value	Fee for provision of clearing services	Offer number	ACI	Counterparty	Client code

8.36. Extract from the list of OTC trades when placing/builing back (EQM3A)

Clearing Member to which Offers are addressed:

Settlement currency:

Mode:

Settlement Date:

Security code:

Nominal value:

Price for security is indicated in settlement currency

Trade Account number:

Clearing organization:

Se q nu m be r	Trade number	Time	Direction	Price	Quantity	Volume	Counterparty (code of the Clearing Member- Counterparty)	Trade Account code of the counterp arty	Settleme nt code	Fee (rub.)	Offer number on the basis of which trade is executed	Referen ce	Client	Remark

8.37. Consolidated list of Offers (EQM11)

Consolidated list of Offers

Security:

Mode:

Currency:

Offer direction:

Settlement code:

Sequence number	Offer number	Offer registration date and time	Clearing Member which have submitted Offer	Price	Quantity	Volume	Trade Account	ACI	Client	Reference	Remark	Yield	Total number of securities (cumulatively)	Total volume (Volume of Offers cumulatively)

* - Offer at counterparty's price

** - calculated quantity

8.38. Information on amount of Collateral, debt and Single Limit (EQM184R)

Information on amount of Collateral, debt and Single Limit
(for the securities market and deposit market)

Clearing Member name:

Clearing Member Unicode:

Report date:

Segregated Client identification data:

Settlement Account:

ID of currency / precious metal / security	Name of currency / precious metal / security	Trade Account	Amount of Collateral / debt*

Single Limit, rub.	
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* - negative value reflects the current debt value

8.39. Extract from the list of trades, accepted for clearing (for Custodians) (EQM6D)

Extract from the list of trades, accepted for clearing
(for Custodians)

Name of Custodian/ Clearing Member:

Custodian ID/ Clearing Member Unicode:

(Clearing Member Unicode):

(Clearing Member name):

Settlement Account:

Trade Account:

Executed trades of the current day:

Trades T+:

Trades in Russian rubles

Actual settlement date:

Seq no	Trade number	Trade part	Trade date	Direction	Settlement code	REPO trade period	Price	Quantity	Trade volume (in rub.)	Fee for provision of clearing services	Fee for organization of trading	ACI on settlement date	Amount of coupon income and compensation payments in cash funds	Amount of compensation payments in securities	Obligation/ claim in cash funds	Indicator	Size of indicative rate	Obligation/ claim in securities	Account number	Client code	Counterparty	Redemption price	Amount of cancellation payment in settlement currency	Number of Execution Instruction/ Instruction for refusal	Execution time	Settlement time	Change of term of obligations fulfillment under trade	REPO with open date
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REPO with CCP

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Trades, executed after clearing after trading:

Trades in Russian rubles

Actual settlement date:

Seq no	Trade number	Trade part	Trade date	Direction	Settlement code	REPO trade period	Price	Quantity	Trade volume (in rub.)	Fee for provision of clearing services	Fee for organization of trading	ACI on settlement date	Amount of coupon income and compensation payments in cash funds	Amount of compensation payments in securities	Obligation/ claim in cash funds	Indicator	Size of indicative rate	Obligation/ claim in securities	Account number	Client code	Counterparty	Redemption price	Amount of cancellation payment in settlement currency	Number of Execution Instruction/ Instruction for refusal	Execution time	Settlement time	Change of term of obligations fulfillment under trade	REPO with open date
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REPO in bonds

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Executed trades of the current day:

Trades, executed after clearing after trading:

Trades in Russian rubles

Actual settlement date:

Sequence	Trade number	Trade part	Trade date	Direction	Settlement code	REPO trade period	Price	Quantity	Trade volume (in rub.)	Fee for provision of clearing services	Fee for organization of trading	ACI on settlement date	Amount of coupon income and compensation payments in cash funds	Amount of compensation payments in securities	Obligation/claim in cash funds	Indicator	Size of indicative rate	Obligation/claim in securities	Account number	Client code	Counterparty	Redemption price	Amount of cancellation payment in settlement currency	Number of Execution Instruction/ Instruction for refusal	Execution time	Settlement time	Change of term of obligations fulfillment under trade	REPO with open date
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NTM: B-shares and units

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REPO in bonds

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Trades to be executed:

Trades in Russian rubles

Settlement date*:

Sequence	Trade number	Trade part	Trade date	Direction	Settlement code	REPO trade period	Price	Quantity	Trade volume (in rub.)	Fee for provision of clearing services	Fee for organization of trading	ACI on settlement date	Amount of coupon income and compensation payments in cash funds	Amount of compensation payments in securities	Obligation/claim in cash funds	Indicator	Size of indicative rate	Obligation/claim in securities	Account number	Client code	Counterparty	Redemption price	Amount of cancellation payment in settlement currency	Number of Execution Instruction / Instruction for refusal	Execution time	Settlement time	Change of term of obligations fulfillment under trade	REPO with open date
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REPO in bonds

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* For REPO trades with open date before determining actual settlement date of the second part of the trade in accordance with the Clearing Rules the maximum possible date for the fulfillment of obligations under the second part of the trade is indicated, and Trade volume in settlement currency, Obligation/claim in cash funds, Accumulated coupon income on the settlement date – calculated on the date of Maximum possible settlement period.