

# Instructions on restricting start time for execution of trades with the Clearing House

## 1. Morning trading

- 1.1. Access to the morning trading is provided to all Clearing Members of the FX and Derivatives markets by default.
- 1.2. Admission to the morning trading can be managed under each Settlement Account on the FX market and each Sub-accounts Cluster on the Derivatives market.
- 1.3. **Standing instruction on restricting start time for trades execution** shall be used to manage admission to the morning trading. Such instruction can be forwarded via Web-clearing or Clearing terminal, or via EDI. This instruction allows to set status of the admission to the morning trading regarding Settlement Account or Sub-accounts Cluster – admitted/not admitted. Instructions can be forwarded since 22.02.2021.

## 2. How to manage admission to the morning trading on the FX market via the Clearing terminal

- 2.1. In the Settlement Accounts list and in the Settlement Account card status of the Settlement Account concerning morning trading is displayed:

Enable mode of group operations

ACCOUNT	MARKET	TYPE	KIND	FC BUYING	MORNING TRADES	EARLY SETTLE TIME	TRADING CLOSE TIME
<a href="#">13052</a>	Securities	Settlement account designed for recording proprietary funds of the Clearing member	(Fund) - Settlement Account designed for recording collective clearing collateral				
<a href="#">13053</a>	Currency	Settlement account designed for recording proprietary funds of the Clearing member	(Ordinary) - Settlement account designed for recording collateral (individual clearing collateral or "other collateral" type)				▼
<a href="#">13054</a>	Securities	Settlement account designed for recording proprietary funds of the Clearing member	(Ordinary) - Settlement account designed for recording collateral (individual clearing collateral or "other collateral" type)				▼



TRANSFERS SETTLEMENT ACCOUNTS COLLATERAL ACCOUNTS DOCUMENTS REFERENCE

Main ▶ Settlement Accounts ▶ Settlement Account 13053 card

## SETTLEMENT ACCOUNT 13053

**+** Processing requests

General information Permanent collateral returns Account details

Type Proprietary  
Kind Ordinary  
Status Active

MARKET NAME	IDENTIFIER	MORNING TRADES
Currency	<a href="#">MB908470000</a>	<a href="#">Not admitted</a>

CURRENT EARLY SETTLEMENT MODE	Is not set
STANDARD EARLY SETTLEMENT MODE	Is not set
CURRENT EARLY COMPLETION OF TRADING MODE	Is not set
STANDARD EARLY COMPLETION OF TRADING MODE	Is not set
PROHIBITION OF UNSECURED PURCHASES	No
SINGLE ACCOUNT WITH NSD	No

2.2. Form of the Request to limit the start time of transactions is accessible through the Settlement Account list or Settlement Account card using group operations functionality.

2.3. Fill in the following in the request form:

- Settlement Account (prefilled);
- Market (FX);
- Set the status of the admission to the morning trading: admitted / not admitted.

Main ▶ Settlement Accounts ▶ Settlement Account 13053 card ▶ Request to limit the start time of transactions

## REQUEST TO LIMIT THE START TIME OF TRANSACTIONS

Settlement account 13053 Proprietary/Ordinary

Market Currency

Morning trades **Admitted**

Refusal of operation Sign and send

2.4. Request sets status of admission of the Settlement Account to the morning trading. In order to change the status, the similar request shall be forwarded. Status of the admission of the Settlement Account to the morning trading is changed on the following settlement day.

Request forwarded till 01.03.2021 will be satisfied starting from 01.03.2021.

2.5. In case if there is necessity to morning trading admission status of several Settlement Accounts, it is more convenient to use group operations functionality:

- turn on group operations mode in the Settlement Accounts list;
- choose all required Settlement Accounts;
- click “Operation” and choose “Request to limit the start time of transactions”;

- Set the status of the admission to the morning trading: admitted / not admitted in the request.

SETTLEMENT ACCOUNTS

+ Processing requests

+ Search Quick find

Refresh Export Operation

REQUEST TO LIMIT THE START TIME OF TRANSACTIONS

Enable mode of group operations

Selected: 13053

ACCOUNT	MARKET	TYPE	KIND	FC BUYING	MORNING TRADES	EARLY SETTLE TIME	TRADING CLOSE TIME
<input type="checkbox"/> 13052	Securities	Settlement account designed for recording proprietary funds of the Clearing member	(Fund) - Settlement Account designed for recording collective clearing collateral				
<input checked="" type="checkbox"/> 13053	Currency	Settlement account designed for recording proprietary funds of the Clearing member	(Ordinary) - Settlement account designed for recording collateral (individual clearing collateral or "other collateral" type)				
<input type="checkbox"/> 13054	Securities	Settlement account designed for recording proprietary funds of	(Ordinary) - Settlement account designed for recording collateral (individual clearing collateral or "other collateral" type)				

Main > Settlement Accounts > Request to limit the start time of transactions

REQUEST TO LIMIT THE START TIME OF TRANSACTIONS

Selected: 13053

Morning trades:

Refusal of operation Sign and send

### 3. How to manage admission to the morning trading on the Derivatives market via the Clearing terminal

- 3.1. In the Sub-accounts Clusters list and in the Sub-accounts Cluster card status of the Sub-accounts Cluster concerning morning trading is displayed.

TRANSFERS SETTLEMENT ACCOUNTS COLLATERAL ACCOUNTS DOCUMENTS REFERENCE

Requisites for Collateral return Firm ID Sub-accounts clusters

Main > Sub-accounts clusters

SUB-ACCOUNTS CLUSTERS

+ Processing requests

+ Search Quick find

Refresh Export Operation

Enable mode of group operations

SUB-ACCOUNTS CLUSTER CODE	SA	TYPE	NAME OF THE LEGAL ENTITY - CLEARING MEMBER CLIENT	MORNING TRADES	STATUS
<a href="#">IU00</a>	<a href="#">13215</a>	Client Sub-accounts cluster	Малахова		active
<a href="#">IUZZ</a>	<a href="#">13215</a>	Client Sub-accounts cluster	СнеБФ для IU00		active

Main ▶ Sub-accounts clusters ▶ Sub-accounts cluster card

## SUB-ACCOUNTS CLUSTER

Basic information    Clearing register sections

Code	IUZZ	Securities sub-account of the clearing register, designed for charging fees for the reports generation	—
Type	Client Sub-accounts cluster		
Status	Active		
Settlement account	13215 Clients/Ordinary	Trade account (TA), registered in the ASTS of the Securities market, designed for the settlement of delivery futures contracts on shares and bonds, executed under Securities sub-accounts of clearing registers, opened within the Sub-accounts Cluster	—
Unified pool	No		
Morning trades	Admitted 		
Legal entity	СнеБФ для IU00		
Identification data	4764576897		
Authorized accounts owner	—		

- 3.2. Form of the Request to limit the start time of transactions is accessible through the Sub-accounts Cluster list or Sub-accounts Cluster card using group operations functionality.
- 3.3. Fill in the following in the request form:
- Sub-accounts Cluster (prefilled);
  - Set the status of the admission to the morning trading: admitted / not admitted.

Main ▶ Sub-accounts clusters ▶ Sub-accounts cluster card ▶ Request to limit the start time of transactions

## REQUEST TO LIMIT THE START TIME OF TRANSACTIONS

Code: IUZZ

Morning trades:

- 3.4. Request sets status of admission of the Sub-accounts Cluster to the morning trading. In order to change the status, the similar request shall be forwarded. Status of the admission of the Sub-accounts Cluster to the morning trading is changed on the following settlement day.
- Request forwarded till 01.03.2021 will be satisfied starting from 01.03.2021.
- 3.5. In case if there is necessity to change morning trading admission status of several Sub-accounts Clusters, it is more convenient to use group operations functionality:
- turn on group operations mode in the Sub-accounts Clusters list;
  - choose all required Sub-accounts Clusters;
  - click “Operation” and choose “Request to limit the start time of transactions”;
  - Set the status of the admission to the morning trading: admitted / not admitted in the request.

## SUB-ACCOUNTS CLUSTERS

 Processing requests

 Search

Quick find

Refresh

Export

Operation

Enable mode of group operations

Selected: IU00 IUZZ

REQUEST TO LIMIT THE START TIME OF TRANSACTIONS

SUB-ACCOUNTS CLUSTER CODE	SA	TYPE	NAME OF THE LEGAL ENTITY - CLEARING MEMBER CLIENT	MORNING TRADES	STATUS	
<input checked="" type="checkbox"/> IUZZ	13215	Client Sub-accounts cluster	СпеБФ для IU00		active	
<input checked="" type="checkbox"/> IU00	13215	Client Sub-accounts cluster	Малахова		active	

Main > Sub-accounts clusters > Request to limit the start time of transactions

## REQUEST TO LIMIT THE START TIME OF TRANSACTIONS

Selected: IU00 IUZZ

Morning trades

Admitted

Refusal of operation

Sign and send